

Abstract

In order to increase the understanding of the changing climate, the European Space Agency has launched the Climate Change Initiative (ESA CCI), a program which joins scientists and space agencies into 13 projects either affecting or affected by the concurrent changes. This work is part of the Ice Sheets CCI and four parameters are to be determined for the Greenland Ice Sheet (GrIS), each resulting in a dataset made available to the public: Surface Elevation Changes (SEC), surface velocities, grounding line locations, and calving front locations. All CCI projects have completed a so-called Round Robin exercise in which the scientific community was asked to provide their best estimate of the sought parameters as well as a feedback sheet describing their work. By inter-comparing and validating the results, obtained from research institutions world-wide, it is possible to develop the most optimal method for determining each parameter. This work describes the SEC Round Robin and the subsequent conclusions leading to the creation of a method for determining GrIS SEC values. The participants used either Envisat radar or ICESat laser altimetry over Jakobshavn Isbræ drainage basin, and the submissions led to inter-comparisons of radar vs. altimetry as well as cross-over vs. repeat-track analyses. Due to the high accuracy of the former and the high spatial resolution of the latter, a method, which combines the two techniques will provide the most accurate SEC estimates. The data supporting the final GrIS analysis stem from the radar altimeters on-board Envisat, ERS-1 and ERS-2. The accuracy of laser data exceeds that of radar altimetry; the Round Robin analysis has, however, proven the latter equally capable of dealing with surface topography thereby making such data applicable in SEC analyses extending all the way from the interior ice sheet to margin regions. This shows good potential for a future inclusion of ESA CryoSat-2 and Sentinel-3 radar data in the analysis, and thus for obtaining reliable SEC estimates throughout the entire GrIS.

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1 Introduction

As the climate is changing, a global need has arisen for scientists and space agencies to combine their efforts into establishing long-term data records that will allow for observing the changes. This has led to the establishment of 13 Essential Climate Variables to be derived from satellite data acquired in ESA Earth Observation and Third Party missions as well by international partners. Each climate variable is an individual project, and the topics have been identified via the United Nations Framework Convention on Climate Change (UNFCCC). They must (ESA, 2011):

1. cover a representative set of variables for the ocean, Earth and atmosphere,
2. cover crucial elements of the carbon and water cycles,
3. address major, though poorly understood, climate radiative forcing and feedback mechanisms,
4. address the most rapidly changing elements of the climate system.

The 13 projects were launched in two stages, e.g. aerosol and cloud properties, glaciers and ozone in 2010 followed by sea-ice, soil moisture and ice sheets in 2011/2012. This work is part of the Ice Sheets CCI in which the focus area is the Greenland Ice Sheet (GrIS). The motivation is an increased mass loss (Sasgen et al., 2012; Shepherd et al., 2012; Svendsen et al., 2013) observed e.g. through a lowering of the ice surface mainly in margin regions, as found by Sørensen et al. (2011) using ICESat repeat-track data or by Khvorostovsky (2012), who used ERS-1, ERS-2 and Envisat cross-overs. In order to increase our understanding of the changes, four parameters are to be determined (ESA, 2013a):

- Surface Elevation Changes (SEC): 5 km × 5 km grids made from Envisat, ERS-1 and ERS-2 radar altimeter data. Once CryoSat-2 and Sentinel-3 data are available, they will be included in the analysis.

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- Ice Velocity (IV): 500 m × 500 m maps from repeat-pass SAR data over coastal outlet glaciers such as Jakobshavn Isbræ and Upernavik Isstrøm.
- Calving Front Locations (CFL): 250 m × 250 m shape-files of marine terminating glaciers or ice streams such as Jakobshavn Isbræ and Kangerdlugssuaq. Optical data from e.g. MERIS and MODIS will be used.
- Grounding Line Locations (GLL): 250 m × 250 m shape-files of marine terminating glaciers with a floating-tongue, e.g. the Petermann and 79-fjord glaciers. Optical, altimetric and InSAR data will be used.

The work is carried out through a broad collaboration between relevant cryospheric and climate-related research groups across Europe. The international aspect is further increased through the so-called Round Robin (RR) exercise performed in all the 13 projects. The goal of this exercise is to find the optimal method for estimating the given climate variable parameters; in order to understand exactly how this is best done members of the international scientific community were contacted and encouraged to submit their best estimate along with an in-depth description of the applied method. Here, we present the outcome of the RR exercise with a particular focus on SEC. The submitted results are inter-compared and validated against airborne laser scanner data, and the resulting conclusions form the basis of the final GrIS SEC production. As mentioned previously, this will be based on radar altimetry, and cf. e.g. Bamber et al. (2001) and Zwally et al. (2005) such data are highly applicable for surface change detection.

2 About the Round Robin exercise

For the Ice Sheets CCI, the RR was announced through personal invitations as well as postings on CRYOLIST and the CCI web-site (<http://www.esa-icesheets-cci.org/>). In order to establish a basis for inter-comparing the results, the participants were given an observation area as well as data to be used. They were then asked to submit their

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best estimates of the given parameters along with errors and a feedback sheet describing computer specifications, pre- and post-processing steps as well as estimation specifications, computational time, man hours, etc. This allowed for a thorough inter-comparison of the various applied methods and thus for finding the optimal ones to be used for deriving the four parameters. The personal invitations gave the highest success-rate, and 26 researchers from Europe and the US responded providing SEC with 11 submissions, IV with 9, CFL with 6, and GLL with 0.

The results in focus here are those from SEC in which either ICESat laser or Envisat radar altimetry data could be used over the Jakobshavn Isbræ drainage basin (68–71° N; 39–52° W). In case the participants needed an external DEM to carry out the analysis, it was recommended to use the GIMP DEM developed by Howat et al. One of the 11 submissions was discarded as the results were either comparable with the remaining datasets or independent of the validation data.

Table 1 shows the sensor and method used by the participants as well as the submitted output parameters and whether the participants have applied a slope correction (Scharer et al., 2013). In order to anonymise the RR results, the participants are referred to as SEC-1, SEC-2, . . . , SEC-10, the order in which they are named being random. Three participants used Envisat data and the remaining seven worked with ICESat. Of these, five groups applied the cross-over technique (XO), while the remaining five used repeat-tracks (RT) (Gunter et al., 2013; Slobbe et al., 2008; Moholdt et al., 2010). Slope corrections were only applied in two cases: SEC-1 used a Point of Closest Approach (POCA) method, while SEC-2 used plane fitting to correct for the slope.

Some groups submitted both elevation time series and SEC estimates. In the former, a formation of time series is first made, e.g. one for each grid cell, after which typically linear least-squares is used to fit a trend to the surface elevations. The direct estimates are made when fitting a trend to elevation differences (dH) vs. the temporal difference between the data acquisition times (dt).

a mountainous region such as by the ice margin, and thus also illustrates the advantage of XO measurements: the use of overlapping observations ensures that slope effects can be ignored thereby greatly reducing the uncertainty in this type of measurements.

The analyses of laser vs. radar data show that the RT and XO techniques give consistent results, and generally that radar data can be used to resolve Surface Elevation Changes even in regions with high topography equally well as laser altimetry. This is seen from the near-zero differences found between the dH/dt estimates, the low RMSE, and the $R^2 = 0.90$ for both cases. All values show a good potential for the use of radar altimetry in the final SEC production, and thus that the issues with slope effects and different footprint sizes can be overcome. The RT analysis (SEC-3 vs. SEC-1) shows a larger spread in dH/dt than the XO (SEC-8 vs. SEC-10), confirming that the RT data are able to better resolve the changes, large and small, than XO where the extreme SEC values are smoothed out due to averaging of the observations as well as their spatial distribution throughout the observation area.

3.3 Validation with airborne LiDAR data

The RR results presented in Fig. 1 are validated against SEC trends derived from airborne LiDAR data acquired with the laser scanners flown in ESA's CryoVex and NASA's IceBridge campaigns. In order to ensure a temporal consistency with the RR results two separate trends are derived, one from 2003–2009 and one from 2002–2010. The focus area is the main trunk of Jakobshavn Isbræ's outlet as this is where the largest surface changes are observed (Liu et al., 2012; Levinsen et al., 2013; Nielsen et al., 2013). As the largest errors are found in the same region, it is interesting to observe exactly how well the RR results do here. The LiDAR trends are derived using the model by Bamber et al. (2001) as a reference DEM and by fitting a trend as well as cyclic terms to a sequence of 500 m averaged LiDAR data. In order to ensure consistency with the RR data, the resulting validation trends have been estimated with a spatial resolution of 1 km, and for these to make a proper ground truth only data with a minimum of three observation periods are used.

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Table 4 provides the results of the validation. As before, the difference between the LiDAR and RR dH/dt trends (" $diff_{lidar}$ ") is found and the mean and standard deviations (std) are estimated. The RT results (SEC-1–SEC-5) show the largest offsets along the ice margin and north of the glacier basin; this can be attributed to slope effects. Other than that $diff_{lidar} \approx 0 \text{ myr}^{-1}$. The XO results from SEC-6 are consistent with the aforementioned both with respect to mean and std ($diff_{lidar}$), which are equally high. The remaining analyses (SEC-7–SEC-10) yield the best agreement between the LiDAR and RR trends. This is seen as the spread in std ($diff_{lidar}$) is significantly smaller than for any other method, and this is believed to result from the exploitation of cross-over points so slope effects can be disregarded. The SEC-9 mean value is relatively high, possibly because of the correspondingly high grid spacing (Table 2) and footprint size.

4 Discussion

Figure 4 outlines the results of the Round Robin exercise: generally agreeing dH/dt values in the interior (high elevations) for all methods and disagreements further out along the ice margin (low elevations). The surface changes in the interior are small, and due to the little amount of topography both laser and radar altimetry perform well, regardless of the method. For the margin regions, the laser data typically indicate lower dH/dt values, due to ICESat's ground tracks agreeing better with the actual outlet than those of Envisat. The XO results are all near-zero, which is due to the observation points being on high elevations, far from the glacier outlet, as well as averaging of the observations.

An interesting observation in the ICESat datasets is that although the participants have used the same data release (R33) and some the same method, e.g. RT (SEC-2–SEC-5), the results still differ. This is partly due to varying processing and estimation schemes, such as different data rejection criteria and linear least squares techniques, i.e. weighted, unweighted and multi-variate approaches, respectively. An additional reason is the inter-observation range biases, the so-called inter-campaign biases, which

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vary with time thereby affecting the accuracy of the ICESat elevation measurements. As different groups have obtained different bias estimates for the same dataset, a unique correction tool is necessary, and cf. Borsa et al. (2013) such one is currently underway (Hofton et al., 2012; Schutz et al., 2011).

5 Along with varying ways of determining the SEC errors (Fig. 2) as well as the lack of information submitted regarding exactly this, a difficulty arises in directly comparing the received datasets. In spite of this, the uniqueness of the Round Robin exercise is the ability to evaluate the submissions regarding methodology, pre- and post-processing steps, computer specifications, the use of external datasets such as the GIMP DEM
10 (Howat et al., 2012), etc. SEC-1's RT results illustrate the good potential of using radar altimetry to estimate SEC all the way to the ice margin, the inter-comparison with laser data confirms this, and thus it will be highly beneficial to include data from CryoSat-2 as well as Sentinel-3 once available.

The computation efforts, as indicated in the received feedback sheets, reveal that XO
15 typically have the shortest processing times. This is seen in spite of e.g. SEC-4 (RT) and SEC-8 (XO) both applying unweighted linear least squares and one participant not using the tropospheric correction included in ESA's Envisat dataset. The external European Centre for Medium-Range Weather Forecasts (ECMWF) Re-Analysis ERA-Interim correction, derived from surface pressure, is implemented instead. However,
20 the actual computation time depends on the implementation and optimization of the applied methods and hence is not a big issue for large-scale computations carried out on modern-day computers.

As mentioned in the Introduction, the final SEC grids will have a spatial resolution of
25 5 km × 5 km. This is found to be a sufficient trade-off between the resolution achievable with radar altimetry and the final accuracy of the results. Looking into the RR, the resolution is reasonable based on the promising radar RT results submitted by SEC-1, the sparsity of observation points when using XO, as well as the inter-comparisons (Fig. 3) e.g. showing that radar and laser data can perform equally well, everything considered. The reason for SEC-9 disagreeing so much with the LiDAR data is believed

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to result from Envisat's larger footprint size as well as the spatial resolution, which, as is the case for SEC-7, greatly reduces the amount of estimation points. Based on the received results, a combination of RT and XO will allow for a high spatial coverage, and the 5 km × 5 km grid spacing is sufficient for accurately mapping SEC throughout the
5 ice sheet, i.e. both in interior and margin regions.

Due to different observation periods and flight times, completely agreeing acquisition times of the data used in the RR analysis cannot be achieved. This will introduce a difference between the RR and LiDAR dH/dt trends, which, if not accounted for, affects the comparison of the two types of data. The LiDAR measurements are typically
10 acquired in April/May or August, whereas ICESat data is only available in the periods of active lasers, i.e. approximately 35 days two to three times a year (NASA, 2013a, b). Thus, when comparing a trend based on LiDAR data obtained in May with one derived from altimetry data acquired in e.g. October/November, the intermediate Surface Elevation Changes must be accounted for.

This can be done using a Positive Degree Day model such as that by van den Broeke
15 et al. (2010). It is based on the RACMO2/GR regional atmospheric climate model for Greenland (van Meijgaard et al., 2008) as well as observations from three Automatic Weather Stations located in Jakobshavn's ablation zone. It calculates the degree day factors for snow and ice, respectively, i.e. a measure of the melt per positive degree-day.
20 Given knowledge on what is melting, an estimate of the vertical surface change can be found. Problems with such a model are e.g. the sparsity of weather stations throughout the ice sheet, the lack of observations of the exact composition of the surface material (e.g. ice, firn, or snow), the temporal change of the degree day factors due to changes in albedo, melt season length, etc. Further complications arise as it does not account
25 for precipitation, for which the rates are highest in the southern parts of the GrlS. As the precipitation pattern changes in both time and space, the exact rates at which this happens is necessary in order to properly correct for the elevation change occurring due to different data acquisition times (Ettema et al., 2009; Sasgen et al., 2012).

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Author contributions: J. F. Levinsen performed the analysis and validation of the Round Robin submissions, wrote the paper and produced the figures. K. Khvorostovsky unified the submitted datasets and, along with F. Ticconi, A. Shepherd and R. Forsberg, assisted in data interpretation and in the discussion of how to develop the optimal SEC module. The remaining authors form the team of Round Robin participants and are listed in a random order, based on the affiliation. All co-authors contributed to the discussions leading to the results presented in the paper.

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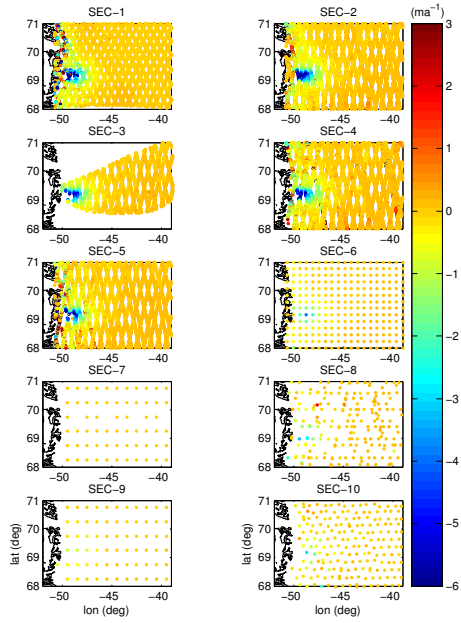


Fig. 1. Surface Elevation Changes derived using repeat-tracks (participants SEC-1 to SEC-5) and cross-overs (SEC-6 to SEC-10).

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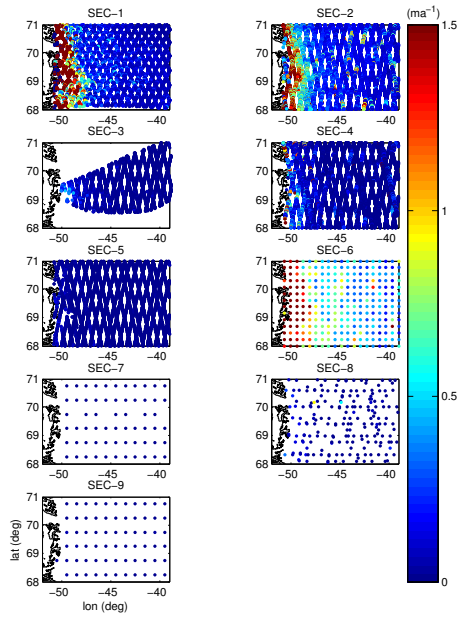


Fig. 2. Surface Elevation Change errors. Please notice that SEC-10 did not submit errors. The method for deriving the RT errors has not been described by the RR participants, whereas the XO errors are given as the standard error of the SEC trend.

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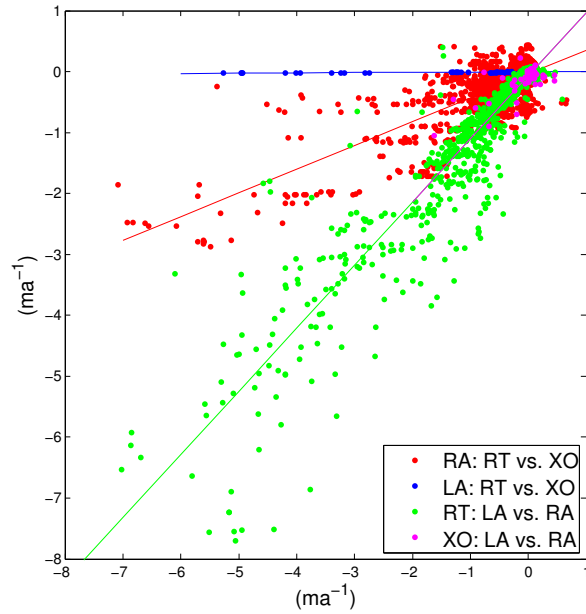


Fig. 3. Scatter plots from an inter-comparison of a selection of the Round Robin results: cross-overs vs. repeat-track for radar and laser altimetry (i.e. XO vs. RT for RA and LA, respectively) and radar vs. laser altimetry for both methods. See Table 3 for the RMSE and R^2 values.

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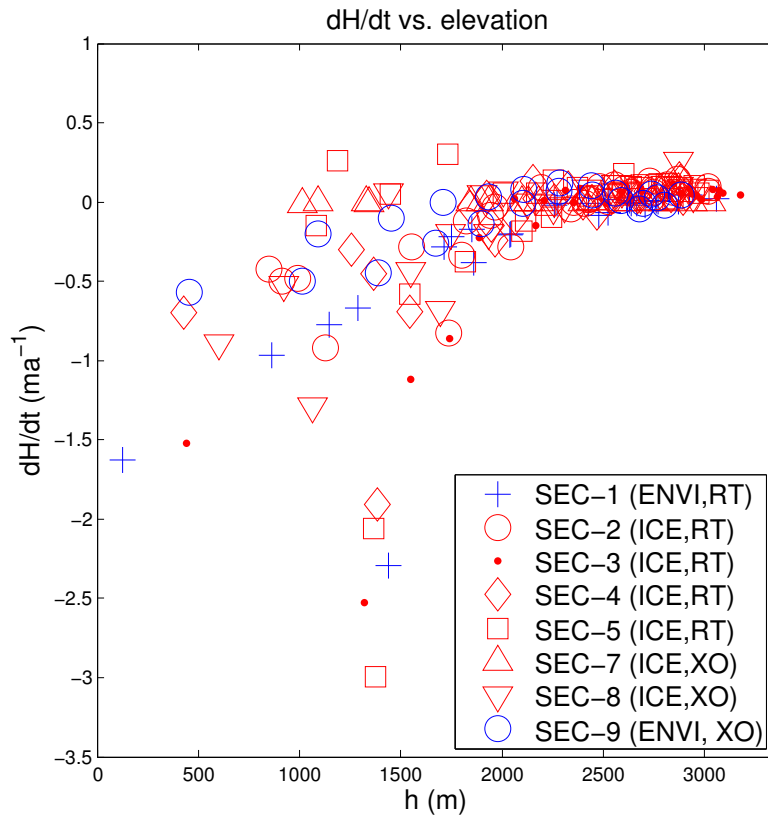


Fig. 4. Surface elevation vs. dH/dt . Notice that only a number of the participants have submitted elevations.

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