

In this documents, the authors provide response to Reviewers' Comments of paper ta-2018-262.

Qu, M. and Pang, X. and Zhao, X. and Zhang, J. and Ji, Q. and Fan, P.: Estimation of turbulent heat flux over leads using satellite thermal images, *The Cryosphere Discussions.*, <https://doi.org/10.5194/tc-2018-262>, in review, 2019.

The authors would very much like to thank the reviewers for their time and constructive comments. We have considered each comment carefully and incorporated practically all of them. Responses to each comment and a marked-up manuscript are as follows:

Reviewer 1:

“The authors present a study build upon widely used space borne thermal-infrared data from MODIS and Landsat-8 in combination with ECMWF ERA-Interim atmospheric reanalysis data to calculate turbulent heat fluxes. Based upon an almost perfectly collocated case study between the two sensors in the Beaufort Sea, the authors present a thorough analysis of the sensors capabilities for the detection of lead sizes and widths as well as a comparison between two different methodologies to calculate the turbulent heat fluxes. Overall, the manuscript is mostly well written and a good extension to existing work in the field.”

General Comments:

“Did the authors do anything about potentially present cloud cover? It looks to me that at least in some areas it could likely be a cloud artifact we are looking at.”

Reply: Thanks for the question. For comparison, ice surface temperature (IST) map from MOD29 product is plotted in Fig.1. Potential cloud pixels are removed in MOD29 using cloud mask from MOD35 product, shown as “Nodata” in green color in Fig1 (b) and (C). As we can see, the pixels within leads marked as cloud are likely open water lead with fog or plume over the surface (Fett et al., 1997). To reserve potential lead areas, we applied the NSIDC algorithm (Hall et al. 2001) on thermal images from MODIS L1B product to calculate IST instead of using the MOD29 product. Since the area within Landsat-8 frame is mostly unobstructed by cloud, no cloud mask procedure was performed in our study.

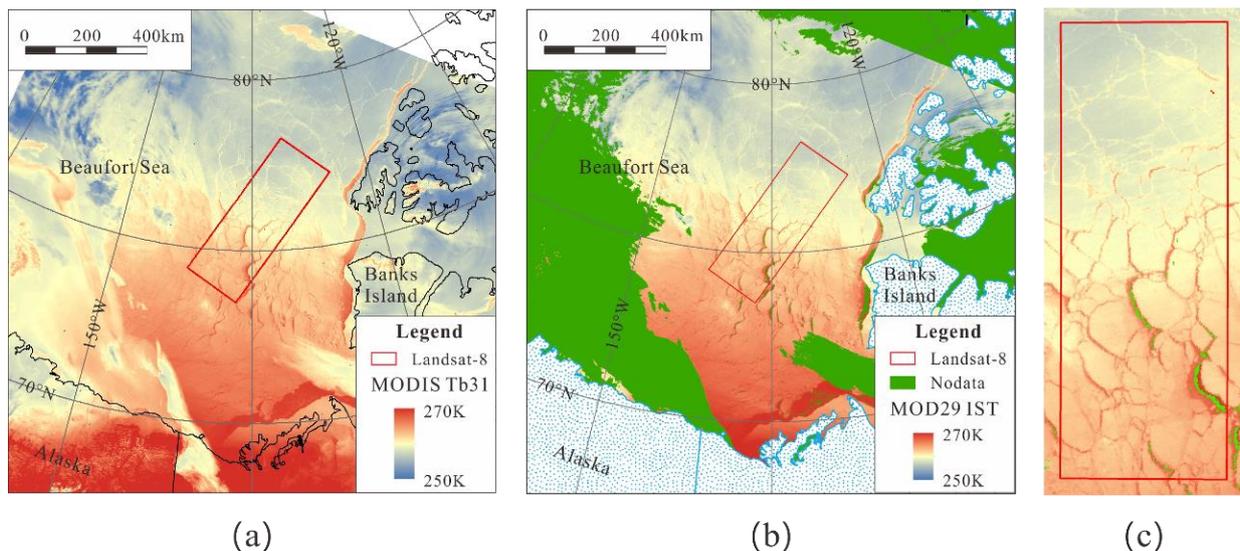


Figure 1 Comparison of MODIS L1B thermal image (a) and MOD29 IST product (b), detail of Landsat-8 frame area in (b) are shown in (c).

In Page 3, Line 13 ~19, we added:

“Willmes and Heinemann (2015) used the MOD29 ice surface temperature (IST) product (Hall and Riggs, 2015) from the National Snow and Ice Data Center (NSIDC) to retrieve leads. The MOD29 product is filtered for cloud contamination using a cloud mask from MOD35. However, inspection of the corresponding MOD29 map of the study area revealed that the pixels within leads marked as clouds are likely open water with ocean fog or plume over the surface (Fett et al., 1997). Apart from that, the study area within the Landsat-8 frame is mostly unobstructed by clouds. To preserve potential lead areas, we applied the NSIDC algorithm (Hall et al. 2001) to thermal images from MODIS L1B to calculate IST instead of using the MOD29. Therefore, no cloud mask procedure was performed in our study.”

Reference:

Fett, R. W., Englebretson, R. E., and Burk, S. D.: Techniques for analyzing lead condition in visible, infrared and microwave satellite imagery. *Journal of Geophysical Research: Atmospheres*, 102(D12), 13657-13671, 1997.

Hall, D. K. and G. Riggs.: MODIS/Terra Sea Ice Extent 5-Min L2 Swath 1km, Version 6. Boulder, Colorado USA. NASA National Snow and Ice Data Center Distributed Active Archive Center. doi: <https://doi.org/10.5067/MODIS/MOD29.006>. 2015.

Hall, D. K., Riggs, G. A., Salomonson, V. V., Barton, J. S., Casey, K., Chien, J. Y. L., ... and Tait, A. B.: Algorithm theoretical basis document (ATBD) for the MODIS snow and sea ice-mapping algorithms. *Nasa Gsfc*, 45, 2001.

Specific Comments:

“P1, L20: Does ‘mainly due to its large area’ refer to the area of small leads? Is that linked to a likelihood of rather being ice free than bigger leads?”

Reply: Yes, the phrase ‘mainly due to its large area’ refers to the total area of small leads. However, as explained in the manuscript, within any remote sensing pixel, the radiometric signature of a narrow lead with open water may be identical to that of a wider lead with thin ice. Since the surface temperature of narrow leads from Landsat-8 are mostly below the freezing point (see figure below), we are not sure whether the temperature signature is caused by subpixel open water lead or just lead covered with thin ice.

Page 8, line23, we write:

“However, within any optical, thermal or microwave image, the radiometric signature of a narrow lead with open water may be identical to that of a wider lead with thin ice.”

Page 20, Line 5, we have Fig.12:

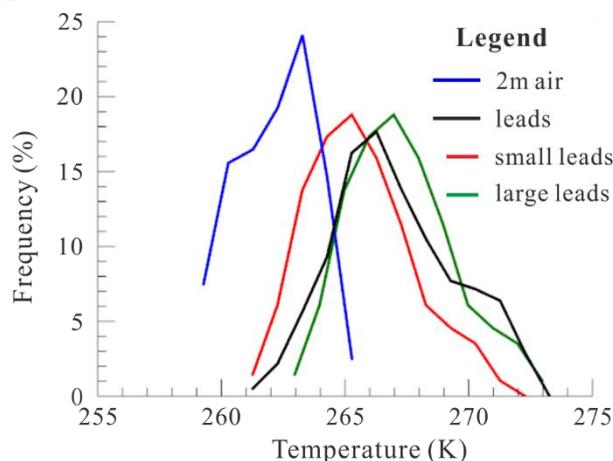


Figure 12. Distribution of 2 m air temperature over leads and surface temperature of all leads, small leads with width <1km, and larger leads with width >5km.

“P2, L44-45: Are Landsat-8 thermal bands really referred to as the ‘split-window’ bands?”

Reply: Yes. Although radiance measured by Landsat-8 Thermal Infrared Sensor (TIRS) suffers from stray light, it can observe ocean surface using two narrow thermal bands centered around $11\mu\text{m}$ and $12\mu\text{m}$, more like the channel 4 & 5 on AVHRR and band 31 & 32 on MODIS rather than the high gain and low gain mode available for ETM+ channel 6 aboard Landsat-7. Such design is referred to as ‘split-window’ channels or bands in literatures about AVHRR and MODIS, so we consider to use it here.

“P3, L15: Could the authors elaborate on their decision to not use the NSIDC MOD29 sea-ice surface temperature product directly but instead calculate it themselves using their parameters? Was it due to the applied cloud mask?”

Reply: Yes, it is all about the cloud mask. As explained above, the NSIDC MOD29 IST product filtered for cloud contamination using cloud mask from MOD35 product, tends to mark open water leads as cloud in the presence of ocean fog or plume (see the Fig. 1 above), thus we avoid MOD29 for this special purpose.

In Page 3, Line 13 ~19, we added:

“Willmes and Heinemann (2015) used the MOD29 ice surface temperature (IST) product (Hall and Riggs, 2015) from the National Snow and Ice Data Center (NSIDC) to retrieve leads. The MOD29 product is filtered for cloud contamination using a cloud mask from MOD35. However, inspection of the corresponding MOD29 map of the study area revealed that the pixels within leads marked as clouds are likely open water with ocean fog or plume over the surface (Fett et al., 1997). Apart from that, the study area within the Landsat-8 frame is mostly unobstructed by clouds. To preserve potential lead areas, we applied the NSIDC algorithm (Hall et al. 2001) to thermal images from MODIS L1B to calculate IST instead of using the MOD29. Therefore, no cloud mask procedure was performed in our study.”

“P6, L17: Could the authors discuss where this difference might originate from? From what I read this might simply be the difference between an optimized for sea-ice temperature scheme in comparison to a multi-purpose one?”

Reply: The difference in IST maps retrieved from MODIS and Landsat-8 TIRS, might result from, as suggested, the algorithms, the thermal radiance measured by the two different sensors, as well as any calibration procedure. As for the difference in algorithms, apart from their application range, we can find that sensor viewing angles are considered in Key’s equation for IST retrieval from AVHRR and MODIS (mainly due to their wide swath), but not in the equation for TIRS. However, their difference has little impact on temperature anomaly and lead detection. A comprehensive comparison of IST retrieved from MODIS and TIRS is not the main goal of this study.

Page 8, Line 1 ~ 2, we added:

“About 23% of the difference (in turbulent heat flux estimated using bulk formulae) can be explained by IST bias between MODIS and TIRS, but most of the difference comes from small leads.”

“P6, L21: I think I missed how exactly these iterative thresholds were calculated or estimated in the first place? In way to match the resulting lead sizes/distributions between the sensors? Iteratively implies for me that there is some kind of number/goal to reach.”

Reply: Thanks for pointing this out. Several image-based threshold selection techniques for a binary lead segmentation were compared in Willmes and Heinemann (2015), and an iterative threshold selection method (Ridler and Calvard, 1978) was recommended for extracting leads from temperature anomaly map.

Assuming an initial threshold using the mean (m_0) of the whole image, the iterative threshold selection method proposed by Ridler and Calvard (1978) seeks for a threshold, m_i , which is the mean of two averages m_A and m_B from two clusters divided by m_i : target A and background B. In our study, this iterative process was performed in an IDL procedure.

Page 4, Line 33 ~ 34, we added the following explanations:

“Assuming an initial threshold using the mean temperature anomaly (m_0) of the whole image, the iterative method seeks a threshold m_i which is the mean of averages m_A and m_B from two clusters divided by m_i : Leads (A) and pack ice (B).”

Ridler, T. W., and Calvard, S.: Picture thresholding using an iterative selection method. IEEE trans syst Man Cybern, 8(8),630-632, 1978.

“P6, L25-26: Is this difference or rather the larger number for MODIS really simply just due to mixed pixels? Later on the authors discuss frequently how much of the total area comes from small leads, which MODIS cannot detect at all. From reading the manuscript, I would rather expect it to be different as there should not be any leads in MODIS that Landsat-8 cannot detect, but surely as the authors also stated, the other way around. Could clouds be a factor here?”

Reply: Thanks for the question. Although the 1km resolution is the finest for MODIS thermal (and AVHRR), potential and subpixel lead can be detected by MODIS at this scale (Lindsay and Rothrock, 1995). The observed lead fractions are composite of thresholds and contrast in surface temperature of leads compared to the surrounding ice, i.e. temperature anomaly Δt . Mixed pixels at MODIS scale might be the main reason for the difference, but the threshold should also be considered. When high thresholds (2nd and 3rd Std) were applied, lead fraction extracted from MODIS drops quickly below that from TIRS (Table 4), this is consistent with result from Key et al. (1994).

About cloud contamination, as explained above, the Landsat-8 image area is mostly unobstructed by cloud. Difference in lead fraction caused by cloud is negligible here.

Page7, Line 24 ~ 26, we added:

“Although, the 1km resolution is the finest for MODIS thermal, the 1km wide lead category at MODIS scale should provide a reasonable guess of potential small leads or subpixel leads at MODIS scale (Lindsay and Rothrock, 1995).”

Page8, Line 33 ~ 34, we added:

“The obtained lead fractions are a composite of thresholds and contrast in surface temperature of leads compared to the surrounding ice, i.e., temperature anomaly Δt .”

Page8, Line 38 ~ 40, we added:

“The difference in lead fractions from the two sensors mainly resulted from mixed pixels at MODIS scale, but the threshold should also be considered. When high thresholds (2nd and 3rd Std) are applied, the lead fraction extracted from MODIS drops quickly below that from TIRS (as shown in Table 4), and this is consistent with results from Key et al. (1994).”

Page 21, Line 3, we have Table 4:

Table 4. Threshold candidates for lead detection and corresponding lead fraction

		Fixed1	Fixed2	Fixed3	1st Std	2nd Std	3rd Std	Iterative
MODIS	Threshold (K)	1	2	3	1.29	2.47	3.65	1.52
	Lead fraction (%)	12.59	6.04	3.69	9.73	4.73	2.71	8.25
TIRS	Threshold (K)	1	2	3	1.90	3.52	5.14	2.49
	Lead fraction (%)	14.85	8.65	6.62	8.93	5.69	2.82	7.53

Reference:

Key, J., Maslanik, J. A., and Ellefsen, E.: The effects of sensor field-of-view on the geometrical characteristics of sea ice leads and implications for large-area heat flux estimates. Remote sensing of environment, 48(3), 347-357, 1994.

Lindsay, R. W., and Rothrock, D. A.: Arctic sea ice leads from advanced very high resolution radiometer images.

“P6, L30: Is the choice of lead-width thresholds arbitrary or is there a reference for that from another study?”

Reply: Thanks for raising this question. We do have some consideration when making these categories. According to Andreas and Cash (1999) model, turbulent heat flux over lead are stable for lead more than a few hundred meters wide. Therefore, for lead with width less than 1km, turbulent heat fluxes estimated from fetch-limited model and bulk formulae are expected to differ more than larger leads. While lead more than 5km or 10km wide is very large and rare in Arctic winter, but can be observed in marginal ice zone, indicating the beginning of summer ice retreat. The choice of 5km break point is partly due to the fact that lead at this scale can be easily observed by passive microwave sensors like AMSR-E/2, and coupled in climate models using bulk formulae. In comparison, we can see how much leads and turbulent heat flux from lead were missed if we used passive microwave data and bulk formulae alone.

Page 4, Line 38 ~ 39, we added:

“Using width samples crossed by transects, Lindsay and Rothrock (1995) found mean lead width between 2 and 3 km in the Arctic winter; larger means are found in peripheral seas.”

“P8, L16: Iterative thresholds are mentioned again but I think I still have not read an explanation yet.”

Reply: As explained above, a description was added; hope this time it is clear.

Page4, Line31~32, we added:

“Assuming an initial threshold using the mean temperature anomaly (m_0) of the whole image, the iterative method seeks a threshold m_i which is the mean of averages m_A and m_B from two clusters divided by m_i : Leads (A) and pack ice (B).”

Ridler, T. W., and Calvard, S.: Picture thresholding using an iterative selection method. IEEE trans syst Man Cybern, 8(8),630-632, 1978.

“P9, L19-20: Technically, MODIS cannot detect any leads in thermal infrared with a width below 1km? You compare numbers from below 1km width with numbers from exactly 1km. I think that should be highlighted better or rephrased.”

Reply: Thanks for raising this question. Yes, MODIS cannot directly detect leads with a width below 1km. But during our image processing, we found that the proportion of lead in a MODIS pixel will influence the finally classification of that pixel. In other words, subpixel leads might be detected at 1km using MODIS thermal images. In the revision, we include the 1km to the first category.

Page 9, Line 19~23, we added:

“In comparison with Landsat-8 TIRS and panchromatic images, we find that the lead map generated from the MODIS IST data neglects very small leads, but overestimates the width of other leads approximately 1 km wide. Overall, the 1 km wide lead category at MODIS scale should provide a reasonable guess of potential small or subpixel leads. The small leads retrieved using TIRS provide a valuable reference for the capacity of MODIS to detect narrow leads.”

Page 10, Line 18~22, we modified the conclusion as:

“Within the studied area, the total length of leads is 10,150.3 km from TIRS, including 8502.2 km (83.76%) from small leads with width less than 1 km. This is in contrast to the total length of 2746.4 km from MODIS, where the narrow leads (1 km wide) only account for 1050.0 km (38.23%). The total length of leads is underestimated by

72.9% in the MODIS data. For the area of leads, small leads (width ≤ 1 km) account for 34.54% of the total lead area from TIRS, but only 13.00% of the total lead area from MODIS.”

Technical Corrections:

“P1, L11: I think that should be ‘scales’”

Corrected.

“P1, L20: ‘flux over leads’”

Corrected.

“P1, L23: ‘exposed to the atmosphere’”

Corrected.

“P7, L6-7: I suggest to rephrase this sentence(s): Table 2 reveals that the total heat flux over leads calculated using TIRS IST is 6.59[: :] over the total area of [: :]km². This is 42.33% larger [: :]”

Corrected.

“P7, L14: Suggest to use ‘difference’ instead of ‘increase’.”

Corrected.

“P7, L18/19: ‘leads’ and ‘widths’. To my understanding, there are probably quite some more cases of that throughout the manuscript. The authors should double-check that.”

Corrected and checked.

“P7, L33-35: I find this last sentence hard to comprehend. Please rephrase.”

Deleted.

“P7, L38: ‘twice as’ large?”

Corrected

“P8, L8: ‘to extract lead signatures from the background’”

Corrected.

“P8, L24: Second Key reference is not capitalized.”

Corrected.

Reviewer 2:

“A review on “Estimation of turbulent heat flux over leads using satellite thermal images”

The focus of the paper is the estimation of turbulent sensible and latent heat fluxes over leads using high-resolution satellite thermal images. The heat transfer over leads play important role in the heat budget of the atmospheric and oceanic boundary layers and affects many processes in the Arctic climate system. However, there is a large uncertainty in the estimates of turbulent heat flux over leads due several reasons: i) the insufficient resolution of satellite images used in models, ii) sparseness of in situ observations and iii) uncertainties in parametrizations of turbulent heat transfer over inhomogeneous sea ice surface. The paper provides new estimates of such uncertainties using satellite images of various resolution and shows the necessity to use high-resolution images and also more adequate parametrizations. To some extent, the paper follows the line of the Marcq and Weiss

(2012) paper, but uses realistic surface and air temperatures, as well as wind speed for their case study and also using different satellite data. Therefore, the study adds to the current knowledge and provides revised estimates of the heat flux calculation uncertainties and thus is relevant and valuable.

However, the quality of the paper is low and has to be strongly improved. It concerns the choice and description of methods, the analysis of results and language. The paper cannot be accepted in its current state. I suggest major revision with resubmission.”

Major comments:

“1.The two methods are used for the turbulent heat flux estimates: the traditional bulk formulae and the fetch-dependent model proposed by Andreas and Cash (1999).

- (1) The bulk formulae and their application have to be described in more detail.
- (2) First of all, it is potential temperature that has to be used in the formula for the sensible heat flux.
- (3) Second, the heat transfer coefficients depend on height, surface roughness lengths for momentum and heat (z_{0m} and z_{0t}) and stability. Which height, which values for the roughness lengths and, finally, which universal stability functions are used?
- (4) The authors say that they use the air temperature at 2m height, but wind speed at 10m height from the reanalysis data. Since these heights differ from each other, the bulk formulae cannot be used in their classical form.
- (5) The authors need to describe in detail how they solve the bulk equations. Do they use z/L or the bulk Richardson number as a stratification parameter in the stability functions?”

Reply: Thanks for your questions and advices.

(1) As suggested, the bulk formulae are now modified as follow:

Page 5, Line13 ~ 17, we write:

$$F_s = \rho_a c_p C_{sh} u_r (T_s - T_r) \quad (5)$$

$$F_l = \rho_a L_v C_{le} u_r (Q_s - Q_r) \quad (6)$$

where ρ_a is the air density; c_p is the specific heat at constant pressure; L_v is the latent heat of vaporization; u_r , T_r , and Q_r are wind speed, air temperature, and specific humidity at reference height $r = 2$ m, respectively; T_s is surface temperature; and Q_s is specific humidity close to the surface..”

Page 5, Line 24 ~ 25, we added:

“ C_{sh} and C_{le} are transfer coefficients for sensible heat and latent heat, calculated using equations from Oberhuber (1988) and Goosse et al. (2000) (see Appendix B).”

- (2) In our study, 2m air temperature from ERA-interim Reanalysis datasets was used as potential temperature T_r in the formulae;
- (3) A constant turbulent heat coefficient of 1.44×10^{-3} from Nihashi and Ohshima (2001) was used in our previous experiment, which might not be appropriated for Arctic leads. As suggested, we have modified the experiments using equations (Appendix B) from Oberhuber (1988), Goose et al. (2000), and Marcq and Weiss (2012) to solve the coefficients for the bulk formulae. Most part of the parameterization originates from Large and Pond (1981, 1982):

Page 11, Line 2 ~ 18, we added Appendix B:

“Equations used for turbulent heat flux estimation using bulk formulae (Large and Pond, 1981, 1982; Oberhuber, 1988; Goosse et al, 2000; Marcq and Weiss, 2012):

$$c_{sh} = 0.0327 \frac{k}{\ln(r/z_0)} \Phi_{sh} = c_{shN} \Phi_{sh} \quad (B1)$$

$$c_{le} = 0.0346 \frac{k}{\ln(r/z_0)} \Phi_{le} = c_{leN} \Phi_{le} \quad (\text{B2})$$

$$\Phi_{sh} = \frac{\sqrt{c_M/c_{MN}}}{1 - c_{shN} k^{-1} c_{MN}^{-1/2} \Psi_H} \quad (\text{B3})$$

$$\Phi_{le} = \frac{\sqrt{c_M/c_{MN}}}{1 - c_{leN} k^{-1} c_{MN}^{-1/2} \Psi_L} \quad (\text{B4})$$

$$\sqrt{\frac{c_M}{c_{MN}}} = \frac{1}{(1 - \sqrt{c_{MN}} k^{-1} \Psi_M)} \quad (\text{B5})$$

$$c_{MN} = \frac{k^2}{\left(\ln\left(\frac{r}{z_0}\right)\right)^2} \quad (\text{B6})$$

$$u_*^2 = c_M u_r^2 \quad (\text{B7})$$

$$T_0 = T_r (1 + 2.2 \times 10^{-3} T_r q_r) \quad (\text{B8})$$

Surface roughness lengths for momentum are given as:

$$z_0 = 0.032 \frac{u_*^2}{g} \quad (\text{B9})$$

For unstable conditions:

$$\Psi_H(A) = \Psi_L(A) = 2 \ln\left(\frac{1+A^2}{2}\right) \quad (\text{B10})$$

$$\Psi_M(A) = 2 \ln\left(\frac{1+A}{2}\right) + \ln\left(\frac{1+A^2}{2}\right) - 2 \arctan A + \frac{\pi}{2} \quad (\text{B11})$$

$$A = (1 - 16(r/L))^{1/4} \quad (\text{B12})$$

$$r/L = \frac{100r}{T_0 u_r^2} \left((T_s - T_r) + 2.2 \times 10^{-3} T_0^2 (q_s - q_r) \right) \quad (\text{B13})$$

(4) Since the wind speed and air temperature from ERA-interim are at different height, in our previous manuscript, a power law equation was used to calculate u_r using 10m wind magnitude u_{10} from ERA-interim:

$$\frac{u_r}{u_{10}} = \left(\frac{r}{10}\right)^a$$

where a is the wind shear exponent. An empirical value of $a = 1/7$ was used. In our modified experiments, a log law equation based on principles of boundary layer flow, was used (Ray et al., 2006):

Page 5, line 26~29, we added:

Since the wind speed and air temperature from ERA-interim are at different heights, a wind profile equation was used (Ray et al., 2006):

$$\frac{u_{10}}{u_r} = \frac{\ln 10 - \ln Z_0}{\ln r - \ln Z_0} \quad (9)$$

where u_{10} and u_r are wind speed at 10m and 2m height, and Z_0 is the surface roughness length.”

As a result, the mean wind speed at 2m height over leads, rises from ~5m/s (using power law) to ~7m/s (using log law). Estimated turbulent heat flux also increases in response.

(5) Assuming an initial value for friction velocity u_* , the equations (B1) ~ (B13) and Eq. (9) are solve iteratively. As shown in Eq. (B13), the equations use z/L as a stratification parameter, comparing to the bulk Richardson number used in Andreas and Cash (1999).

Reference:

Nihashi S, Ohshima K I.: Relationship between ice decay and solar heating through open water in the Antarctic sea ice zone. *Journal of Geophysical Research: Oceans*, 106: 16767-16782. 2001.

Oberhuber, J. M.: An atlas based on the 'Coads' data set: The budgets of heat, buoyancy and turbulent kinetic energy at the surface of global ocean. 1988.

Goosse, H., Campin, J. M., Deleersnijder, E., Fichefet, T., Mathieu, P. P., Maqueda, M. M., and Tartinville, B.: Description of the CLIO model version 3.0. Institut d'Astronomie et de Géophysique Georges Lemaitre, Catholic University of Louvain, Belgium. 2001.

Marcq, S., and Weiss, J.: Influence of sea ice lead-width distribution on turbulent heat transfer between the ocean and the atmosphere. *The Cryosphere*, 6, 143-156, 2012.

Large, W. G., and Pond, S.: Open ocean momentum flux measurements in moderate to strong winds. *Journal of physical oceanography*, 11(3), 324-336. 1981.

Large, W. G., and Pond, S.: Sensible and latent heat flux measurements over the ocean. *Journal of physical Oceanography*, 12(5), 464-482. 1982.

Ray, M. L., Rogers, A. L., and McGowan, J. G.: Analysis of wind shear models and trends in different terrains. University of Massachusetts, Department of Mechanical and Industrial Engineering, Renewable Energy Research Laboratory. 2006.

“2. Concerning the fetch-dependent model. In lines 5-10 at page 5, the authors claim that the heat transfer over large leads is less efficient because the temperature (and humidity) difference between the lead surface and air is decreasing with fetch. This mechanism is present in the Renfrew and King (2000) model for heat fluxes over polynya (Renfrew, I.A. & King, J.C. *Boundary-Layer Meteorology* (2000) 94: 335. <https://doi.org/10.1023/A:1002492412097>) and in the model of Chechin and Lüpkes for cold-air outbreaks over the marginal sea-ice zone (Chechin, D. and Lüpkes, C. (2017), *Boundary-Layer Meteorology*, 162:91-116, pp. 1-26. doi: 10.1007/s10546-016-0193-2). The authors should refer to these papers. However, in the basis of the Andreas and Cash model there is a different physical mechanism of how fetch affects turbulent heat transfer. Andreas and Cash suggest that the thicker the thermal boundary layer is, the closer the conditions are to the free-convective limit. They claim that in the free-convective limit the heat transfer is less efficient than in the forced convection. I suggest, that the authors review the existing physical interpretations of the effect of fetch, e.g. by Andreas and Cash, by Alam and Curry (1997), which are different. Also (!), in the Andreas and Murphy (1986) paper, different physics is described (e.g., the effect of a more rough sea ice, for example, and a different interpretation of the free convection contribution). Also, refer to the Esau 2007 paper (Amplification of turbulent exchange over wide Arctic leads: Large eddy simulation study, *J. Geophys. Res.*, 112, D08109, doi:10.1029/2006JD007225.)”

Reply: Thanks for these valuable suggestions, a brief review on recommended studies was added to our manuscript.

Page 2, Line27 ~ 40, we added:

“Models were developed for estimation of TIBL thickness and turbulent heat flux over coastal polynyas, leads, and ice edges (Alam and Curry, 1997; Andreas and Cash, 1999; Renfrew and King, 2000, Chechin and Lüpkes, 2017). Chechin and Lüpkes (2017) modeled boundary layer development downwind of the ice edge, potential temperature, and mix-layer height, and wind speed variation was analyzed as well. Renfrew and King (2000) modeled turbulent heat flux over large fetch (5–50 km wide, typical for coastal polynya) during cold-air outbreaks. The dependence of turbulent heat flux on lead width was estimated in several studies (Andreas and Murphy, 1986; Alam and Curry, 1997; Andreas and Cash, 1999). On the basis of the Monin–Obukhov similarity theory and the surface renewal theory, Alam and Curry (1997) estimated turbulent heat flux over leads using an intricate surface roughness model (Bourassa et al., 2001). Sensible heat flux across a single lead is integrated from fetch 0 to fetch X. Andreas and Murphy (1986) calculated transfer coefficient C_{N10} at 10 m height for turbulent heat in neutral

stability, using the nondimensional fetch $-X/L$, where L is the Obukhov length. A maximum C_{N10} of 1.8×10^{-3} was found at small fetch, and the value decrease to 1.0×10^{-3} with increasing $-X/L$. Andreas and Cash (1999) computed lead-average turbulent heat flux using transfer coefficient C_* as a function of stability parameter $-h/L$, where h is the fetch-dependent height of the TIBL. For small fetch ($-h/L < 6$), turbulent heat is exchanged by mixed free and forced convection, resulting in a large C_* and higher heat flux.”

Page 5, Line38 ~ 40, we added:

“Another mechanism is described in Esau (2007) for leads 1km~10km wide. Under weak wind condition (~2 m/s), convective overturning prevents cold breezes from penetrating into the lead area, reducing the average turbulent heat flux.”

“3. As already mentioned, there is another model which takes into account the dependency of heat flux on fetch over leads, namely, the Alam and Curry (1997) model. This model has different physics and more processes are taken into account. It is not clear why the authors prefer the Andreas and Cash model and do not consider the Alam and Curry model. This has to be explained.”

Reply: Thanks for pointing out the Alam and Curry (1997) model. In that model, turbulent heat flux across single lead is integrated from fetch 0 to fetch X , along the wind direction. Indeed, it is more theoretical and takes more factors into account. But in the large scale application, it is hardly applicable due to lack of high resolution meteorological data like 2m air temperature. Besides, the model assumes universal water surface within leads (with a complicated surface roughness model for open ocean), which is different from our case where narrow open water or thin ice dominate. Actually, we tried to apply the Alam and Curry (1997) model in the remote sensing setting, but failed due to lack of sea surface information (e.g. phase speed, wave age etc.). Thus, only the fetch-limited model of Andreas and Cash (1999) was selected in our experiment.

Page 6, Line 2 ~ 8, we added the explanations as follows:

“However, the assumption of universal water surface in leads and the application of sea surface roughness model (Andreas and Murphy, 1986; Alam and Curry, 1997) are not applicable in our case, where open water and thin ice dominate. Since the signal of TIBL is absent in the coarse grid of 2 m air temperature from the ERA reanalysis dataset, the data might not be appropriate to demonstrate the Alam and Curry (1997) model, which relies on accurate measurement of meteorological parameters. Whereas the Andreas and Cash (1999) model is more sensitive to lead width than atmospheric conditions (Marcq and Weiss, 2012). Therefore, only the Andreas and Cash (1999) model was used in our experiment.”

“4. One of the results of the study is that the fetch-dependent model produces larger fluxes than the bulk formulae. However, the transfer coefficients in the bulk formulae depend strongly on the roughness length for momentum and heat (z_{0m} and z_{0t}) and therefore, the obtained result is only valid for specific values of z_{0m} and z_{0t} , which are not given in the paper (!). Using other values for z_{0m} and z_{0t} can produce completely different results.

The Andreas and Cash model, as it is described in the paper, does not show an explicit dependency on the roughness length. However, implicitly, roughness is present in their model and the authors need to describe how the roughness length is present in the model of Andreas and Cash. What are the values for the roughness length in the Andreas and Cash model and how do they compare with the ones used in the bulk formulae?

Note, that the Andreas and Cash model is a reformulation of the earlier Andreas and Murphy model. The latter is formulated in such a way that it is compatible with bulk formulae. Namely, they are suggesting to use a fetch-dependent “lead-averaged” neutral heat transfer coefficient. In other words, the Andreas and Murphy formulation would allow a more reasonable comparison with the standard bulk approach.”

Reply: Thanks for the question. For open water leads, Andreas and Murphy (1986) parameterize turbulent transfer coefficient at 10m as $10^3 C_{N10} = 1.0 + 0.8 \text{ EXP}(0.05(X/L))$, where X is the fetch or lead width. However, the reference

height of 10m might not be suitable for study narrow leads in pack ice, where the TIBL is generally shallower. On the base of Andreas and Murphy (1986), Andreas and Cash (1999) used bulk Richardson number Ri_b to calculate Obukhov Length L i.e. Eq. (17) under the assumption that the ratio between roughness lengths for momentum and heat, i.e. Z_0 / Z_T , is about e^2 . In our case, this simplification result in a higher $|L|$ than that from Oberhuber (1988) and Goosse et al. (2000). If the Obukhov length from Eq. (B8) and (B13) were used to calculate the coefficient C_* in Andreas and Cash (1999) model, and estimated turbulent heat flux will be smaller (Table 3), but still 15.53% larger than that from bulk formulae.

Page 9 line 25~31, we added:

“In both the Andreas and Murphy (1986) and Andreas and Cash (1999) models, for reference height $r < 10$ m, the ratio between roughness lengths for momentum and heat, Z_0/Z_T , is assumed to be $\sim e^2$ to calculate Obukhov length L using Richardson number Ri_b (see Eq. (17)). The calculated Obukhov length L has absolute values about 67% higher than those using Eq. (B8) and (B13) from the bulk formulae (Oberhuber, 1988; Goosse et al., 2000). If Eq. (B8) and (B13) were used to solve Obukhov length and coefficient C_* in the Andreas and Cash (1999) model, estimated turbulent heat flux will be smaller (Table 3), but still 15.53% larger than that from the bulk formulae, with an even larger part of the difference from the small lead category (42.48%, compared to 32.96% in Section 4.3.2).”

Page 6, Line 27, we have Eq. (17)

$$L^{-1} = 8.0 * \left(\frac{0.65}{r} + 0.079 - 0.0043r \right) * Ri_b \quad (17)$$

Page 21, we updated Table 3:

Table 1. Same as Table 3 in the manuscript, estimated turbulent heat flux (W) for Landsat-8 TIRS using bulk formulae, the Andreas and Cash (1999) model, and modified Andreas and Cash model using Obukhov length from Eq. (B8) and (B13).

Lead category	Bulk formulae		Andreas and Cash & L		Andreas and Cash (1999)	
	Heat flux	Contribution	Heat flux	Contribution	Heat flux	Contribution
<1km	2.16E+11	25.75%	2.72E+11	27.99%	3.06E+11	27.50%
1km~5km	3.37E+11	40.09%	3.86E+11	39.75%	4.43E+11	39.81%
>5km	2.87E+11	34.17%	3.13E+11	32.25%	3.63E+11	32.68%
Total	8.40E+11		9.71E+11		1.11E+12	

“5. Describe better the case study. Which date is it, what are the synoptic conditions over the study area? Was it a clear-sky case or clouds were present? Does it represent typical conditions in the Arctic? The presented surface and air temperature distributions suggest that this is either autumn or late spring. But one would expect that the effect of leads is strongest in winter.”

Reply: Thanks for the question. The Landsat-8 and MODIS images used in our study were acquired on April 26, 2016. The study area is mostly unobstructed by cloud in this scene. According to the ERA-interim datasets, the study area is dominated by polar easterlies, with 10m wind speed range from 4.8 to 9.5 m/s. Air temperatures at 2m from the reanalysis data range from 257.3 ~ 263.8K. Temperature difference between surface and 2m air is about 5K. The Landsat-8 imagery is sparse in the Arctic ocean, especially in the winter polar night. These three successive scenes of thermal images can also provide valuable details of spatial distribution of spring leads.

Page 3, Line 6, we added: “acquired on April 26, 2016”

Page 20, we updated Table 1:

Table 2. Same as Table 1 in the manuscript, satellite images and other data used in this study.

Resource	Parameters	Spatial-resolution	Time	Notes
Landsat-8 TIRS	Band5	30m	21:27	Near-infrared
	Band8	15m	21:27	Panchromatic
	Band10	30m	21:27	10.60 μ m-11.19 μ m
	Band11	30m	21:27	11.50 μ m-12.51 μ m
Terra MODIS	Band31	1000m	20:55	10.78 μ m-11.28 μ m
	Band32	1000m	20:55	11.77 μ m-12.27 μ m
ERA- interim Reanalysis	10m wind	0.125 $^{\circ}$ (~10km)	21:00	4.8~9.5m/s
	2m air temperature	0.125 $^{\circ}$ (~10km)	21:00	259.3~265.6K
	2m dew point temperature	0.125 $^{\circ}$ (~10km)	21:00	257.3~263.8K

Minor comments:

–Page 1, lines 26-27, rephrase “The rate of turbulent heat transferred”, simply “Turbulent heat flux”

Corrected

–Page 1, line 36. “More intensive network” needs clarification. Also, “stronger influence of leads” - influence on what?

Corrected, “networks of more intensive lead with stronger local influence are expected”

– Page 2, line 14 - “heat flux transfer rate” - the efficiency of heat transfer?

Corrected, “Assuming higher heat transfer over narrow leads than wider leads”

– Page 2 line 16 – remove “More often than not”

Corrected

– Page 2, lines 20-25, explain better what is meant by “Fetch limited models” and how they are using the fetch-dependence of the internal boundary layer height. Otherwise, the logic is disrupted.

A review of models developed for estimation of internal boundary layer height and turbulent heat flux was added on page 2 Line 27 ~ 40.

– At least in the introduction the authors should cite the study by Tetzlaff et al. (2015) where the most recent observations of heat fluxes and the internal boundary layer height over leads are presented: Tetzlaff, A. , Lüpkes, C. and Hartmann, J. (2015), Aircraft based observations of atmospheric boundary layer modification over Arctic leads. Q.J.R. Meteorol. Soc., 141: 2839-2856. doi:10.1002/qj.2568

Page2, Line 25 ~ 26, we added:

“Convective plumes formed above leads may further complicate the process within the TIBL (Tetzlaff et al., 2015).”

– Page 3, line 10. The actual grid of the ERA-Interim reanalysis has horizontal spacing 0.75 $^{\circ}$ and not 0.125 $^{\circ}$. The original ERA Interim data is interpolated on the 0.125 $^{\circ}$ grid which does not increase the resolution.

Corrected

Page3, Line 9, we write: “This dataset provides global coverage with a temporal resolution of 3 hours, 0.125 $^{\circ}$ grid data is available for download (~10 km in study area, interpolated from original 0.75 $^{\circ}$ grid).”

– Page 3, line 39. albedo anomaly

Corrected

– Page 4, Lines 8-9 rephrase “varying air condition”

Corrected, “air temperature variation”

– Page 4, Line 21. “limited used of lead width” - what does it mean??

Deleted

– Page 4, Line 29 “rate of turbulent heat change” - what does it mean?? Rephrase!

Corrected, “turbulent heat exchange”

– Page 4, Eq. 4 – use CH instead of Cs for the heat transfer coefficient

Corrected

– Page 4, Line 36 Ce is the bulk transfer coefficient for water vapor

Corrected

– Page 4, Line 37 “at the surface”, this is wrong. These values are at heights z_{0t} and z_{0q} , which are the roughness lengths for heat and moisture fluxes.

Corrected.

– Page 4, Do the authors use the saturation specific humidity over ice or over water? Do they distinguish between the open water and thin ice in this respect?

Yes. Saturated specific humidity was used over lead surface for both open water and thin ice, but different sets of parameter were used to calculate saturated vapor pressure at the surface of open water and thin ice.

Page 5, Line 20~23, we write:

“ e_{s0} represents the saturated vapor pressure at surface temperature T_s :

$$e_{s0} = e_0 10^{\frac{at}{b+t}} \quad (8)$$

with e_0 represent saturate vapor pressure at 0 °C, approximately 6.11 hPa, t is temperature in Celsius, and a and b are coefficients (for water surface, $a = 7.5$, $b = 237.3$ K; for ice, $a = 9.5$, $b = 265.5$ K).”

– Page 5, Line 11. This is shown in figure 4, not in figure 3.

Corrected

– Page 5, Line 35, Not “in spite”, but “apart from a dependency on the width of a lead”

Corrected

– Page 5, line 36. Which simulation? What was used for this simulation - Bulk formulae, or the Andreas and Cash model? For the bulk model, such result is obvious and does not need to be shown. Andreas and Cash write that their model is, on the contrary, not very sensitive to wind speed.

The simulation, as well as Fig. 3 and 4, is based on Andreas and Cash (1999) parameterization. Although, they claim that their model “depends only weakly on surface level wind speed”. Our test shows that, for the narrowest lead from TIRS ($X=30$ m), turbulent heat flux, especially sensible heat, rises quickly with larger Δt and stronger wind.

– Page 6, Section name “Results” and not “Result”.

Corrected

– Page 6, line 35. How is the length of leads calculated?

Page 4, Line 43 ~ page 5, Line 2 we added:

Since we assign lead width to every pixel across the lead, the length L_i for lead width X_i can be calculated as follow:

$$L_i = \frac{a_0 N_i}{X_i} \quad (4)$$

where a_0 is the pixel size, for TIRS, the value is 30 m, for MODIS, 1km; and N_i is pixel number for width $X_i = a_0 i, (i = 1, 2, 3 \dots)$.

– Page 6, line 35. The Authors say that the MODIS resolution is 1000m. However, they introduce a class of small (which they call narrow in other places) leads with width less or equal 1km. Somehow, they found 13% of such leads in the MODIS image. They need to comment if 1km wide leads are resolved with 1km resolution.

Reply: The detectability of leads are composite of thresholds and contrast in surface temperature of leads compared to the surrounding ice, i.e. temperature anomaly Δt . Although the 1km resolution is the finest for MODIS thermal (and AVHRR), potential and subpixel lead can be detected at this scale (Lindsay and Rothrock, 1995). In the revised version, we now include 1km in the first category, and statistics in Table 2 are updated.

Page7, Line 24 ~ 26, we added:

“Although, the 1km resolution is the finest for MODIS thermal, the 1km-wide lead category should provide a reasonable guess of potential small leads or subpixel leads at MODIS scale (Lindsay and Rothrock, 1995).”

Page 9, Line19 ~ 23, we added:

“In comparison with Landsat-8 TIRS and panchromatic images, we find that the lead map generated from the MODIS IST data neglects very small leads, but overestimates the width of other leads approximately 1 km wide. Overall, the 1 km wide lead category at MODIS scale should provide a reasonable guess of potential small or subpixel leads. The small leads retrieved using TIRS provide a valuable reference for the capacity of MODIS to detect narrow leads.”

Lindsay, R. W., and Rothrock, D. A.: Arctic sea ice leads from advanced very high resolution radiometer images. *Journal of Geophysical Research: Oceans*, 100(C3), 4533-4544, 1995.

– Page 7, line 4. The direction of fluxes from the ocean to the atmosphere is not consistent with the Eq. (4) and (5). The authors should modify the Equations to get the right sign and direction of fluxes.

Corrected.

– Page 7 line 12. In which range of width is the fetch-limited model valid? Why was it applied to Landsat only? There wide leads in Landsat as well. Write that Landsat better resolves leads, so that’s why it was applied to it.

Reply: Andreas and Cash (1999) fit C^* for $-h/L$ range from 0.05 to 20. Although high wind ($\sim 7\text{m/s}$) and low temperature difference ($\sim 5\text{K}$) in our case leading to large $|L|$ up to 40m, the C^* fitting still holds for lead width $X > 15\text{m}$ from TIRS. Since the turbulent heat flux saturate for lead width great than 1km, as depicted in Fig. 4, we think there is no need to apply the model with coarse leads from MODIS.

Marked-up manuscript:

Estimation of turbulent heat flux over leads using satellite thermal images

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Abstract. Sea ice leads are an important feature in pack ice in the Arctic. Even covered by thin ice, leads can still serve as prime windows for heat exchange between the atmosphere and the ocean, especially in the winter. Lead geometry and distribution in the Arctic have been studied using optical and microwave remote sensing data, but turbulent heat flux over leads has only been measured onsite during a few special expeditions. In this study, we derive turbulent heat flux through leads at different scales using a combination of surface temperature and lead distribution from remote sensing images and meteorological parameters from a reanalysis dataset. First, ice surface temperature (IST) was calculated from Landsat-8 Thermal Infrared Sensor (TIRS) and Moderate Resolution Imaging Spectroradiometer (MODIS) thermal images using a split-window algorithm; then, lead pixels were segmented from colder ice. Heat flux over leads was estimated using two empirical models: bulk aerodynamic formulae and a fetch-limited model with lead width from Landsat-8. Results show that even though the lead area from MODIS is a little larger, the length of leads is underestimated by 72.9% in MODIS data compared to TIRS data due to the inability to resolve small leads. Heat flux estimated from Landsat-8 TIRS data using bulk formulae is 56.70% larger than that from MODIS data. When the fetch-limited model was applied, turbulent heat flux calculated from TIRS data is 32.34% higher than that from bulk formulae. In both cases, small leads accounted for more than a quarter of total heat flux over leads, mainly due to the large area, though the heat flux estimated using the fetch-limited model is 41.39% larger. A greater contribution from small leads can be expected with larger air–ocean temperature difference and stronger winds.

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1 Introduction

Leads are linear structures of the ocean surface within pack ice that are exposed to the atmosphere during an opening event caused by various forces, such as wind and water stresses. In winter, thin ice forms quickly in newly opened leads due to the large temperature difference between the ocean and the atmosphere (Kwok, 2001). The opening of leads breaks the continuity of insulating ice and creates windows for a stronger air–ocean interaction. Newly opened leads are the main source of ice production, brine rejection, and heat transfer from the ocean to the atmosphere (Alam and Curry, 1998). Turbulent heat flux over open water could be two orders of magnitude larger than that through mature ice (Maykut, 1978). Although decreasing rapidly with growing ice thickness, ice growth rates can still be an order of magnitude larger for 50 cm thick young ice than for 3 m thick ice (Maykut, 1986). In the central Arctic, open water usually comprises no more than 1% of the ice pack area during the winter. However, open water, together with thin ice (<1 m) estimated to be 10% of the whole ice area, contributes to more than 70% of the upward heat flux (Maykut, 1978; Marcq and Weiss, 2012). **A model study shows that an increased lead fraction by 1% can lead to local air temperature warming up to 3.5 K in winter (Lüpkes et al., 2008).**

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Leads also allow more surface absorption of radiation due to their lower albedo compared to thick ice. This will accelerate sea ice thinning in summer and delay refreezing in early winter, therefore decrease the mechanical strength of the ice cover and allow even more fracturing, larger drifting speed and deformation, and faster export of sea ice to lower latitudes (Rampal et al., 2009). As the ice pack gets thinner (Kwok and Rothrock, 2009) and more mobile (Sprenn et al., 2011), favorable for deformation and opening, **networks of more intensive lead with stronger local influence** are expected.

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Since the late 1970s, remote sensing images obtained by satellite sensors, including optical, thermal, and microwave, have

been used to detect sea ice leads in the Arctic (Fetterer and Holyer, 1989; Fily and Rothrock 1990; Fett et al., 1997). Lindsay and Rothrock (1995) promoted the concept of potential open water for lead detection, which requires both temperature and albedo differences between ice surface pixels and open water tie-points. Based on different emissivities of thin ice at two microwave frequencies available for the Advanced Microwave Scanning Radiometer for the Earth Observing System (AMSR-E), Röhrs and Kaleschke (2012) developed a retrieval algorithm to estimate Arctic lead concentration, similar to sea ice concentration. The algorithm could provide subpixel information on lead distribution, but the resolution is still too coarse to detect small leads prevailing in pack ice. Willmes and Heinemann (2015) mapped pan-Arctic lead distribution at 1 km resolution using local temperature anomaly ΔT_s to identify leads from surrounding thick ice. Other remote sensing data, including altimetry, high-resolution optical, and synthetic-aperture radar (SAR) images, were also used to identify leads in limited areas due to constraints of cloud contamination and data acquisition restrictions (Key et al., 1993; Miles and Barry, 1998; Kwok, 2001; Weiss and Marsan, 2004; Wernecke and Kaleschke, 2015; Murashkin et al., 2018).

Regardless of spectral characteristics used for lead detection, the scale dependence of lead statistics was explored in a few studies (Key et al., 1994; Weiss and Marsan, 2004; Marson et al., 2004). Key et al. (1994) studied the effects of the sensor's field of view (FOV) using degraded optical images from the Landsat Multi-Spectral Scanner (MSS). They suggested that the mean lead width expands, and the lead fraction drops as the pixel size builds up in gradually degraded images. Assuming higher heat flux over narrow leads than wider leads, estimated turbulent heat flux was reduced by 45% as the FOV was degraded from 80 m to 640 m, mainly due to reduced lead fraction.

Bulk aerodynamic formulae are frequently used in climate models to generalize the turbulent heat flux from open water in Arctic pack ice (Lindsay and Rothrock, 1994; Walter et al. 1995). The bulk formulae attribute heat flux over leads to wind speed, temperature differences between the surface and the atmosphere, and a turbulent transfer coefficient for heat, which is a function of the stability of the near-surface atmosphere and the roughness of the surface. In this approach, Lindsay and Rothrock (1994) estimated sensible heat flux using surface temperature retrieved from the Advanced Very-High-Resolution Radiometer (AVHRR). While observations suggest that for small leads, down to dozens of meters in width, the discontinuity between leads and pack ice causes the creation of a thermal internal boundary layer (TIBL) in the bottom atmosphere, reducing turbulent heat exchange on the downwind side (Venkatram, 1977; Andreas et al., 1979). **Convective plumes formed above leads may further complicate the process within the TIBL (Tetzlaff et al., 2015).**

Models were developed for estimation of TIBL thickness and turbulent heat flux over coastal polynyas, leads, and ice edges (Alam and Curry, 1997; Andreas and Cash, 1999; Renfrew and King, 2000, Chechin and Lüpkes, 2017). Chechin and Lüpkes (2017) modeled boundary layer development downwind of the ice edge, potential temperature, and mix-layer height, and wind speed variation was analyzed as well. Renfrew and King (2000) modeled turbulent heat flux over large fetch (5–50 km wide, typical for coastal polynya) during cold-air outbreaks. The dependence of turbulent heat flux on lead width was estimated in several studies (Andreas and Murphy, 1986; Alam and Curry, 1997; Andreas and Cash, 1999). On the basis of the Monin–Obukhov similarity theory and the surface renewal theory, Alam and Curry (1997) estimated turbulent heat flux over leads using an intricate surface roughness model (Bourassa et al., 2001). Sensible heat flux across a single lead is integrated from fetch 0 to fetch X. Andreas and Murphy (1986) calculated transfer coefficient C_{N10} at 10 m height for turbulent heat in neutral stability, using the nondimensional fetch $-X/L$, where L is the Obukhov length. A maximum C_{N10} of 1.8×10^{-3} was found at small fetch, and the value decrease to 1.0×10^{-3} with increasing $-X/L$. Andreas and Cash (1999) computed lead-average turbulent heat flux using transfer coefficient C_* as a function of stability parameter $-h/L$, where h is the fetch-dependent height of the TIBL. For small fetch ($-h/L < 6$), turbulent heat is exchanged by mixed free and forced convection, resulting in a large C_* and higher heat flux.

A power law distribution of lead widths was also reported in various studies (Wadhams, 1981; Wadhams et al., 1985; Lindsay and Rothrock, 1995), indicating that small leads prevail in the Arctic. Impacts of lead width on heat flux were reported by Maslanik and Key (1995) and Marcq and Weiss (2012) using different width distribution models. However, fetch-limited models have not been applied to surface temperature fields retrieved from remote sensing imagery to estimate turbulent heat flux at regional scale, due to the coarse resolution of operational thermal sensors. Fortunately, the launch of Landsat-8 in February 2013 has provided a unique opportunity for estimation of turbulent heat flux with finer-resolution temperature fields.

In this paper, we derive lead distribution using thermal images from two sensors, MODIS and TIRS aboard Terra and

Landsat-8, respectively, at different resolution scales. Then we estimate heat flux over leads with remote sensing temperature fields using both the bulk formulae and a fetch-limited model proposed by Andreas and Cash (1999). With the result, we analyze how the scale property of leads may affect the calculation of heat exchange through leads.

2 Data

5 Three successive scenes of Level 1 terrain-corrected (L1T) Landsat-8 TIRS images and one corresponding MODIS image acquired on April 26, 2016, were used in this study (Table 1). As shown in Fig. 1, the mosaic image of the three TIRS scenes covers an area of about 98,000 km² in the marginal ice zone (MIZ) in east Beaufort Sea, with floes and leads of various lengths and widths spread in the region. We obtained corresponding 10 m wind vector, 2 m air temperature, and dew point temperature from the European Center for Medium-Range Weather Forecasts (ECMWF) ERA-interim reanalysis dataset. This dataset
 10 provides global coverage with a temporal resolution of 3 hours, 0.125° grid data is available for download (~10 km in study area, interpolated from original 0.75° grid). The time difference between reanalysis data and Landsat-8 or MODIS images is within half an hour.

15 Willmes and Heinemann (2015) used the MOD29 ice surface temperature (IST) product (Hall and Riggs, 2015) from the National Snow and Ice Data Center (NSIDC) to retrieve leads. The MOD29 product is filtered for cloud contamination using a cloud mask from MOD35. However, inspection of the corresponding MOD29 map of the study area revealed that the pixels within leads marked as clouds are likely open water with ocean fog or plume over the surface (Fett et al., 1997). Apart from that, the study area within the Landsat-8 frame is mostly unobstructed by clouds. To preserve potential lead areas, we applied the NSIDC algorithm (Hall et al. 2001) to thermal images from MODIS L1B to calculate IST instead of using the MOD29. Therefore, no cloud mask procedure was performed in our study.

20 The Landsat-8 satellite is in the same near-polar, sun-synchronous, 705 km circular orbit and position as the Landsat-5 satellite decommissioned in 2013. Landsat-8 data are acquired in 185 km swaths and segmented into 185 km × 180 km scenes defined in the second Worldwide Reference System (WRS-2) of path (ground track parallel) and row (latitude parallel) coordinates (Arvidson et al., 2001). The TIRS instrument, a major payload aboard Landsat-8, can observe the ocean surface at a resolution of 100 m by using split-window thermal infrared bands, comparable to MODIS thermal infrared bands, at a
 25 resolution of 1000 m. The two narrower thermal infrared channels in the atmospheric window enable application of the widely used split-window algorithm (SWA) in IST retrieval rather than the single-channel method used for TIRS predecessors.

Note that in L1T product, the TIRS bands at 100 m resolution were resampled to 30 m by cubic convolution and co-registered with the Operational Land Imager (OLI) spectral bands. Apart from the TIRS thermal bands, the top of atmosphere reflectance from the Landsat-8 near-infrared band was used for classification between ice and open water in surface
 30 temperature retrieval. A panchromatic band with a resolution of 15 m was used as validation data for lead detection in this study.

3 Method

3.1 IST Retrieval

Key et al. (1997) developed an SWA for IST retrieval from AVHRR, and the algorithm was then adapted for MODIS thermal
 35 images with a different set of coefficients (Hall et al. 2001). The equation is as follows:

$$IST = a + bT_{31} + c(T_{31} - T_{32}) + d[(T_{31} - T_{32})(\sec q - 1)] \quad (1)$$

where T_{31} and T_{32} are brightness temperature from MODIS thermal bands B31 and B32; a , b , c , and d are coefficients developed for specific sensors using a radiance transfer model; q represents the incidence angle; and $\sec q$ is the secant of q .

40 Since there is no special SWA available for sea ice surface temperature retrieval from Landsat-8, a land surface temperature formulation (Du et al. 2015) developed for a wide range of surface types, including ice and snow, was used:

$$T_s = b_0 + \left(b_1 + b_2 \frac{1-\varepsilon}{\varepsilon} + b_3 \frac{\Delta\varepsilon}{\varepsilon^2}\right) \frac{T_i + T_j}{2} + \left(b_4 + b_5 \frac{1-\varepsilon}{\varepsilon} + b_6 \frac{\Delta\varepsilon}{\varepsilon^2}\right) \frac{T_i - T_j}{2} + b_7 (T_i - T_j)^2 \quad (2)$$

where T_i and T_j are the brightness temperatures measured in channels i ($\sim 11.0 \mu\text{m}$) and j ($\sim 12.0 \mu\text{m}$), respectively; ε is the mean emissivity of the two channels ($\varepsilon = 0.5 [\varepsilon_i + \varepsilon_j]$); and $\Delta\varepsilon$ is the emissivity difference between the channels ($\Delta\varepsilon = \varepsilon_i - \varepsilon_j$); b_k ($k = 0, 1, \dots, 7$) represents the algorithm coefficients derived from the simulated dataset.

As reported in previous studies (Montanaro et al., 2014a; Barsi et al., 2014; Montanaro et al., 2014b; Montanaro et al., 2014c), thermal infrared radiance measured by Landsat-8 TIRS suffers from straylight, which is caused by out-of-field radiance that scatters onto the detectors, adding a non-uniform banding signal across the field of view. The magnitude of this extra signal can be $\sim 8\%$ or higher (band 11) and is generally twice as large in band 11 as in band 10. Correction algorithms for this artifact have been developed and applied in the new version of level L1T Landsat-8 data (Montanaro et al., 2015), and the straylight artifact in the current product is reduced by half on average (Gerace and Montanaro, 2017). However, the artifact could be amplified in a surface temperature map when SWA is used, with a temperature error of $0\sim 2$ K or more (Gerace and Montanaro, 2017), which would certainly impact lead detection from IST maps. A postprocessing procedure utilizing the linear pattern of the straylight artifact is applied to remove this banding noise. First, a median temperature is determined for each image pixel from a long enough along-track-only neighborhood. Then a noise image can be obtained by detrending this median image (Eppler and Full, 1992), thus the surface temperature image from SWA can be improved for lead detection.

3.2 Lead detection

In remote sensing images, leads (thin ice and open water) are represented by negative albedo anomalies in the optical range, negative brightness temperature anomalies in near infrared (NIR), and positive surface temperature anomalies compared to the surrounding thick ice (Fett et al., 1997). Variance caused by uneven illumination, view angle, and air temperature should also be taken into account.

Willmes and Heinemann (2015) reported the use of surface temperature anomalies to detect leads. The temperature anomaly ΔT_s for each IST pixel is defined as a deviation from the median in a square neighborhood, thus temperature variation due to the air temperature field can be removed. This can be expressed in the following equation:

$$\Delta T_0 = IST - M_{IST,w} \quad (3)$$

where $M_{IST,w}$ represents the median IST in a square neighborhood with a side length of w . This equation was adapted for Landsat-8 IST map using a median from an along-track-only linear neighborhood to further minimize the straylight artifact. Since median temperature is selected as background temperature, length w should be at least twice as large as the largest lead width within the image area (or along the track) to preserve the lead profile and reduce the temperature gradient caused by air temperature variation across the image.

Generally, surface temperature anomalies for thick ice follow normal distribution with a mean of zero, thus any large deviation from the mean can be assumed as a potential lead and extracted using a proper threshold. Several image-based threshold selection techniques for binary lead segmentation were compared by Willmes and Heinemann (2015), and an iterative threshold selection method (Ridler and Calvard, 1978) was recommended for extracting leads from a temperature anomaly map. **Assuming an initial threshold using the mean temperature anomaly (m_0) of the whole image, the iterative method seeks a threshold m_i which is the mean of averages m_A and m_B from two clusters divided by m_i : Leads (A) and pack ice (B).** However, any image-based threshold method provides a threshold that can vary significantly due to temperature noise and lead distribution. For consistency in different scales, several threshold methods were compared for lead detection in both MODIS and TIRS temperature maps.

Using width samples crossed by transects, Lindsay and Rothrock (1995) found mean lead width between 2 and 3 km in the Arctic winter; larger means are found in peripheral seas. We modified the method by using an orthogonal system (vertical, south-north; horizontal, west-east; Fig. 2) to determine lead width for every lead pixel. A minimum lead extent in two orthogonal directions was selected for the pixel, i.e., $X = \min(x_1, x_2)$. Since the orientation of a single lead is unknown, this method tends to overestimate width due to a mismatch between the preset direction and the orientation of the lead (Key and Peckham, 1991), but the orthogonal system will help contain the error ($X \leq \sqrt{2}x$). **Since we assign lead width to every pixel across the lead, length L_i for lead width X_i can be calculated as follows:**

$$L_i = \frac{a_0 N_i}{X_i} \quad (4)$$

where a_0 is the pixel size, for TIRS, the value is 30 m, for MODIS, 1km; and N_i is the number of pixels for width $X_i = a_0 i$, ($i = 1, 2, 3 \dots$).

3.3 Heat flux model used for lead area

5 Turbulent heat flux between the Arctic Ocean and the atmosphere, including sensible (F_s) and latent (F_l) heat flux, is mostly dominated by heat flux over open water and thin ice, which constitute leads in pack ice and polynya in coastal area. Turbulent heat flux over leads can be estimated using bulk aerodynamic formulae or a fetch-limited model developed based on field observations.

3.3.1 Bulk aerodynamic formulae

10 Assuming that temperatures in the atmospheric boundary layer are determined by the heat balance over thicker ice and turbulent heat exchange does not vary significantly across the narrow areas of leads, then turbulent heat fluxes are mainly determined by temperature and humidity differences between the surface and atmosphere at reference height r (Maykut, 1978). Sensible heat flux (F_s) and latent heat flux (F_l) are given by the following bulk formulae:

$$F_s = \rho_a c_p C_{sh} u_r (T_s - T_r) \quad (5)$$

$$F_l = \rho_a L_v C_{le} u_r (Q_s - Q_r) \quad (6)$$

15 where ρ_a is the air density; c_p is the specific heat at constant pressure; L_v is the latent heat of vaporization; u_r , T_r , and Q_r are wind speed, air temperature, and specific humidity at reference height $r = 2$ m, respectively; T_s is surface temperature; and Q_s is specific humidity close to the surface. Assuming that air at the surface of ice or water is always saturated, the specific humidity at the surface can be derived as:

$$Q_s = \frac{0.622 e_{s0}}{p - 0.378 e_{s0}} \quad (7)$$

20 where p is the air pressure and e_{s0} represents the saturated vapor pressure at surface temperature T_s :

$$e_{s0} = e_0 10^{\frac{at}{b+t}} \quad (8)$$

with e_0 representing saturated vapor pressure at 0 °C, approximately 6.11 hPa; t is temperature in Celsius; and a and b are coefficients (for water surface, $a = 7.5$, $b = 237.3$ K; for thin ice, $a = 9.5$, $b = 265.5$ K). These equations are also applied for specific humidity at 2 m height using dew point temperature data from ERA-interim.

25 C_{sh} and C_{le} are transfer coefficients for sensible heat and latent heat, calculated using equations from Oberhuber (1988) and Goosse et al. (2000) (see Appendix B). Since the wind speed and air temperature from ERA-interim are at different heights, a wind profile equation was used (Ray et al., 2006):

$$\frac{u_{10}}{u_r} = \frac{\ln 10 - \ln Z_0}{\ln r - \ln Z_0} \quad (9)$$

30 where u_{10} and u_r are wind speed at 10 m and 2 m height, and Z_0 is surface roughness length. In our study area, the main direction of wind from the reanalysis dataset is roughly perpendicular to the dominant orientation of leads. Therefore, only the wind magnitude was used in our study.

3.3.2 Fetch-limited model

35 When cold air travels to a warmer surface, a convective atmospheric TIBL forms and thickens with distance downwind of the surface discontinuity or fetch X (Stull, 1988; see Fig. 2). As the wind blows over water (or thin ice), the near-surface air gets warmer with more vapor, while new ice accumulates at the downwind side of the lead, progressively narrows, and seals the window. Thus, the temperature and humidity differences between the air and the surface decrease. Since sensible and latent heat fluxes are proportional to temperature and humidity differences, respectively, turbulent heat transfer also recedes with increasing lead width or fetch. Another mechanism is described in Esau (2007) for leads 1–10 km wide. Under weak wind conditions (~ 2 m/s), convective overturning prevents cold breezes from penetrating into the lead area, reducing the average turbulent heat flux.

40

To estimate turbulent heat flux over small leads, fetch-limited models were developed based on a few observations (Andreas and Murphy, 1986; Alam and Curry, 1997; Andreas and Cash, 1999). However, the assumption of universal water surface in leads and the application of sea surface roughness model (Andreas and Murphy, 1986; Alam and Curry, 1997) are not applicable in our case, where open water and thin ice dominate. Since the signal of TIBL is absent in the coarse grid of 2 m air temperature from the ERA reanalysis dataset, the data might not be appropriate to demonstrate the Alam and Curry (1997) model, which relies on accurate measurement of meteorological parameters. Whereas the Andreas and Cash (1999) model is more sensitive to lead width than atmospheric conditions (Marcq and Weiss, 2012). Therefore, only the Andreas and Cash (1999) model was used in our experiment.

Andreas and Cash (1999) gave direct formulations of heat fluxes as a function of lead width X based on data fitting from three sets of measurements. For free convection conditions in large fetch:

$$F_{s(X)} = C_* \rho_a C_p D (T_s - T_a) / \Delta z_T \quad (10)$$

$$F_{L(X)} = C_* \rho_a L_v D_w (Q_s - Q_a) / \Delta z_Q \quad (11)$$

where D and D_w are the molecular diffusivities of heat and water vapor in air, respectively, and Δz_T and Δz_Q are length scales for heat and humidity, respectively, which consider the viscosity of air ν and buoyancy differences between the surface and reference height r :

$$\Delta z_T = \left(\frac{\nu D}{\Delta B} \right)^{1/3} \quad (12)$$

$$\Delta z_Q = \left(\frac{\nu D_w}{\Delta B} \right)^{1/3} \quad (13)$$

$$\Delta B = \frac{g}{\bar{T}} \left(\Delta T + \frac{0.61 \bar{T} \Delta Q}{1 + 0.61 \bar{Q}} \right) \quad (14)$$

where ΔB is the buoyancy difference; ΔT and ΔQ are the difference of temperature and specific humidity between surface and air at reference height r , respectively; and \bar{T} and \bar{Q} are the average temperature and specific humidity between them.

The coefficient C_* is a function of stability, which facilitates the generalization of Eq. (10) and (11) to the transition between free and forced convection, thus making them applicable to smaller fetch. C_* is estimated using lead and polynya data:

$$C_* = \frac{0.3}{0.4 - h/L} + 0.15 \quad (15)$$

$$h = 0.82 \ln X + 0.02 \quad (16)$$

where h is the depth of the TIBL in meters as a function of lead width X , and L is the Obukhov length given in Eq. (17); L is a length scale of stability and is negative for unstable stratification, while its magnitude rises with instability.

$$L^{-1} = 8.0 * \left(\frac{0.65}{r} + 0.079 - 0.0043r \right) * Ri_b \quad (17)$$

where Ri_b is the bulk Richardson number:

$$Ri_b = - \frac{rg}{\bar{T}} \frac{T_s - T_r}{u_r^2} \quad (18)$$

where g is acceleration due to gravity and u_r is wind speed obtained from Eq. (9). Apart from lead width, meteorological parameters are also important in the model. As shown in Fig. 3, for the narrowest lead from TIRS ($X = 30$ m), turbulent heat flux, especially sensible heat, rises quickly with larger Δt and stronger wind. Most importantly, assuming a constant temperature difference and steady crossing wind, heat flux decreases with increasing fetch and becomes saturated for lead width greater than 1 km, as depicted in Fig. 4. As the fetch dependence of heat flux over lead is negligible for lead widths greater than 1 km, this model was applied to TIRS data only.

4 Results

4.1 Ice surface temperature

IST maps retrieved from TIRS and MODIS using Eq. (1) and (2) are shown in Fig. 5. The temperature signature of small leads

in the northern part of the image area is largely reduced in the MODIS IST map, due to its coarse resolution and heterogeneous pixels, compared to that from TIRS. In addition, the banding effect of straylight is very obvious in the TIRS IST map. This artifact was detected and removed by using a median from the along-track linear neighborhood and detrending the median image (Fig. 6). The corrected TIRS IST map is shown in Fig. 5 for comparison.

Although the median and artifact images show a little bias around large leads, the corrected TIRS IST map is very smooth and more suitable for lead detection and heat flux calculation. Scatter plots of IST from MODIS and TIRS before and after correction are shown in Fig. 7. The correlation of IST from two sensors estimated by interpolating MODIS IST to the TIRS scale (30 m) is quite good, with a Pearson coefficient of approximately 0.9 (0.902 and 0.896 before and after correction for straylight, respectively). The primary coefficient of linear regression improved from 1.025 to 1.004 before and after correction, indicating that the corrected TIRS IST is in better agreement with MODIS. However, the root mean square error (RMSE) from regressions increased from 1.216 K to 1.233 K. It also reveals that for the 250–270 K temperature range, IST retrieved from TIRS is generally 0.61–0.70 K higher than that from MODIS.

4.2 Sea ice lead retrieval

Regional temperature anomaly maps calculated from IST maps are shown in Fig. 8. The mean surface temperature anomaly is 0.116 K with a standard deviation (Std) of 1.180 K for MODIS, and 0.283 K with a Std of 1.619 K for TIRS.

Binary lead maps were generated using iterative thresholds (Fig. 9). Large floes and small leads dominate the northern part of the images, where temperature is lower, while two very large leads can be observed in the southern portion. The maps illustrate that the lead binary retrieved from MODIS captures major lead structures, but small leads are missed in most cases compared to leads detected from TIRS.

Lead area estimated from MODIS is 8074.0 km², which accounts for 8.25% of the frame area, and for TIRS, 7376.2 km² and 7.53%. Validation with Landsat-8 panchromatic images shows that large leads tend to be amplified by blurred mixed pixels along boundaries, while small leads are neglected due to the coarse resolution of MODIS.

Lead width was calculated for every lead pixel in the binary maps from MODIS and TIRS, and divided into three categories (Table 2): small leads (width \leq 1 km), medium leads (1 km < width \leq 5 km), and large leads (width > 5 km). **Although the 1 km resolution is the finest for MODIS thermal, the 1 km wide lead category should provide a reasonable guess of potential small leads or subpixel leads at MODIS scale (Lindsay and Rothrock, 1995).**

The width distribution of leads from MODIS and small leads from TIRS are plotted in Fig. 10 using the lengths of leads. Similar to the concept of number density, the length of each lead width can be fitted with a power law distribution, and the exponents from power law fitting are 2.241 and 2.346 for leads from MODIS and TIRS, respectively. The power law distribution indicates that narrow leads are prevalent, while a larger exponent implies that smaller leads are more dominant at TIRS scale.

The total length of leads with various widths is 10150.3 km from TIRS, including 8502.2 km (83.76%) from small leads with width less than 1 km, compared to a total length of 2746.4 km from MODIS, where the narrow leads (1 km wide) only account for 1050.0 km (38.23%). Total length of leads is underestimated by 72.9% in MODIS data compared to TIRS data. As for the area of leads, small leads (width \leq 1 km) account for 34.54% of total lead area from TIRS and only 13.00% of lead area from MODIS (Table 2).

4.3 Heat flux over leads

IST, described in Section 4.1, and lead width from TIRS (Section 4.2) were used in bulk formulae and the fetch-limited model along with ERA-interim reanalysis data to estimate turbulent heat flux through leads. For consistency, the estimated heat flux is positive from ocean to atmosphere.

4.3.1 Bulk formulae

Turbulent heat flux over lead area is obtained by summing up sensible and latent heat flux from Eq. (5) and (6) using IST and lead maps retrieved from MODIS or TIRS (Fig. 11). Table 2 reveals that total heat flux over leads calculated using TIRS IST

is 8.40×10^{11} W over a total area of 7376.2 km². This is 56.70% larger than that from MODIS data (5.36×10^{11} W). About 23% of the difference can be explained by IST bias between MODIS and TIRS, but most of the difference comes from small leads. Small leads account for 2.16×10^{11} W (25.75%) of total heat flux in TIRS data, almost seven times the heat flux from the narrow lead category in MODIS (3.10×10^{10} W, 5.79%).

5 4.3.2 The Andreas and Cash (1999) model

As we can see in Fig. 11 and Table 3, total heat flux over leads estimated by the fetch-limited model is 1.11×10^{12} W, 32.34% higher than that from bulk formulae, i.e. 8.40×10^{11} W, among which 32.95% of the difference comes from the small lead class. In both cases, small leads account for a quarter or more of total heat flux over all leads in both models, due to the large area, though the heat flux estimated using the fetch-limited model is 3.06×10^{11} W, 41.39% larger than the 2.16×10^{11} W from bulk formulae. For comparison, the estimated heat fluxes from medium and large lead classes also increased by 38.95% and 28.10%, respectively, when the fetch-limited model was applied. However, the contribution of turbulent heat flux from large leads is reduced from 34.17% to 32.68%, while the contribution from small leads increased from 25.75% to 27.50%. Nonetheless, the fact that large leads with widths greater than 5 km account for 27.16% of total lead area but contribute more than 32% of total heat flux over leads is somehow contradictory to the fetch-limited theory.

Inspection of input data revealed that the 2 m air temperature from ERA-interim has almost the same mean value around 262 K as the surface temperature from Landsat-8. The temperature difference between air and surface, Δt , spreads from 1.58 to 12.38 K, with a mean of 4.88 K, along with an average wind speed of about 7 m/s at 2 m height over leads. The distributions of air temperature and surface temperature of leads are plotted in Fig. 12. The temperature difference over narrow leads is too small to obtain a robust estimation of turbulent heat flux.

20 5 Discussion

5.1 Threshold method

The operational definition of a lead is a fracture or passageway through ice that is navigable by surface vessels (Canadian Ice Service, 2005; World Meteorological Organization, 2014). However, within any optical, thermal, or microwave image, the radiometric signature of a narrow lead with open water may be identical to that of a wider lead with thin ice. In most studies involving the utility of remote sensing data, any linear features of open water or thin ice within pack ice are regarded as leads for convenience (Fetterer and Holyer, 1989; Fily and Rothrock 1990; Lindsay and Rothrock, 1995). Due to the confusion in the definition of leads in remote sensing images and the need to extract lead signatures from the background, threshold segmentation has been frequently used (Eppler and Full, 1992; Lindsay and Rothrock, 1995; Weiss and Marsan, 2004; Marq and Weiss, 2012). Willmes and Heinemann (2015) presented several threshold selection techniques for binary lead segmentation. However, thresholds given by image-based methods can vary significantly depending on noise level (caused by air temperature variance) and lead distribution.

In our study, a set of thresholds was tested for extracting leads from temperature anomaly maps, areal fractions of leads from fixed thresholds, Std thresholds, and an iterative threshold are shown in Table 4. The obtained lead fractions are a composite of thresholds and contrast in surface temperature of leads compared to the surrounding ice, i.e., temperature anomaly Δt . Since the anomaly maps from the two sensors have different means and standard deviations, mainly due to different definitions of neighborhood in calculating Δt , the results from a fixed threshold might be biased. The iterative thresholds from both anomaly maps are a little larger than their first Std thresholds. The difference in lead fractions from the two sensors mainly resulted from mixed pixels at MODIS scale, but the threshold should also be considered. When high thresholds (2nd and 3rd Std) are applied, the lead fraction extracted from MODIS drops quickly below that from TIRS (as shown in Table 4), and this is consistent with results from Key et al. (1994). While larger thresholds lead to underestimating lead distribution, lower thresholds allow more pixels to be detected as leads, also giving rise to false leads caused by air temperature variance.

Validation with Landsat-8 panchromatic images shows that the iterative threshold detects most lead structures (89.5%) and exhibited better resistance against air temperature noise. Thus, iterative thresholds were selected for lead extraction in this

study.

5.2 Lead width

Lead geometry and distribution in the Arctic have been studied using optical and microwave remote sensing data (Fily and Rothrock, 1990; Lindsay and Rothrock, 1995; Tschudi et al., 2002). A simple one-parameter exponential model was used for number density distribution of lead width (Key and Peckham, 1991; Key et al., 1994; Maslanik and Key, 1995):

$$f_{(w)} = \frac{1}{\lambda} e^{-\frac{w}{\lambda}} \quad (19)$$

where w is the lead width and the single parameter λ is the mean lead width. However, a mean lead width can be oversimplified in diverse circumstances. Lindsay and Rothrock (1995) reported the power law distribution of lead width in AVHRR imagery:

$$N_{T(w)} = aw^{-b} \quad (20)$$

where $N_{T(w)}$ is the number density of leads of width w per kilometer of width increment; the exponent b indicates the relative frequency of large and small leads, while the coefficient a is directly related to the lead concentration and the range of widths over which the power law is thought to apply. The annual mean of exponent b was found to be 1.60 using AVHRR images (Lindsay and Rothrock, 1995). Larger values of b were reported using data with better resolution: 2 and 2.29 for submarine sonar observation in Fram Strait (Wadhams, 1981) and Davis Strait (Wadhams et al, 1985) when a 100 m bin width was used, 2.1–2.6 for 20 m SPOT images in orthographic directions using different thresholds (Marcq and Weiss, 2012). Note that most of these studies used only width samples crossed by limited linear transects.

In our study, although lead width follows the power law distribution at both scales, the fitted exponents vary from 2.241 to 2.346 at resolution from 30 m to 1 km. Since the 30 m L1T images were resampled from the original 100 m TIRS data, the actual distribution of leads less than 100 m wide is debatable. **In comparison with Landsat-8 TIRS and panchromatic images, we find that the lead map generated from the MODIS IST data neglects very small leads, but overestimates the width of other leads approximately 1 km wide. Overall, the 1 km wide lead category at MODIS scale should provide a reasonable guess of potential small or subpixel leads. The small leads retrieved using TIRS provide a valuable reference for the capacity of MODIS to detect narrow leads.**

5.3 Comparison of the models

In both the Andreas and Murphy (1986) and Andreas and Cash (1999) models, for reference height $r < 10$ m, the ratio between roughness lengths for momentum and heat, Z_0/Z_T , is assumed to be $\sim e^2$ to calculate Obukhov length L using Richardson number Ri_b (see Eq. (17)). The calculated Obukhov length L has absolute values about 67% higher than those using Eq. (B8) and (B13) from the bulk formulae (Oberhuber, 1988; Goosse et al., 2000). If Eq. (B8) and (B13) were used to solve Obukhov length and coefficient C_s in the Andreas and Cash (1999) model, estimated turbulent heat flux will be smaller (Table 3), but still 15.53% larger than that from the bulk formulae, with an even larger part of the difference from the small lead category (42.48%, compared to 32.96% in Section 4.3.2).

Our results suggest that the contribution of heat flux from small leads mainly results from their large length, or number density, and vast area instead of efficiency. Though small leads are more efficient for heat exchange between the ocean and the atmosphere, thin ice growing in newly opened leads can quickly cover the exposed ocean surface, thus reducing heat exchange. Moreover, due to the mixture of subpixel leads and thick ice, the surface temperature of some pixels in small leads is much lower than the freezing point.

Nonetheless, our results show that the fetch-limited model could be used to estimate turbulent heat flux on a regional scale with surface temperature fields from remote sensing. However, the fetch-limited model proposed by Andreas and Cash (1999) was based mainly on a few observations over open leads and polynya, while most lead pixels detected using temperature anomalies in our study are likely covered by thin ice (surface temperature < 270 K, Fig. 12). Thus, near-surface air temperature with finer resolution is needed for validating the turbulent heat flux estimated using the fetch-limited model.

5.4 Heat flux for larger temperature differences

For comparison, a test using preset meteorological conditions was performed using the TIRS lead binary. Assuming the surface temperature in leads is right at the freezing point, with a wind speed of 7 m/s at 2 m height and a temperature difference of 5 K and 10 K, turbulent heat fluxes from both models were calculated (Fig. 13), and are summarized in Table 5. Note that lead width in Fig. 13 is on a logarithmic scale.

Clearly, turbulent heat flux estimated using the Andreas and Cash (1999) model is always higher than that using the bulk formulae. For both models, estimated turbulent heat flux with Δt of 5 K or 10 K peaks at ~ 270 m, a smaller width than the 360 m using Δt obtained from TIRS IST and air temperature from ERA-interim.

The distribution of turbulent heat flux estimated using bulk formulae with Δt of 5 K and 10 K depends on the areal fraction from each lead category. The contribution from leads with widths greater than 1 km converges to the lower end with fluctuation. As expected, the estimated total heat flux of 1.68×10^{12} W at $\Delta t = 10$ K is about twice as large as that at $\Delta t = 5$ K (8.46×10^{11} W).

When the Andreas and Cash (1999) model was applied, small leads were found to have a larger contribution at higher Δt , 3.27×10^{11} W (35.86%) and 6.66×10^{11} W (36.57%) at $\Delta t = 5$ K and 10 K, respectively, compared to the areal fraction of 34.54%. More contributions from small leads can be expected at larger temperature differences and stronger wind in winter.

6 Conclusion

Although the same local temperature anomaly and threshold methods were applied, leads retrieved at MODIS and Landsat-8 TIRS resolution scales presented very different geometries and distributions. Within the studied area, the total length of leads is 10,150.3 km from TIRS, including 8502.2 km (83.76%) from small leads with width less than 1 km. This is in contrast to the total length of 2746.4 km from MODIS, where the narrow leads (1 km wide) only account for 1050.0 km (38.23%). The total length of leads is underestimated by 72.9% in the MODIS data. For the area of leads, small leads (width ≤ 1 km) account for 34.54% of the total lead area from TIRS, but only 13.00% of the total lead area from MODIS. Although the lead width follows the power law distribution at both scales, the fitted exponents vary from 2.241 to 2.346.

When bulk aerodynamic formulae are applied to the reanalysis dataset, the heat flux estimated using TIRS data is 8.40×10^{11} W, 56.70% larger than that from MODIS data (5.36×10^{11} W). About 23% of the difference can be explained by IST bias between MODIS and TIRS, but most of the difference comes from small leads. Small leads account for 2.16×10^{11} W (25.75%) of the total heat flux over all leads in the TIRS data, almost seven times the heat flux from the narrow lead category in MODIS (3.10×10^{10} W, 5.79%).

The turbulent heat flux over leads estimated from the TIRS data by the Andreas and Cash (1999) model is 1.11×10^{12} W, 32.34% higher than that from bulk formulae (8.40×10^{11} W). In both cases, small leads account for about a quarter of the total heat flux in both models, due to the large area, though the heat flux estimated using the fetch-limited model is 41.39% larger. A greater contribution from small leads can be expected with larger temperature differences and stronger wind conditions. A near-surface air temperature with finer resolution is still needed for validation of turbulent heat flux estimated using the fetch-limited model before extensive application.

Appendix A

Validation using Landsat-8 panchromatic images

Top of atmosphere (TOA) reflectance from Landsat-8 panchromatic images were corrected for solar zenith angle and mosaicked for validation. Using Jenks's natural breaks classification method (Jenks, 1963), panchromatic pixels were classified into three surface categories: open water and thin ice, refrozen leads, and pack ice. In terms of turbulent heat flux, only pixels in the open water and thin ice category were regarded as leads. As can be seen in Table A1, the producer's accuracy of lead detection using the iterative threshold is 89.5%, with an omission error of 10.5% and a commission error of 16.1%.

Appendix B

Equations used for turbulent heat flux estimation using bulk formulae (Large and Pond, 1981, 1982; Oberhuber, 1988; Goosse et al, 2000; Marcq and Weiss, 2012) are as follows:

$$c_{sh} = 0.0327 \frac{k}{\ln(r/z_0)} \Phi_{sh} = c_{shN} \Phi_{sh} \quad (B1)$$

$$c_{le} = 0.0346 \frac{k}{\ln(r/z_0)} \Phi_{le} = c_{leN} \Phi_{le} \quad (B2)$$

$$\Phi_{sh} = \frac{\sqrt{c_M/c_{MN}}}{1 - c_{shN} k^{-1} c_{MN}^{-1/2} \Psi_H} \quad (B3)$$

$$\Phi_{le} = \frac{\sqrt{c_M/c_{MN}}}{1 - c_{leN} k^{-1} c_{MN}^{-1/2} \Psi_L} \quad (B4)$$

$$\sqrt{\frac{c_M}{c_{MN}}} = \frac{1}{(1 - \sqrt{c_{MN} k^{-1} \Psi_M})} \quad (B5)$$

$$c_{MN} = \frac{k^2}{\left(\ln\left(\frac{r}{z_0}\right)\right)^2} \quad (B6)$$

$$u_*^2 = c_M u_r^2 \quad (B7)$$

$$T_0 = T_r (1 + 2.2 \times 10^{-3} T_r q_r) \quad (B8)$$

Surface roughness lengths for momentum are given as:

$$z_0 = 0.032 \frac{u_*^2}{g} \quad (B9)$$

For unstable conditions:

$$\Psi_H(A) = \Psi_L(A) = 2 \ln\left(\frac{1+A^2}{2}\right) \quad (B10)$$

$$\Psi_M(A) = 2 \ln\left(\frac{1+A}{2}\right) + \ln\left(\frac{1+A^2}{2}\right) - 2 \arctan A + \frac{\pi}{2} \quad (B11)$$

$$A = (1 - 16(r/L))^{1/4} \quad (B12)$$

$$r/L = \frac{100r}{T_0 u_*^2} ((T_s - T_r) + 2.2 \times 10^{-3} T_0^2 (q_s - q_r)) \quad (B13)$$

Appendix C

20 Constants

Constants used in IST calculation from Landsat-8 TIRS (Du et al. 2015) are as follows:

1. ASTER emissivity library (Skoković et al., 2014):

$$\varepsilon_{water,10} = 0.991; \varepsilon_{water,11} = 0.986; \varepsilon_{snow/ice,10} = 0.986; \varepsilon_{snow/ice,11} = 0.959$$

$$\bar{\varepsilon}_{water} = 0.9885; \Delta\varepsilon_{water} = 0.005$$

$$25 \quad \bar{\varepsilon}_{snow/ice} = 0.9725; \Delta\varepsilon_{snow/ice} = 0.027$$

2. NIR reflectance threshold for classification between water and ice/snow: 0.1

3. Water vapor content from MOD05: $< 2.5 \text{ g} \cdot \text{cm}^{-2}$

4. b_i : b_{0-7} : [-2.78009, 1.01408, 0.15833, -3.4991, 4.04487, 3.55414, -8.88394, 0.09152]

5. RMSE: 0.34 K

30

Constants used in turbulent heat flux estimation:

$$\text{Air density: } \rho_a = 1.3 \text{ kg m}^{-3}$$

Kinematic viscosity of air: $\nu = 1.31 \times 10^{-5} \text{ m}^2 \cdot \text{s}^{-1}$

Molecular diffusivities of heat in the air: $D = 1.86 \times 10^{-5} \text{ m}^2 \text{ s}^{-1}$

Molecular diffusivities of water vapor in the air: $D_w = 2.14 \times 10^{-5} \text{ m}^2 \text{ s}^{-1}$

Specific heat at constant pressure: $c_p = 1004 \text{ J kg}^{-1} \text{ K}^{-1}$

5 Latent heat of vaporization or sublimation: $L_w = 2.51 \times 10^6 \text{ J} \cdot \text{kg}^{-1}$, $L_i = 2.86 \times 10^6 \text{ J} \cdot \text{kg}^{-1}$

Reference height: $r = 2 \text{ m}$

Gravitational constant: $g = 9.8 \text{ m s}^{-2}$

Salinity of sea water in the Beaufort Sea: $S_w = 27.947 \text{ (‰)}$

Freezing point of sea water:

10 $T_{s0} = 273.15 - 0.0137 - 0.05199S_w - 0.00007225S_w^2 = 271.68 \text{ K}$

Author contributions: Xiaoping Pang and Xi Zhao designed the experiments and Meng Qu carried them out. Jinlun Zhang provided valuable instructions on data acquisition and manuscript editing. Qing Ji and Pei Fan helped to develop the model code. Meng Qu prepared the manuscript with contributions from all co-authors.

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Figure Captions

Figure 1. Location of study area. Background image is brightness temperature from Moderate Resolution Imaging Spectroradiometer (MODIS) band 31 ($\sim 11 \mu\text{m}$). Location of Landsat-8 images is marked by a red rectangle.

10 **Figure 2.** Detection of lead width using two orthogonal directions. Lead extents in orthogonal system in v and h directions are measured as x_1 and x_2 , respectively.

Figure 3. Turbulent heat flux rises with increasing temperature difference Δt and intense wind at lead width of 30 m. Solid and dashed lines represent sensible and latent heat, respectively. Wind speed is illustrated by line color. Clearly, sensible heat flux is basically proportional to Δt .

15 **Figure 4.** Turbulent heat flux for each width at wind speed of 5 m/s. Temperature difference between air and lead surface is marked by line color.

Figure 5. Ice surface temperature (IST) maps from MODIS and Landsat-8 Thermal Infrared Sensor (TIRS) using split-window algorithms: (a) IST map from MODIS; (b) IST map from Landsat-8 TIRS; (c) corrected IST map from TIRS.

Figure 6. Local median and noise image from TIRS IST: (a) along-track median temperature map; (b) noise image by detrending of median temperature map.

20 **Figure 7.** Correlation between IST from MODIS and Landsat-8 TIRS before and after correction for straylight. Black lines are reference for $x = y$, red lines are linear regression lines with a fitting equation. Number density of scattered points is marked by color. (a) Scatter plot of IST from MODIS and Landsat-8 TIRS using split-window algorithm; (b) scatter plot of IST from MODIS and corrected IST from Landsat-8 TIRS.

Figure 8. Local temperature anomalies from (a) MODIS and (b) Landsat-8 TIRS.

25 **Figure 9.** Binary lead maps from (a) MODIS and (b) Landsat-8 TIRS.

Figure 10. Width distribution of leads from MODIS and TIRS in log-log plot. Data points from MODIS and TIRS are plotted as orange and blue dots, respectively. Power law fitting is applied. Fitting equations and R squares are shown for comparison.

30 **Figure 11.** Heat flux from MODIS and Landsat-8 using bulk formulae and fetch-limited model. (a) Turbulent heat flux from MODIS using bulk formulae; (b) turbulent heat flux from Landsat-8 TIRS using bulk formulae; (c) turbulent heat flux from Landsat-8 TIRS using fetch-limited model.

Figure 12. Distribution of 2 m air temperature over leads and surface temperature of all leads; small leads with width < 1 km, larger leads with width < 5 km.

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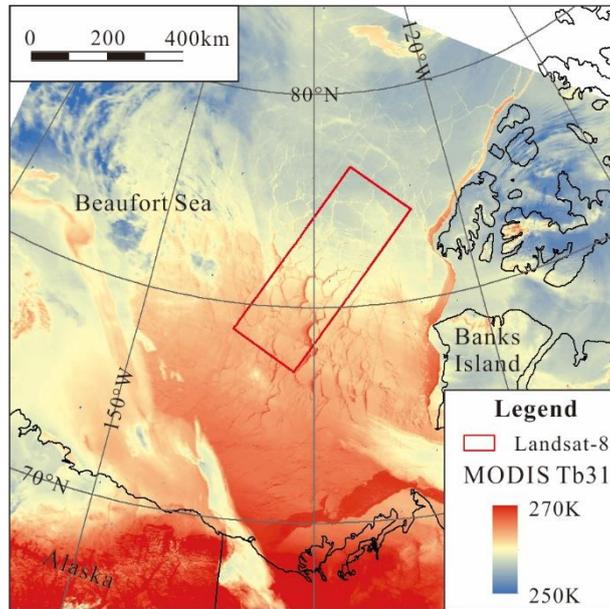
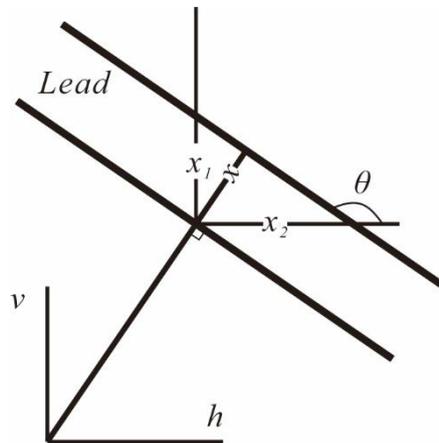


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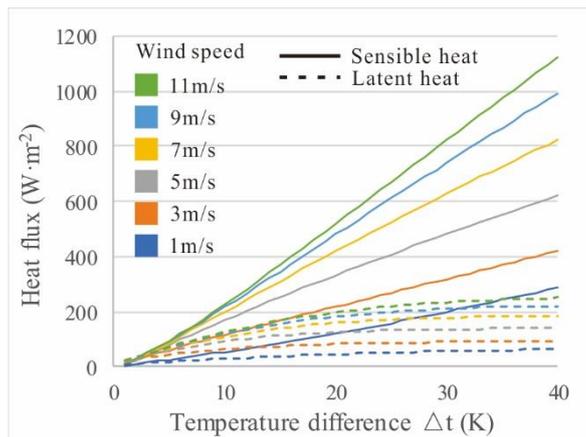


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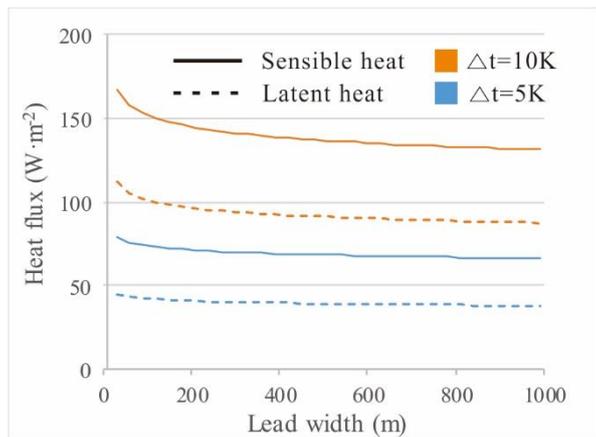
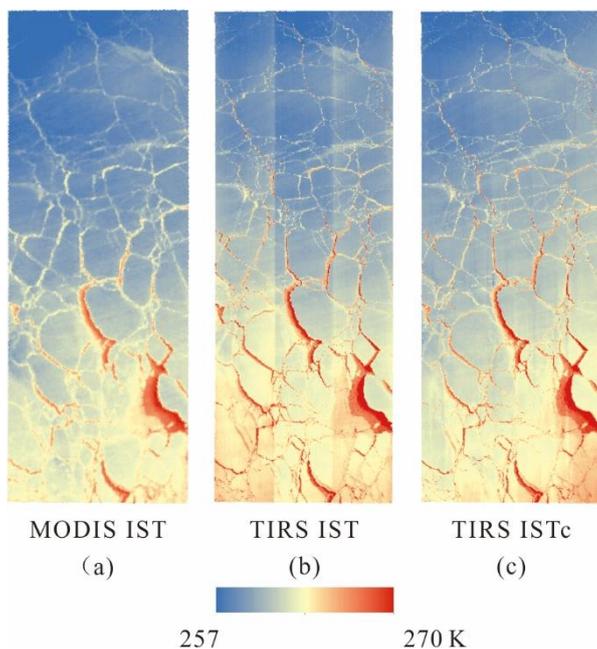


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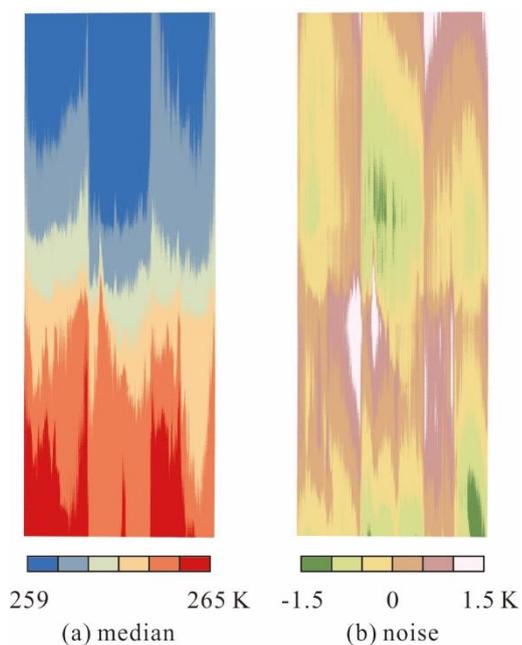


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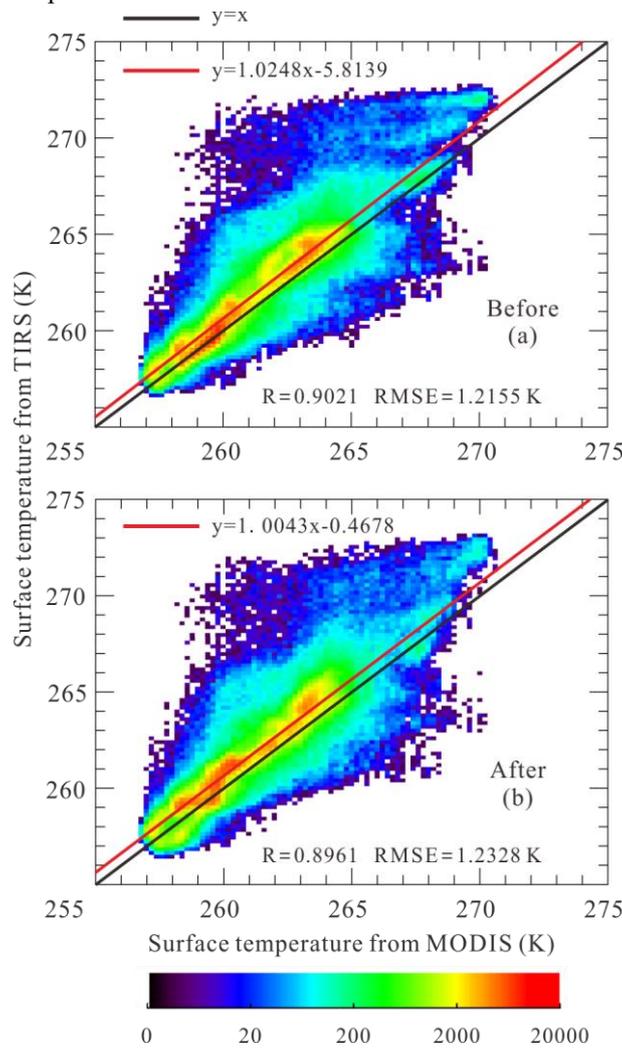


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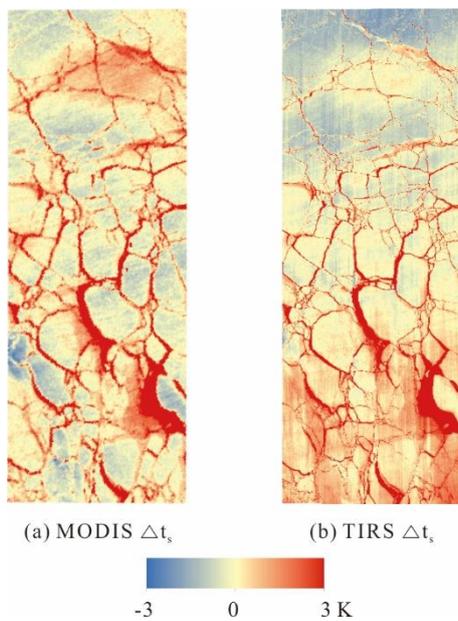


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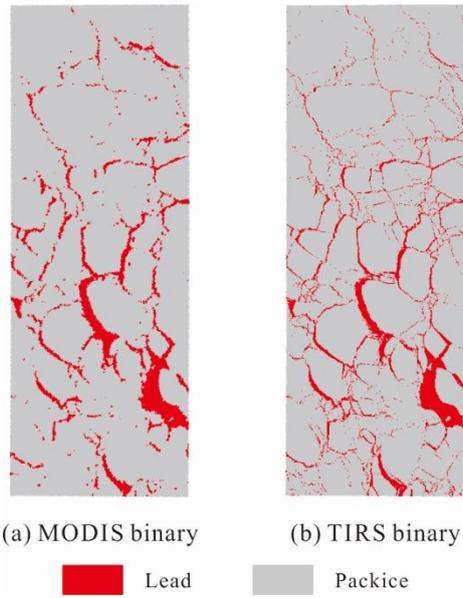


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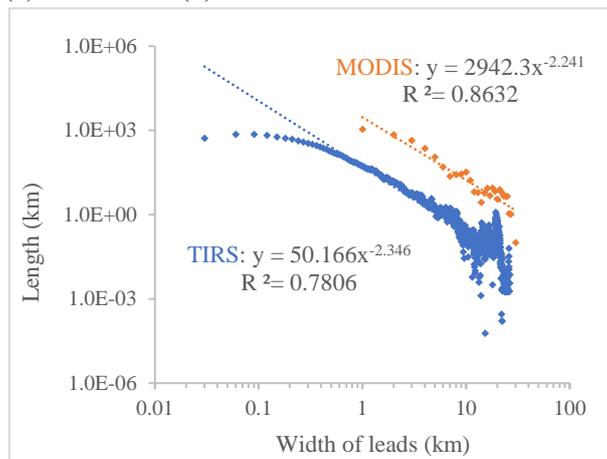


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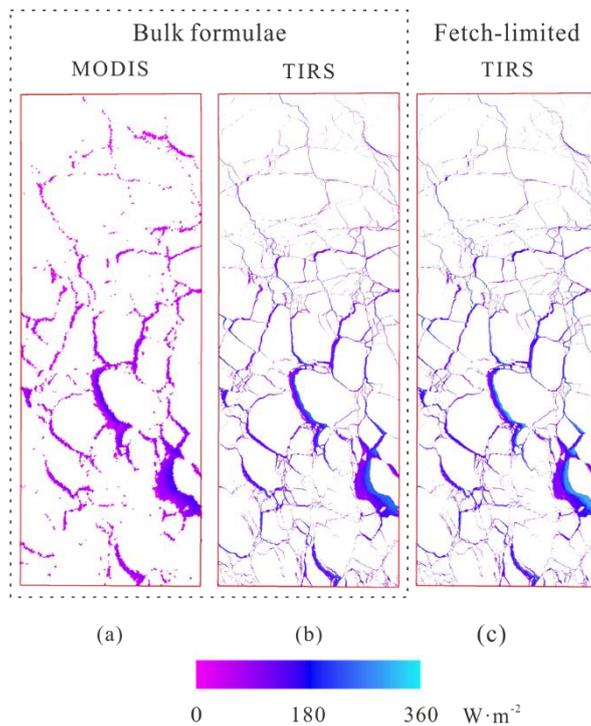
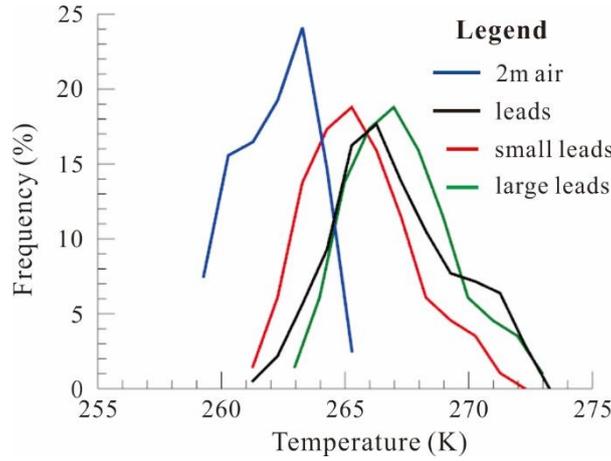
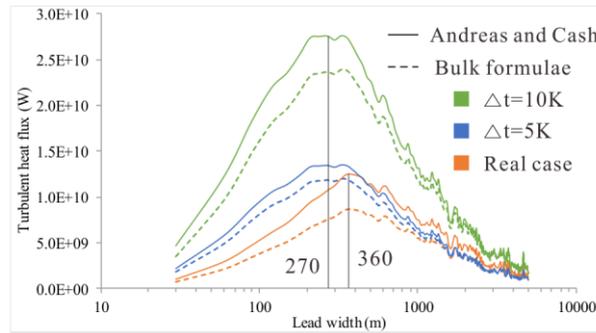


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Tables

Table 3. Satellite images and other data used in this study.

Resource	Parameters	Spatial resolution	Time	Notes
Landsat-8 TIRS	Band 5	30 m	21:27	Near-infrared
	Band 8	15 m	21:27	Panchromatic
	Band 10	30 m	21:27	10.60 μm – 11.19 μm
	Band 11	30 m	21:27	11.50 μm – 12.51 μm
Terra MODIS	Band 31	1000 m	20:55	10.78 μm – 11.28 μm
	Band 32	1000 m	20:55	11.77 μm – 12.27 μm
ERA-interim Reanalysis	10 m wind	0.125° (~10 km)	21:00	4.8~9.5 m/s
	2 m air temperature	0.125° (~10 km)	21:00	259.3~265.6 K
	2 m dew point Temperature	0.125° (~10 km)	21:00	257.3~263.8 K

Table 4. Retrieved leads from MODIS and TIRS, and turbulent heat flux estimated using bulk formulae.

Sensor	Lead category	Length (km)	Lead area		Bulk formulae	
			(km ²)	Contribution (%)	Heat flux (W)	Contribution (%)
MODIS	all	2817	8074		5.36E+11	
	≤1 km	1050.0	1050.0	13.00	3.10E+10	5.79
	1 km~5 km	1438.1	4065.0	50.35	1.97E+11	36.79

	>5 km	258.3	2959.0	36.65	3.08E+11	57.42
	all	10150.3	7376.2		8.40E+11	
TIRS	≤1 km	8502.2	2547.7	34.54	2.16E+11	25.75
	1 km~5 km	1440.7	2825.3	38.30	3.37E+11	40.09
	>5 km	207.4	2003.3	27.16	2.87E+11	34.17

Table 5. Estimated turbulent heat flux (W) for Landsat-8 TIRS using bulk formulae, the Andreas and Cash (1999) model, and modified Andreas and Cash model using Obukhov length from Eq. (B8) and (B13).

Lead category	Bulk formulae		Andreas and Cash and L		Andreas and Cash (1999)	
	Heat flux	Contribution (%)	Heat flux	Contribution (%)	Heat flux	Contribution (%)
≤1 km	2.16E+11	25.75	2.72E+11	27.99	3.06E+11	27.50
1 km~5 km	3.37E+11	40.09	3.86E+11	39.75	4.43E+11	39.81
>5 km	2.87E+11	34.17	3.13E+11	32.25	3.63E+11	32.68
Total	8.40E+11		9.71E+11		1.11E+12	

Table 4. Threshold candidates for lead detection and corresponding lead fractions.

		Fixed1	Fixed2	Fixed3	1st Std	2nd Std	3rd Std	Iterative
MODIS	Threshold (K)	1	2	3	1.29	2.47	3.65	1.52
	Lead fraction (%)	12.59	6.04	3.69	9.73	4.73	2.71	8.25
TIRS	Threshold (K)	1	2	3	1.90	3.52	5.14	2.49
	Lead fraction (%)	14.85	8.65	6.62	8.93	5.69	2.82	7.53

Table 5. Turbulent heat flux (W) for higher temperature difference using Landsat-8 TIRS data and Andreas and Cash (1999) model.

Lead category	Real case		$\Delta T = 5 \text{ K}, u_r = 7 \text{ m/s}$		$\Delta T = 10 \text{ K}, u_r = 7 \text{ m/s}$		
	Heat flux	Contribution (%)	Heat flux	Contribution (%)	Heat flux	Contribution (%)	
Bulk formulae	≤1 km	2.16E+11	25.75	2.92E+11	34.54	5.82E+11	34.54
	1~5 km	3.37E+11	40.09	3.24E+11	38.30	6.45E+11	38.30
	<5 km	2.87E+11	34.17	2.30E+11	27.16	4.58E+11	27.16
	Total	8.40E+11		8.46E+11		1.68E+12	
Fetch-limited	≤1 km	3.06E+11	27.50	3.27E+11	35.86	6.66E+11	36.57
	1~5 km	4.43E+11	39.81	3.45E+11	37.88	6.85E+11	37.63
	<5 km	3.63E+11	32.68	2.39E+11	26.25	4.69E+11	25.79
	Total	1.11E+12		9.11E+11		1.82E+12	

5 **Table A1.** Leads and pack ice pixels detected by Landsat-8 TIRS and panchromatic images at 15 m resolution.

Panchromatic	TIRS			Producer's accuracy (%)
	Leads	Pack ice	Total	
Open water and thin ice	27,039,061	3,172,911	30,211,972	89.5
Refrozen lead	4,710,542	41,620,953	46,331,495	
Pack ice	471,960	368,561,891	369,033,851	
Total	32,221,563	413,355,756	445,577,319	
User's accuracy (%)	83.9			