

1 **Changes in the Timing and Duration of the Near-Surface Soil Freeze/Thaw**

2 **Status from 1956 to 2006 across China**

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13 **Abstract**

14 The near-surface soil freeze/thaw status is an important indicator of climate change.
15 Using data from 636 meteorological stations across China, we investigated the
16 changes in the first date, the last date, the duration, and the number of days of the
17 near-surface soil freeze over the period 1956–2006. The results reveal that the first
18 date of the near-surface soil freeze was delayed by about 5 days, or at a rate of $0.09 \pm$
19 0.03 day/yr, and the last date was advanced by about 7 days, or at a rate of 0.14 ± 0.02
20 day/yr. The duration of the near-surface soil freeze decreased by about 12 days or at a
21 rate of -0.24 ± 0.04 day/yr, while the actual number of the near-surface soil freeze
22 days was decreased by about 10 days or at a rate of -0.19 ± 0.03 day/yr. The rates of
23 changes in the near-surface soil freeze/thaw status increased dramatically from the
24 early 1990s through the end of the study period. Regionally, the changes in western
25 China were greater than those in eastern China. Changes in the near-surface soil
26 freeze/thaw status were primarily controlled by changes in air temperature, but
27 urbanization may also play an important role. Although the effect of seasonal snow
28 cover on the near-surface soil freeze/thaw status may be limited, changes in the North
29 Atlantic Oscillation (NAO) and the Arctic Oscillation (AO) indexes are closely
30 related to changes in the near-surface soil freeze/thaw status.

31

32 **1. Introduction**

33 The near-surface soil freeze/thaw state is related to the timing and duration of
34 cold/warm seasons, and is an important indicator of climate change (Zhang et al.,
35 2001). During the past few decades, many studies have focused on the dynamics of
36 the near-surface soil freeze/thaw status and the interactions between the ground
37 surface and the atmosphere. These studies have shown that changes in the near-
38 surface soil freeze/thaw status are interrelated, and soil freeze/thaw affects
39 hydrological processes (Cherkauer and Lettenmaier, 1999; Niu and Yang, 2006;
40 Rempel, 2012), ecological processes (Schimel et al., 1996; Tagesson et al., 2012), and
41 soil microbial processes (Lloyd and Taylor, 1994; Gilichinsky and Wagener, 1995;
42 Edwards and Jefferies, 2013).

43 Variations in the timing and duration of the near-surface soil freeze/thaw state
44 have been widely investigated using a range of approaches, including remote sensing
45 and in-situ observations, across spatial-temporal scales ranging from regional to
46 global. Menzel et al. (2003) used data from 41 meteorological stations across
47 Germany (from 1951 through 2000) to investigate soil frost dynamics. Their results
48 showed that the freeze-free period was extended with increasing air temperature.
49 Henry (2008) used observations from 31 stations across Canada to examine soil freeze
50 dynamics and found that number of days of the near-surface soil freeze declined from
51 1966 through 2004. Using long-term data from three stations in Indiana, USA, Sinha
52 et al. (2008) found that the number of soil freeze days had significantly decreased at
53 the central and southern study sites, but the near-surface soil temperature at the

54 northernmost site showed a significant decrease in the cold season due to a decrease
55 in snow depth. Anandhi et al. (2013) carried out a more-detailed analysis of frost
56 indices at 23 stations across Kansas, USA, and found that the first date and the last
57 date of freezing occurred later and earlier, respectively, over their study period.

58 Numerous studies have reported significant improvements in monitoring soil the
59 freeze/thaw status. NASA is launching the Hydrosphere State Mission as part of the
60 Earth System Science Pathfinder Program (ESSP) to improve satellite monitoring of
61 global land freeze/thaw status and soil moisture (Entekhabi et al., 2004). In China, a
62 multi-scale monitoring network has been established on the Qinghai-Tibetan Plateau
63 (Yang et al., 2013). Fifty-six (56) stations have been installed in cold and high-
64 elevation regions to enhance monitoring of soil temperature and moisture and hence
65 to support remote sensing data and large-scale climate modeling (Su et al., 2011; Yang
66 et al., 2013).

67 In this study, we use ground-based station data to investigate the long-term
68 spatiotemporal variation in the timing and duration of the near-surface soil
69 freeze/thaw across China over the period 1956–2006. Using data from 636 stations,
70 we examine the first date, last date, duration, and actual number of days of the near-
71 surface soil freeze, as well as the spatial characteristics of these variables across
72 China. Finally, we further investigate the response of the near-surface soil freeze/thaw
73 status to climate changes over the past few decades.

74 **2. Data and Methods**

75 We define the soil “freeze day”, as a day with a minimum temperature at or

76 below 0°C at ground surface (Henry, 2008). Data used for this study include daily
77 minimum ground-surface temperature, and mean annual air temperature (MAAT)
78 obtained from the China Meteorological Administration (CMA, 2007).

79 Temperature monitoring were conducted each day by trained professional
80 technicians at all meteorological stations across China. Ground surface temperatures
81 were measured with a mercury ball thermometer (ball diameter of about 3 mm).
82 Although measurement standards states that half of the thermometer sensor should be
83 buried in the ground and the other half exposed to the air, in practice, the sensors were
84 usually buried more than halfway and were often colored white to reduce solar
85 heating. When the ground was covered by snow, the sensor was moved to the snow
86 surface. Thus snow surface temperature was measured rather than the ground surface
87 temperature. In this case, it is assumed that soils near the ground surface are in a
88 frozen state (Zhang, 2005). Daily minimum temperatures were measured using a
89 minimum temperature thermometer, which recorded the daily minimum temperature
90 once a day although it could not record the time when it occurred. Daily minimum
91 temperature was reported at 20:00 Beijing Standard Time. Ground surface
92 temperatures were measured four times per day (02:00, 08:00, 14:00, and 20:00
93 Beijing Standard Time) and averaged as a daily mean. The thermometers at the study
94 stations have an accuracy of $\pm 0.1^\circ\text{C}$ and should be calibrated at least once a year
95 (CMA 2007). None of the thermometers were replaced during the study period. The
96 large majority of the meteorological stations remained geographically stable over the
97 study period (Ma et al., 2009); however, information is not available for those stations

98 with a history of location changes. We believe that effect of station movement on our
99 results is minimal.

100 Our daily surface temperature dataset was created with thorough data quality
101 control. First, on daily time scale, we checked the consistency of the temperature time
102 series by cross-referencing temperature values with the day before and the day after
103 the checking day. On annual time scale, we plotted and screened each individual time
104 series to identify questionable data points, and removed the statistical outliers of those
105 points out of the three standard deviations range from the long-term mean.

106 Annual values of the first and last date, duration, and actual number of days of
107 the near-surface soil freeze were calculated for each year beginning on 1 July and
108 ending on 30 June of the next year, in order to cover the entire period with potential
109 freezing events. The anomalies of each variable were calculated over the entire study
110 period after removing the long-term average (1 July 1961 through 30 June 1991)
111 across China. We used linear regression to investigate the trend of changes for each
112 variable. Stations with statistically significant changes ($P < 0.05$) were kept in the
113 analysis. We also compared the linear trends of the freeze/thaw variables with latitude
114 and elevation to investigate the geographic characteristics of the freeze/thaw changes.
115 In addition, we used the Quantile-Quantile method to ensure that the linear hypothesis
116 was statistically appropriate (John, 2006; David, 2009).

117 The first date (FD) and last date (LD) of the near-surface soil freeze are defined
118 as the first and last date after 1 July on which the daily minimum ground surface
119 temperature is at or below 0°C . The duration (DR) of the near-surface soil freeze is

120 defined as the time span between the first and last date of the near-surface soil freeze.
121 It is common for the near-surface soil not be continuously frozen during the period
122 between the first date and the last date of freeze. Thus, we further define the actual
123 number of the near-surface soil freeze days (NF) by counting the number of days with
124 a daily minimum ground surface soil temperature at or below 0°C.

125 Not all of the meteorological stations in this study have continuous data over a
126 30-yr period (1 July 1961 through 30 June 1991). Generally, 8 or less missing years
127 (<25% of the 30-yr period) are permitted in a calculation of the long-term mean
128 (Jones and Hulme, 1996). In this study, we applied a thorough data quality control
129 approach to ensure the reliability and consistency of results by station and year.
130 Firstly, study years with 365 daily records were utilized in the annual indices.
131 Secondly, we rejected the outliers of years with values at or higher than three standard
132 deviations (3σ) from the long-term mean for a station. This resulted in 636
133 meteorological stations being included in this study (Fig. 1).

134 **3. Results**

135 **3.1 Climatology of the timing and duration of the near-surface soil freeze**

136 We used the Kriging method to interpolate our climate data points to create
137 spatial patterns of climatology (Fig. 2). Regions south of 24° N were considered as
138 freeze-free regions because freeze events were generally scarce in those areas.

139 The timing and duration of the near-surface soil freeze varied greatly across
140 China. FD occurred from July of the current year through January of the next year
141 across China. LD occurred from January of the next year through June of the next

142 year. DR ranged from two weeks or less in southern China through almost the entire
143 year on the Qinghai-Tibetan Plateau. The maximum of NF was up to 315 days, which
144 was significantly less than the maximum of DR because of the discontinuous freeze
145 events during the freeze period.

146 The earliest and latest dates of the near-surface soil freeze occurred in July of the
147 current year and in June of the next year on the Qinghai-Tibetan Plateau. NF was up
148 to ten months on the plateau.

149 Our results showed an understandable latitudinal zonal pattern in eastern China,
150 and a significant elevation correlation in western China. Maximum elevations in
151 eastern China are about 1500 m in eastern China and 5000 m in western China due to
152 the location of the Qinghai-Tibetan Plateau. Overall, NF increased about 10 days per
153 degree of latitude in eastern China and about 5 days per 100 m of elevation in western
154 China. The DR increased about 9 days per degree of latitude in eastern China and 6
155 days per 100 m of elevation in western China.

156 **3.2 Changes in the First Date of the Near-Surface Soil Freeze**

157 Overall, FD departures from its long-term mean showed a significant increase
158 across China by nearly 5 days, or a trend of 0.09 ± 0.03 day/yr, for the period 1956–
159 2006 (Fig. 3a). We found that the near-surface soil started to freeze later due to a
160 general warming in the fall season across China during the study period. The
161 coefficient of determination, $R^2=0.21$, means that one-fifth of the total variability in
162 the FD can be explained by the regression equation. Variations can be mainly broken
163 into two periods: before and after the early 1970s. FD anomalies during 1965–1975

164 are the lowest in the study period. A delay in FD (0.21 day/yr) started in the early
165 1970s when a short cold period ended. Meanwhile, a large delay in FD (0.71 ± 0.17
166 day/yr) occurred after the early 1990s (Fig. 3a); FD has occurred approximately 10
167 days later since the early 1990s with $R^2 = 0.61$, implying that about 60% of the total
168 variability in the FD can be explained by the linear trend.

169 Over the study period, the 130 study stations showed a significant trend in FD
170 delay in autumn (Fig. 3b). Most stations showed long-term FD delays, except for a
171 few stations where FD was advanced. Among about 90 of the 130 stations, the FD
172 delay was <0.25 day/yr (Fig. 3b). When comparing stations in western China and
173 eastern China (east and west of 110° E), we found that the FD delay was greater in the
174 west than in the east. A dry environment in western China may be an important
175 element enhancing the changes in FD because latent heat is less when moisture is low.
176 FD at stations surrounding or on the Qinghai-Tibetan Plateau was delayed by >0.5
177 day/yr (Fig. 3b), primarily due to the higher average elevation, more complex terrain,
178 stronger monsoon circulation, and more solar radiation on the Qinghai-Tibetan
179 Plateau (Sun, 1996).

180 **3.3 Changes in the Last Date of the Near-Surface Soil Freeze**

181 The LD was advanced in spring significantly over the period of 1956–2006, by
182 about 7 days, or a trend of -0.14 ± 0.02 day/yr (Fig. 4a). This indicates that
183 warming spring seasons result in an earlier end of the near-surface soil freeze.
184 Approximately 44% of the total variability in the LD can be explained by the linear
185 trend. Variations in LD are divided into two periods: before and after the early 1990s.

186 LD occurred slightly earlier from 1956 through 1991. The highest deviated from the
187 long-term mean occurred during 1965–1980. A rapid advancement of LD appeared
188 after the early 1990s, with a linear trend of -0.56 ± 0.14 day/yr; i.e., LD has
189 occurred earlier by about 9 days since 1992.

190 LD changed significantly at 30% (190 stations) of all stations (Fig. 4b). This
191 percentage is larger than that of the stations with a significant delay in FD. Among
192 162 stations, LD was advanced by about -0.30 day/yr (Fig. 4b). LD changes in
193 western China were larger than those in eastern China. Overall, FD and LD were
194 significantly delayed and advanced, respectively, at 85 stations. These stations show a
195 delayed onset of autumn soil freeze and an earlier ending of the spring soil freeze over
196 the study period.

197 **3.4 Changes in the Duration of the Near-Surface Soil Freeze**

198 Over the period from 1956 through 2006, DR was shortened by almost 12 days,
199 or -0.24 ± 0.04 day/yr (Fig. 5a). Anomalies during 1966–1980 were higher than the
200 rest of the study period. The most significant decrease in DR appeared mainly after
201 the 1970s (-0.43 day/yr). Since the early 1990s, DR has decreased sharply ($-$
202 1.12 ± 0.20 day/yr) (Fig. 5a), by almost 16 days. The overall variation in DR (-0.24
203 day/yr) is a combination of changes in FD (0.09 day/yr) and LD (-0.14 day/yr). For
204 example, the increase in DR (12 days) corresponds to the delay of FD by 5 days and
205 the advance of LD by 7 days.

206 227 study stations showed a significant decrease in DR of <-0.50 day/yr (Fig.
207 5b). Most stations showed a long-term decrease in DR, except for three stations where

208 DR showed a slight increase. DR decreased more in western China than in eastern
209 China. This general decrease in DR indicates a shortening frost period in the near-
210 surface soil across China over our study period.

211 **3.5 Changes in the Number of Days of the Near-Surface Soil Freeze**

212 It is important to realize that near-surface soil may not be continuously frozen
213 during the period from the first date to the last date of the near-surface freeze,
214 especially in mid- or low-latitude sites. We determine NF by counting the actual
215 number of days with minimum soil temperature $\leq 0^{\circ}\text{C}$.

216 NF decreased by almost 10 days (-0.19 ± 0.03 day/yr) for the period 1956–
217 2006 (Fig. 6a). The trend in NF is similar to but smaller than that in DR (compare to
218 Fig. 4a). A statistically significant increase in NF has occurred since the early 1970s.
219 During the period from 1971 through the early 1990s, NF decreased slightly (-0.27
220 day/yr). The NF decrease for the period from 1971 through the end of our study
221 period is -0.34 day/yr, with a decrease of -0.84 day/yr since early 1990s (Fig. 6a).
222 The actual number of freeze days in near-surface soil decreased by 12 or more days
223 over our study period.

224 At 368 stations (about 60% of all study stations), NF varied significantly over the
225 study period (Fig. 6b). Although a few stations in western China showed an increasing
226 trend in NF, the remaining stations showed a significant decreasing trend, with
227 decreasing trends ranging from -0.50 to -0.20 day/yr (Fig. 6b). This general decrease
228 in NF indicates a shortening cold season across China.

229 **3.6 Variations in the Near-Surface Soil Freeze with Latitude and Elevation**

230 Changes in the near-surface soil freeze are primarily controlled by elevation in
231 western China and by latitude in eastern China. In western China, the rate of change
232 in FD increases as elevation increases (Fig. 7A), which implies that changes in FD in
233 higher-elevation regions are greater than those over lower elevation areas. The rate of
234 change in NF decreases (becoming more negative) as the elevation increases (Fig.
235 7B). In other words, the absolute magnitude of the rate of NF change increases with
236 increasing elevation. This implies that NF decreases faster in the higher-elevation
237 areas than in the lower-elevation regions, which is consistent with the FD changes.
238 However, changes in LD and DR with elevation are not statistically significant in
239 western China (not shown).

240 Over eastern China, the rates of change in LD, DR, and NF are significantly
241 correlated with latitude. The rates of change in LD (Fig. 7C), DR (Fig. 7D), and NF
242 (Fig. 7E) increase as latitude increases, which demonstrates that the magnitude of
243 changes in LD, DR, and NF is greater in lower-latitude regions than in higher-latitude
244 regions. Lower-latitude regions are more sensitive to freeze/thaw timing and duration
245 because soils at more southerly latitudes are closer to the freezing point in cold
246 seasons. Under warming climate conditions, changes in soil temperature in southern
247 regions have a greater impact on the timing and duration of the near-surface soil
248 freeze. The FD is not significantly correlated with changes in latitudes in eastern
249 China (not shown). However, the rate of NF change increases (becoming less
250 negative) with elevation in eastern China (Fig. 7F). In other words, the magnitude of
251 NF changes decreases with elevation in eastern China. This is contradictory to the rate

252 of NF changes in western China. We believe that there are two possible explanations:
253 (i) changes in soil freeze in eastern China are primarily controlled by latitudes; (ii)
254 elevation changes in eastern China are relatively small compared with those in
255 western China. Elevation difference in western China is up to 5000 m (Fig. 7B), while
256 in eastern China, the difference is about 1500 m (Fig. 7F).

257 **3.7 Effects of Air Temperature on the Near-Surface Soil Freeze**

258 Air temperature is an important factor that affects the near-surface soil
259 freeze/thaw dynamics. The FD increased as mean autumn (September, October and
260 November) air temperature increased at a rate of about 3.73 ± 0.53 day/ $^{\circ}\text{C}$ (Fig. 8A),
261 implying that the FD was delayed in autumn. This positive correlation between FD
262 and mean autumn air temperature implies that overall delay in FD indeed reflects
263 autumn warming in recent decades across China. The LD decreased at a rate of -2.68
264 ± 0.68 day/ $^{\circ}\text{C}$ as mean spring (March, April, and May) air temperature increased
265 (Fig. 8B), indicating that the LD advanced in spring as mean spring air temperature
266 increased over the past several decades across China. As a result, the DR and NF are
267 inversely correlated with MAAT (Figs. 8C and 8D), i.e., the DR was shortened and
268 the NF was decreased with increased MAAT, as expected. However, the DR was
269 shortened as a rate of -7.61 ± 1.24 day/ $^{\circ}\text{C}$, while the NF decreased at a rate of $-6.40 \pm$
270 1.06 day/ $^{\circ}\text{C}$; the rate of NF change is about 16% less than that of the DR change.
271 Changes in DR are mainly controlled by changes in FD and LD. In other words,
272 changes in DR are mainly controlled by changes in autumn and spring air
273 temperatures, while changes in NF are controlled not only by changes in autumn and

274 spring air temperatures, but also by changes in air temperature during the entire cold
275 season.

276 The freeze index of air temperature (AFI) is a measure of the combined
277 magnitude of temperatures below 0°C from 1 July in the current year through 30 June
278 in the next year, in order to cover the entire freeze period (Zhang et al., 2001).

279 Overall, DR and NF are positively correlated with freeze index, as expected (Fig. 9).

280 During the entire period, DR was lengthened and NF was increased with increasing

281 freeze index across China. Similar to the correlations with mean annual air

282 temperature, the rate of the DR extension is larger than the rate of NF increase with

283 the freeze index. In addition, the variations of NF and DR can be explained about

284 25% by the freeze index ($R^2=0.25$), which are significantly less than that by MAAT

285 (Figs. 8C and 8D). This is because the freeze index reflects not only the freeze period

286 but also the magnitude of freeze temperatures in air; in other words, a higher freeze

287 index can not be singly correlated to a longer freeze period because the freeze index

288 value may be caused by a greater magnitude of the cold temperatures.

289 **4. Discussion**

290 **4.1 Comparisons with previous results**

291 The timing and duration of the near-surface soil freeze were investigated using
292 ground-based measurements from 636 stations across China from 1956 through 2006.

293 Primary results indicate that the FD occurred later, while the LD became earlier,

294 resulting in a decrease in both the duration and number of days of the near-surface soil

295 freeze in China.

296 FD was delayed by about 5 days (0.09 day/yr) over the entire study period, as a
297 result of warming climate. Similar results have been found on the Qinghai-Tibetan
298 Plateau (Li et al., 2012), in Indiana, USA (Sinha and Cherkauer, 2008), and in Kansas,
299 USA (Anandhi et al., 2013). However, results from this study indicate that the rate of
300 FD change from the early 1990s to 2006 across China was about 0.71 day/yr, while Li
301 et al. (2012) found that the rate of FD change was about 0.50 day/yr over the Qinghai-
302 Tibetan Plateau from 1988 through 2007. Their results were obtained from passive
303 microwave satellite remote sensing data, which may have a large uncertainty and may
304 underestimate the autumn warming on the Qinghai-Tibetan Plateau.

305 Similarly, LD occurred approximately 7 days earlier (0.14 day/yr) over our study
306 period. Li et al. (2012) showed a later date of soil freeze by about 14 days (or 0.70
307 day/yr) from 1988 to 2007. We found more change in the FD in China since the early
308 1990s (0.56 day/yr). The last freeze date in Kansas, USA, occurred earlier by 0.01–
309 0.19 day/yr from 1919 through 2009 (Anandhi et al., 2013), and this is similar to our
310 results for our study period.

311 Our results indicate that DR and NF decreased 12 and 10 days, respectively,
312 from 1956 to 2006 and have decreased sharply since the early 1990s. We also found
313 significant regional diversity. On the Qinghai-Tibetan Plateau, the number of freeze
314 days decreased by 1.68 day/yr during the period 1988–2007 (Li et al., 2012). This
315 corresponds to our results (Fig. 6a). The number of freezing days in Kansas, USA,
316 varied from 0.01 to 0.24 day/yr from 1919 through 2009 (Anandhi et al., 2013), which
317 is similar to our results for our study period.

318 Increasing air temperature significantly influences the timing and duration of
319 near-surface soil freeze. Warming ground can play a significant role in carbon cycles
320 in land-atmosphere processes (Koven et al., 2011; Schuur et al., 2009; DeConto et al.,
321 2012; Tagesson et al., 2012), but the mechanism of this role is complex and not clear,
322 even though studies have found correlations between growing season carbon fluxes
323 and increased soil temperature, particularly in the high Arctic (Tagesson et al., 2012;
324 Mastepanov et al., 2008; Heimann and Reichstein, 2008). Other studies have shown
325 that increasing temperature results in the lengthening of the growing season and
326 improved productivity (Kimball et al., 2006; Barichivich et al., 2013). These effects
327 may partly counteract the negative effects of climate warming (Cornelissen et al.,
328 2007). Additionally, Kumar et al. (2013) suggested that the impact of climate change
329 on soil microbes in Arctic regions may be impossible to predict. Thus more and
330 deeper research is necessary in order to determine the role of soil freeze/thaw in land-
331 atmosphere feedbacks.

332 **4.2 Potential influences of urbanization**

333 Data used in this study were obtained from China Meteorological Stations. The
334 majority of these stations were established in the 1950s and 1960s (Ma et al., 2009),
335 and intentionally sited outside of cities in order to reduce the impact of human
336 activities on meteorological observations, and thus weather forecasts. However, since
337 the late 1970s, urban areas have expanded dramatically. Studies indicate that cities in
338 China have expanded by two to five times in area during the past 30 years (Wang et
339 al., 2012). Because of this, some of Chinese meteorological stations are now located

340 within urban areas. Hence, the immediate question is how much of the changes in the
341 near-surface soil freeze detected during this study are due to natural variations in
342 climate change versus the impact of human activities such as urban expansion.
343 Detailed investigation of this issue is far beyond this study; however, we provide here
344 some preliminary analysis using limited data.

345 To explore the impact of urbanization on the near-surface soil freeze, we used
346 data and information of urban expansion in China from 1990 through 2010 (Wang et
347 al., 2012). The urban areas were manually identified using Landsat TM/ETM+ in the
348 1990s, 2000s and 2010s. The identification was mainly performed by three
349 experienced operators and revised by high-resolution images in Google EarthTM. The
350 interpreted urban areas were finally integrated using statistical data of urban areas in
351 local official yearbooks (Wang et al., 2012).

352 Over the period from 1990 through 2010, three regions can be divided based
353 upon different degree of urbanization rates, i.e., low rate (<200%), medium rate
354 (200% – 500%), and high rate (>500%) of urban expansion (Fig. 10). We calculated
355 the regional anomalies of the number of soil freeze days (Fig. 11). For all three
356 regions, there were significant decreasing trends in the near-surface soil freeze days
357 since 1956 (Fig. 11). For the low- and medium- rate regions, the trends in NF were
358 approximately -0.18 to -0.19 day/yr; while for the high-rate regions, the trend was
359 about -0.26 days/yr, approximately 37% to 44% higher than the other two regions. It
360 showed a similar phenomenon to that shown in Figure 6b. Meanwhile, interannual
361 variations were also significantly large in high-rate regions (Fig. 11). Here we chose

362 1990 as the breakpoint because (1) urban expansion data begins in 1990 (Wang et al.,
363 2012), and (2) 1990 was close to the breakpoint that shown in Figure 6a.

364 We found that NF changed in a statistically non-significant manner in all three
365 regions before 1990, and significantly decreased after 1990 (Fig. 11). The NF
366 decreased sharply and continuously even though air temperature has a warming hiatus
367 since approximately 1998 worldwide (Easterling et al., 2009). Further analysis
368 indicated that after 1990, NF in the regions with the lower rate of urban expansion
369 decreased at a rate of about -0.84 day/yr, while NF in regions with a high rate of
370 urban expansion showed a statistically non-significant change over the same period
371 (Fig. 10).

372 Based on these results, regions with high expansion rates had a significant long-
373 term (1956–2006) decreasing trend in NF, while regions with low and medium
374 expansion rates show a significant decrease in NF but their magnitudes were reduced
375 almost by one-third (Fig. 11). This is because the regions with the high urban
376 expansion rates are large cities along the east coast of China. These regions have been
377 relatively more developed since the mid 1950s, resulting in a greater long-term impact
378 of urban expansion over the past five decades on the near-surface soil freeze,
379 superimposed on long-term climate warming. Over the period from 1990 through
380 2006, the trend in NF was not statistically significant ($P > 0.05$), probably due to
381 climate warming hiatus, while the urban effect may be minimal because the urban
382 expansion mainly occurred around the edges of the large cities and meteorological
383 stations were not moved. For regions with low and medium expansion rates, the long-

384 term decrease trends in NF may mainly reflect the impact of climate warming, with a
385 relatively limited urban expansion effect because these regions are located far inland
386 and are less developed. Meteorological stations in these regions were installed in the
387 1950s and generally located several kilometers away from small and medium cities by
388 to avoid an urban effect on meteorological observations. However, over the period
389 from 1990 through 2006, the magnitude of the decreasing trends in NF increased
390 sharply (Fig. 11). This may be due to the boundary of urban was close to and probably
391 far beyond the meteorological stations, resulting in substantial heat island impacts on
392 the near-surface soil freeze.

393 **4.3 Relationship with snow cover, North Atlantic Oscillation and Arctic** 394 **Oscillation**

395 Snow cover may be an important contributors to the near-surface soil freeze/thaw
396 states in Arctic or pan-Arctic regions. The data used in this study reflected snow
397 surface temperature when the ground surface was fully snow covered. In this case, it
398 was simply assumed that the near-surface soil under the snow is in a frozen state. This
399 assumption is valid because the minimum requirement for the existence of snow on
400 ground is that the ground surface temperature be at or below the freezing point (Zhang
401 et al., 2003). It is possible that soil may not freeze in places where snow cover starts
402 early in autumn, and is relatively thick due to the snow insulation effect (Zhang
403 2005). Meanwhile, because of the effect of a monsoon climate over the Eurasian
404 continent, winter precipitation (snowfall) accounts for a very small fraction of the
405 annual precipitation in China; thus, the influence of snow cover on the near-surface

406 soil freeze-thaw status is very limited.

407 We examined the relationship between the long-term winter (December through
408 February) North Atlantic Oscillations (NAO) and the freeze/thaw parameters in this
409 study. Preliminary results indicated that there is a statistically significant negative
410 relationship between the winter NAO and the duration of the near-surface soil freeze
411 ($P < 0.05$) over the study period. We found that a higher winter NAO index
412 corresponds to a shorter duration of the near-surface soil freeze over China. The first
413 date of the near-surface soil freeze in autumn is positively correlated with the coming
414 January NAO index ($P < 0.05$), indicating that the late near-surface soil freeze
415 corresponds to a higher January NAO index. The number of days of the near-surface
416 soil freeze is also negatively correlated with the February Arctic Oscillation (AO)
417 index ($P < 0.05$), showing that the NF decrease corresponds to a higher February AO
418 index. These results are consistent with shorter DR, less NF, and late FD
419 corresponding to higher winter NAO and/or AO indexes.

420 We further conducted a correlation analysis between the monthly NAO/AO and
421 the annual freezing index of air temperature, and between the monthly NAO/AO and
422 the MAAT (July–June). The preliminary results show that NAO/AO indexes are
423 positively correlated with the MAAT and negatively correlated with the freezing
424 index of air temperature during winter months. These results further demonstrate that
425 higher NAO/AO indexes correspond to warmer winters over China. These results are
426 also consistent with changes in the shorter DR, less NF, and late FD found in our
427 study.

428 **5. Summary**

429 Changes in the timing and duration (the first date, last date, duration, and number
430 of days) of the near-surface soil freeze across China were investigated using ground-
431 based observations at 636 meteorological stations from 1956 through 2006. We also
432 investigated the response of changes in the timing and duration of the near-surface
433 soil freeze to the mean monthly, seasonal, and annual air temperature, the North
434 Atlantic Oscillation and Arctic Oscillation indexes, and urban expansions across
435 China during the past few decades.

436 The timing and duration of the near-surface soil freeze changed significantly
437 from 1956 through 2006 across China. The first date of the near-surface soil freeze
438 was delayed by about 5 days (0.09 ± 0.03 day/yr). The last date of the near-surface
439 soil freeze has occurred by about 7 days earlier (0.14 ± 0.02 day/yr) over the same
440 period. As a result, the duration of the near-surface soil freeze decreased by about 12
441 days, and the number of the near-surface soil freeze days decreased by about 10 days
442 (-0.19 ± 0.03 day/yr) for the period 1956–2006.

443 The changes in the timing and duration of the near-surface soil freeze were
444 accompanied by changes in air temperature. The first freeze date was delayed by
445 about 3.73 ± 0.53 day/ $^{\circ}\text{C}$ with increasing mean autumn (September through
446 November) air temperature, and the last date of freeze advanced by about $-2.68 \pm$
447 0.68 day/ $^{\circ}\text{C}$ with mean spring (March through May) air temperature. As a result, the
448 duration and number of days of the near-surface soil freeze were negatively correlated
449 with mean annual air temperature while positively correlated with freeze index of air

450 temperature. The duration of the near-surface soil freeze was shortened at a rate of -
451 7.61 ± 1.24 day/°C, while the number of days of the near-surface soil freeze
452 decreased at a rate of -6.40 ± 1.06 day/°C, which is about 16% less than that of the
453 duration trend.

454 Urban expansion during the past few decades may also play a role in the changes
455 of the timing and duration of the near-surface soil freeze. The rates of change since
456 the early 1990s were approximately four times larger than the average rates from 1956
457 through 2006. Since the early 1990s, cities in China have expanded, by approximately
458 two to five times in urban area. The heat island effect may play a significant role in
459 the timing and duration of the near-surface soil freeze. We found that changes in the
460 timing and duration of the near-surface soil freeze in areas with low rates of urban
461 expansion were about one-third larger than those in areas with high rates of urban
462 expansion, indicating that the heat island effect in small cities was greater than that in
463 larger cities.

464 Changes in the timing and duration of the near-surface soil freeze are also closely
465 correlated with the AO and the NAO. The changes in the timing and duration of the
466 near-surface soil freeze were inversely correlated with changes in AO and NAO
467 indexes, indicating that cold winters in high Arctic regions may respond to relatively
468 warmer winters across China.

469

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476

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611

612 **Figure Captions**

613

614 **Fig. 1.** Map of meteorological stations across China used in this study. Background
615 reflects elevation, and sizes of circles reflect data availability during the period
616 from July 1971 to June 2001. Boundary line of Qinghai-Tibetan Plateau is from
617 Zhang, Y., et al. (2014).

618

619 **Fig.2.** Climatology of the first date (A), the last date (B), the duration (C) and the
620 number of days (D) of the near-surface soil freeze/thaw status across China. The
621 30-year reference period was from July 1961 through June 1991.

622

623 **Fig. 3.** (A) Composite of anomalies for the first date (FD) from 1956 through 2006
624 across China. The composite of anomalies for FD was simply an average of
625 anomaly across all available stations for each year. The solid circles represent the
626 composite anomaly for a year. The shaded area represents one standard deviation
627 from the mean for each year. The thick line represents a smoothed curve by a
628 cut-off frequency of 0.091. The thick straight lines are linear regression trends.
629 Asterisk indicates a statistically significant trend with a 95% or higher
630 confidential level. (B) Rate of linear trends in FD from 1956 through 2006 for
631 stations with 95% or higher confidential level across China; center-top panel is
632 the histogram the rate of changes in FD.

633

634 **Fig. 4.** Same as Fig.3 except for the last date of the near-surface soil freeze.

635

636 **Fig. 5.** Same as Fig.3 except for the duration of the near-surface soil freeze.

637

638 **Fig. 6.** Same as Fig.3 except for the number of days of the near-surface soil freeze.

639

640 **Fig. 7.** Trends of the first date (FD), the last date (LD), duration (DR), and number of
641 freeze days (NF) at stations in western China (in first row, longitude $\leq 110^\circ$ E)
642 and in eastern China (in second and third rows, longitude $>110^\circ$ E) against
643 latitude ($^\circ$ N) and elevation ($\times 10^3$ m a.s.l). Each point represents one station, with
644 a statistically confidential level of 95% or higher. Solid cycles are data points,
645 and lines are linear fitted lines. Asterisk indicates a significant trend at the 95%
646 confidential level or higher.

647

648 **Fig. 8.** Relationship between (A) anomalies of mean autumn air temperature
649 (September through November) and the first date (FD), (B) anomalies of mean
650 spring air temperature (March through May) and the last date (LD), (C)
651 anomalies of mean annual air temperature (July through June) and duration
652 (DR), and (D) number of days (NF) from 1956 through 2006 across China. All
653 regression lines have a statistically significant trend with at least a 95%
654 confidential level.

655

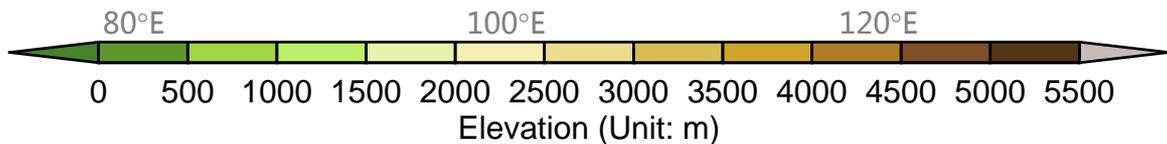
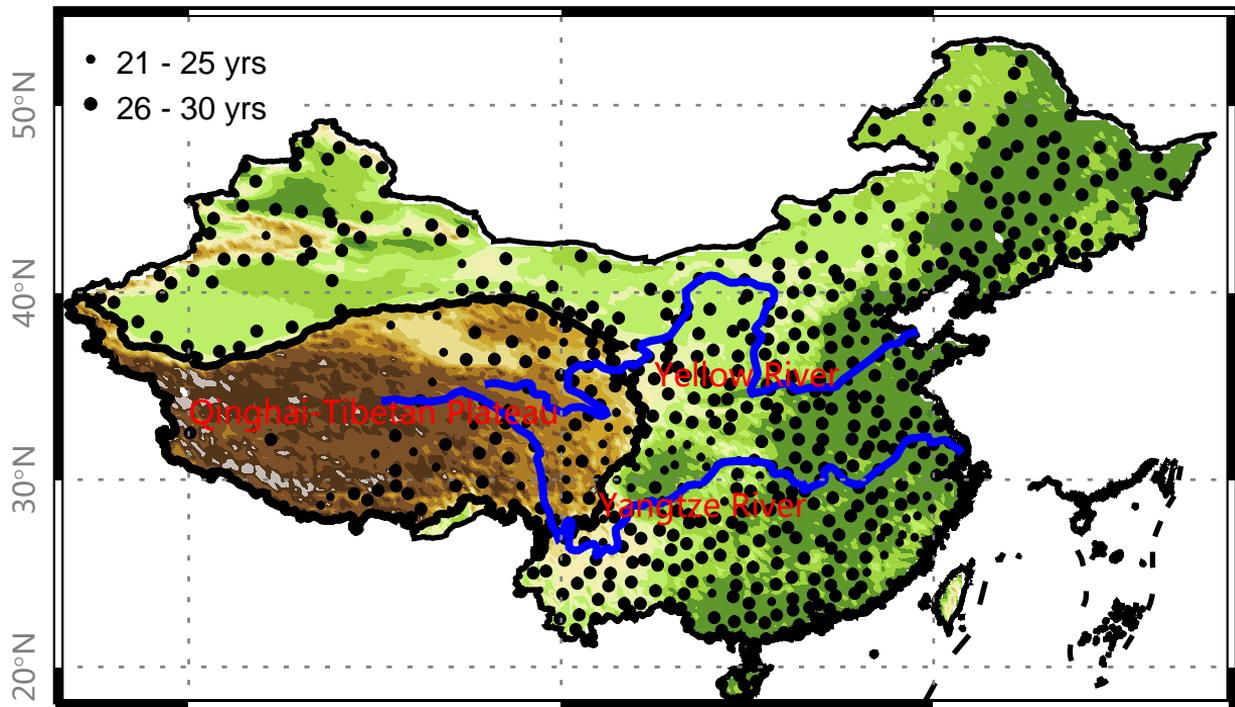
656 **Fig. 9.** Relationship between anomalies of the freeze index of air temperature (AFI)
657 and (A) duration (DR), and (B) number of days (NF) from 1956 through 2006
658 across China. All regression lines have a statistically significant trend with at
659 least a 95% confidential level.

660

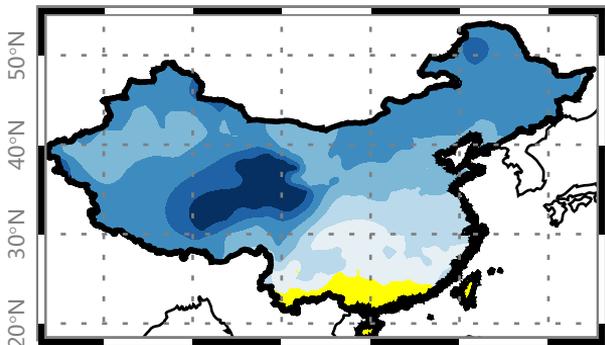
661 **Fig. 10.** Rates of urban expansion from 1990s through 2010s in China (modified from
662 Wang et al., 2012).

663

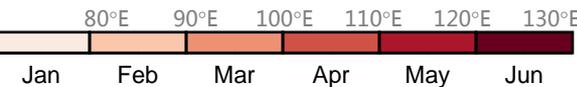
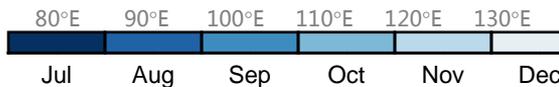
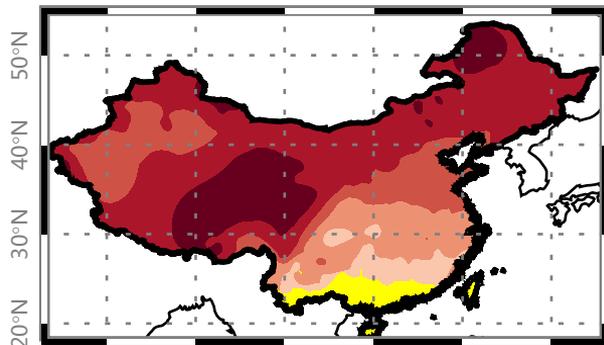
664 **Fig. 11.** Regional changes of number of days (NF) in regions with different
665 urbanization rates (lefts, i.e., A, C, and E). Black lines and red lines depict the
666 linear regression for the period after 1990 and the period since 1956,
667 respectively. Asterisk indicates a statistically significant trend at a 95%
668 confidential level or higher. Rights (B, D, and F) are number of stations used to
669 create each time-series.



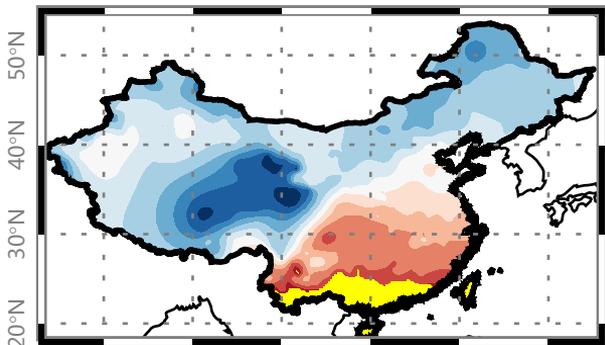
A: First Date



B: Last Date



C: Duration



D: Number of Freezing Days

