

Response to the editor comments on “Changes of the tropical glaciers throughout Peru between 2000 and 2016 – Mass balance and area fluctuations” by Thorsten Seehaus et al.

Francesca Pellicciotti (Editor)

Received: 04 July 2019

**First of all we want to thank the Francesca Pellicciotti for editing the manuscript and constructive comments. All comments have been taken into account and a list of answers and undertaken actions is given below. Answers are in blue font color.**

In the Introduction, when the authors discuss the existing quantification of elevation changes and mass balance or ice volume changes you should mention the work by Mernild et al (2017), as they calculate surface mass balance for the entire Andes cordillera (using a mass balance model) even if they do not report them specifically. I would be curious to know how their calculations compare with yours (even if of course their period of simulations is much longer, but there are almost ten years of overlap)?

Thank you very much for pointing us to this publication. We considered it in the Introduction and the Discussion:

“Large-scale mass balance estimates covering Peru are the following: a mass balance estimation for the “low latitudes” of  $-1080 \pm 360 \text{ kg m}^{-2} \text{ a}^{-1}$  based on the upscaling of glaciological mass balance measurements covering the period 2003-2009 (Gardner et al., 2013); modelled surface mass balance of  $-1550 \pm 620 \text{ kg m}^{-2} \text{ a}^{-1}$  for the Andes north of  $27^\circ\text{S}$  in the period 1979-2014 (Mernild et al. 2017), a upscaled mass balance of  $-2 \pm 2 \text{ Gt a}^{-1}$  ( $-1030 \pm 830 \text{ kg m}^2 \text{ a}^{-1}$ ) for the same region using glaciological and geodetic mass balances between 2006 and 2016 (Zemp et al. 2019), a mass balance calculation throughout South America (excluding Patagonia) of  $-6 \pm 12 \text{ Gt a}^{-1}$  using space borne gravimetric measurements from the Gravity Recovery and Climate Experiment (GRACE) for the period 2000-2010 (Jacob et al., 2012); and a geodetic mass budget of  $-0.49 \pm 0.09 \text{ Gt a}^{-1}$  ( $-227 \pm 42 \text{ kg m}^{-2} \text{ a}^{-1}$ , ice density scenario:  $850 \text{ kg m}^{-3}$ ) derived from InSAR measurements for the period 2000-2012/13 including glaciers in Bolivia (Braun et al., 2019).”

“The continent-wide surface mass balance simulation by Mernild et al. 2017 revealed an average mass change of  $-1230 \pm 690 \text{ kg m}^2 \text{ a}^{-1}$  for the Andes north of  $27^\circ\text{S}$  in the epoch 2000-2014, which is much higher than our country-wide average of  $-169 \pm 43 \text{ kg m}^2 \text{ a}^{-1}$  for the period 2000-2013. This large offset can only be partly explained by the different study domains, time intervals and glacier inventories applied, and could be caused by limitations in the applied statistical downscaling of global circulation data.”

Minor:

\_Data: it should be plural, despite large use as singular, especially in American English. I would suggest correcting that throughout.

corrected

\_Glaciated: I would replace with glacierised.

corrected

\_Line 99: would you have some more references for the effect of La Nina and El Nino? This seems an important effect after all.

We added 2 more references (Garreaud et al., 2009; Maussion et al., 2015)

\_Line 31 page 1: it is known to cause socio-economic issues: either provide one or more references for this (to justify the “It is known to”) or change into will likely cause...

We added citation of a recently published paper regarding this issue: (Drenkhan et al. 2019)

\_Please check the correctness of all your references in the Bibliography. I think some are needs some proof, e.g. Silverio and Jaquet, a capital A is needed.

We checked the Bibliography.

\_Line 81, provide the standard deviation or coefficient of variation of the annual temperature, to back your statement of almost no seasonality

This value is based on Sagredo and Lowell (2012) (cited just above). The do not provide information regarding variation. Thus, we tried to infer the seasonality (annual variability from their graphs). We added the following statement:

“variability of mean monthly temperature  $\sim 1$  °C”

\_Line 83 and related to the above: what is an annual seasonality of 4°C? I mean, what is the 4°C and how is the seasonality defined/calculated?

We replaced the word seasonality by “annual variability of the mean monthly temperature”. We hope to be more clear now.

\_Sometimes you use Therefore in a bit inappropriate way, e.g. line 206. It could just be removed. Please check through the manuscript.

Done, and some “Therefore” are removed.

\_Line 108: change DEMS are applied into are differentiated, or used to calculate.... Applying a DEM is a weird expression.

Corrected.

Response to the interactive comment on “Changes of the tropical glaciers throughout Peru between 2000 and 2016 – Mass balance and area fluctuations” by Thorsten Seehaus et al.

Duncan J. Quincey (Referee)

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**First of all we want to thank the reviewer for constructive comments on our manuscript. All comments have been taken into account and a list of answers and undertaken actions is given below. Answers are in blue font color.**

This manuscript presents geodetic mass balance calculations and glacier area fluctuations for Peruvian glaciers for the period 2000-2016. The methods are robust, and the key findings are substantial – specifically that area and mass have reduced considerably over this time period, with a notable increase in the rate of loss during the latter years (2013-2016). A particular highlight is the comprehensive discussion of the study findings in the context of previous work. Despite its density, a clear path is navigable throughout and the argument is strong. The analysis is also very honest about where problems in the current work may lie.

The only area where I think the authors need to think again is in the suggestion of the strong El Niño event of 2015 as the primary reason for the rapid change in area and mass loss rates. It may be just about conceivable that changes in temperature/precipitation/humidity could impact mass balance almost immediately, but the magnitude of the change is (too) substantial for this to be the only factor, and the idea that a warm and dry event could also impact on glacier area to such a degree, within a single year, cannot hold. This requires some further investigation/consideration/analysis.

We appreciate this comment and carried out further analysis regarding this issue.

First, we updated and advance the mass balance computation. Instead of using a constant density for volume to mass conversion, we added a 2<sup>nd</sup> scenario using different densities for regions below and above the ELA (e.g. Kääb et al. 2012). This scenario is more likely for glaciers in the tropics and the regional mass balance discussion is based on it (statement added in section 6.2):

“The 2<sup>nd</sup> density scenario leads to 8-21% lower country wide mass balances. As pointed out by Kääb et al. (2012), this scenario is suitable for glacier with no  $dh/dt$  due to ice dynamics and when  $dh/dt$  is clearly driven by melt or increased accumulation. Since, these conditions are typical for the Peruvian glaciers (see Section 1 and further down), we used the results of 2<sup>nd</sup> density scenario for further discussion and analyses.”

This scenario lead to a less, but still pronounced change in the mass budget for both observation periods (~15-25% less).

Regarding the mass balances: The comparison of the ONI values and glaciological measurements (specific mass balances and ELA) support the suggested link between increased mass loss and El Niño and the direct (immediately) impact on of ENSO on the mass balances. Moreover, the enhanced ablation during El Niño is on the one hand side force by the changes in e.g. temperature and precipitation, but on the other side also enhance through feedback processes. For example leads the higher temperature to liquid precipitation in the ablation region, which further increases the melting. Moreover during El Niño, precipitation is reduced but also the dry season is prolonged

(delayed start of the wet season), leading to enhanced melt due to lower glacier albedo, since the fresh snow cover is missing. We added the following statements in section 6.2 and Figure S30 in the supplement.:

“Those climatic variations enhance the ablation and facilitate a positive feedback that further increase the glacier melt. The higher temperature as well as reduced and delayed precipitation, that are typical during El Niño, lead to liquid precipitation in the ablation regions and a reduced glacier albedo, enhancing the short-wave radiation absorption (e.g. Vuille et al. 2018, Maussion et al. 2015). Thus, the climatic variations explain the more negative mass balances in both subregions in the period 2013-2016, which also correlate with the strong El Niño activities in this interval (Figure 8).“

”The correlation of the annual glaciological measurements with the average ONI of the respective observation periods indicates a trend towards increased mass loss and higher ELA during El Niño conditions (Figure S30). These tendencies fit to the observations by Silvero and Jaquet (2017) and Morizawa et al. (2013) (see Section 6.1) and support our revealed correlation between the increased glacier wastage in the period 2013-2016 and the strong El Niño event during this period. “

We also added a statement (section 3), that the seasonal offset for intervals ending in 2016 (TDX coverage in ~Oct. Nov.) leads to a small bias towards more negative mass budgets.

“Typically negligible accumulation occurs during the dry season and ablation is dominating the glacier mass budget (Favier et al., 2004; Kaser, 2001; Veetil et al., 2017b). Thus, the mass balances for observation periods ending in 2016 are slightly biased towards more negative values due to this seasonal offset in the data.“

Regarding the impact on glacier area: Silvero and Jaquet (2017) as well as Morizawa et al. (2013) showed that ENSO as a clear impact on the glacier area changes. Both studies report clearly increased retreat during El Niño epochs and even area gain during La Niña. E.g. Silvero and Jaquet discovered a very high retreat rate of -23 km/a in Cordillera Blanca between 2014 and 2016 (average ONI 1.1, ~5%/a area loss, we discovered also 5%/a loss at subregion R1 for the period 2013-2016) and area gain of 5.24 km<sup>2</sup> (average ONI -0.20) between 1997 and 2002. Moreover, they inferred a linear relation between area changes and ONI with an R<sup>2</sup>=0.8. Additionally the glacier in the tropical Andes are in average the thinnest worldwide (Farinotti et al. 2019). Thus, increased ablation will cause more pronounced area reduction as compared to other regions. Thus, we conclude that the El Niño conditions and the associated increased ablation in the period 2013-2016 can be attributed as the driver for the observed increased recession. The following statement was added regarding this issue in Section 6.1:

“This pattern fits also to the finding by Morizawa et al. (2013) (at Condoriri Glacier, Bolivia) and Silvero and Jaquet (2017) (at Cordillera Blanca, subregion R1). Both studies reported enhanced recession during El Niño events and even area gains during La Niña epochs. The latter study also discovered a linear relation between glacier retreat and ONI (R<sup>2</sup>=0.8) and reports an change rate of -5% a<sup>-1</sup> for 2014-2016 that is equal to our change rate at subregion R1 for 2013-2016. Moreover, the glaciers in the Tropical Andes are in average the thinnest worldwide (Farinotti et al. 2019). Therefore, the increased melt will lead to more pronounced changes in glacier area as compared to other glacier region. “

Otherwise, I am very much in favour of seeing this manuscript published, and only have the following minor suggestions (by line number) to make.

10: debris-covered extents were also derived by coherence mapping according to the text?

Thank you for this advise, we added a the following statement: “The mapping of debris-covered glacier extents is supported by SAR-coherence information.”

30: ‘already crossed. . .’

Corrected

39: ‘GLOF incidents. . .’ or ‘GLOF threats. . .’?

Corrected

102: ‘continuous. . .’

Corrected

113: here and elsewhere check your cross-referencing to different sections. This one should be Section 4 (I think) – others later in the manuscript refer to sections 8, 9 and 10 that don’t exist

Thank you very much for this advice. The automatic cross-references were somehow mixed up. We corrected this problem.

122-123: more negative because of the lack of accumulation is what I think you mean here. . . but the previous sentence that refers to reduced ablation is contradictory to a more negative mass balance, so this needs clarification

We appreciate the reviewer’s comment. The review is right. We rephrased this paragraph in order to be more clear:

“Typically negligible accumulation occurs during the dry season and ablation is dominating the glacier mass budget (Favier et al., 2004; Kaser, 2001; Veetil et al., 2017b). Thus, the mass balances for observation periods ending in 2016 are slightly biased towards more negative values due to this seasonal offset in the data. “

173: use the correct GLIMS reference that comes with the download. . .

Thank you for this advice. We changed the reference accordingly.

266: ‘example’ not ‘exemplary’

Corrected

275: missing power on first km

Corrected

280: use of exemplary twice (though it should again be ‘example’ I think)

Corrected

315: 'temporary' not 'temporal'

Corrected

349: Coropuna?

Corrected

386-393: though interesting, this paragraph is only partially relevant here and could probably be cut

If the reviewer agrees, we would like to keep this paragraph, since it summarizes and highlights the changes in the GLOF risk due to the observed glacier recession and expresses the urge for further monitoring.

395: 'The most extreme surface lowering. . .'

Corrected

Figure 3: caption should read 'example' not 'exemplary',

Corrected

but moreover I'm not sure what the value of the figure is since we can see most of this in Figure 1?

This figure indicates the glacier area recession at some example mountain ranges. In Figure 1 only one set of outlines is shown and no multi temporal area changes. In order to demonstrate the area changes on spatial scales (due to the scales not possible in Figure 1), this figure shows certain subsets (zoomed in) of the study region. We moved this figure to the supplemental material.

Figure 7: this caption needs some work I think. It took an age to work out that the red bars were vs the blue bars. How about 'Hypsometric distribution of measured glacier area with elevation (red) and total glacier area with elevation (blue), with mean  $\Delta h/\Delta t$  values in each elevation interval (blue dots). . .'?

Following the reviewer's suggestion, we revised the caption (also of the similar graphs in the supplemental material). We hope it is now more clear:

"Hypsometric distribution of measured (red bars) and total (light blue bars) glacier area with elevation in subregion R1 in the interval 2000-2016. Blue dots represent the mean  $\Delta h/\Delta t$  value in each elevation interval. Error bars indicate NMAD of  $\Delta h/\Delta t$  for each hypsometric bin. Grey areas mark the lower and upper 1% quantile of the total glacier area distribution. Black dashed line: mean glacier elevation; Red dashed line: equilibrium line altitude (ELA), see also Table S3. Area measurements are based on the glacier outlines from 2000, considering only regions with slopes below applied slope threshold ( $50^\circ$ , see Section 4.2). Plots for other subregions are provided in the Supplementary material."

Response to the interactive comment on “Changes of the tropical glaciers throughout Peru between 2000 and 2016 – Mass balance and area fluctuations” by Thorsten Seehaus et al.

Christian Huggel (Referee)

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**First of all we want to thank the reviewer for constructive comments on our manuscript. All comments have been taken into account and a list of answers and undertaken actions is given below. Answers are in blue font color.**

In my opinion this is a solid study with important new results and there is no doubt that I would like to see this paper published, eventually. While I think that the methods are good state of the art there are a few issues in the results which irritate me and make me wonder whether there are some more basic problems with data collection and analysis which I detail further below. To start I'm impressed by the amount of work done by the authors on a generally high level of data analysis, well presented. It adds new insights on glacier changes (area, surface changes and mass balance) which were previously not known on this level of detail and spatial coverage. I also like the discussion section which is transparent, comprehensive and encompasses the (full) coverage of available literature. In this discussion section the authors critically analyze a number of differences (and similarities) between their results and those of other studies. I can follow this discussion and I think it is mostly appropriate but I'm wondering whether there are underlying errors or uncertainties in terms of data sampling or analysis that may have gone undiscovered. I list here a number of possible problem areas:

The authors did not measure the full extent of the glaciers, and transparently report on it but the effect and possible uncertainties involved are not clear to me. The glacier area changes reported in Figure 9 and Table 2 contain numbers that raise some questions.

An area loss of only about 5% from 1970 to 2000 is in contradiction to what is generally reported, indicating values of 15-20% (Salzmann et al. 2013, Silverio and Jaquet 2004, others, incl. unpublished data). The authors indicate some aspects about incomplete inventories, or sampling issues. I'm not sure whether this large discrepancy can be explained by the mentioned aspects but urgently needs to be clarified.

The reviewer is right. An area change of -7% (Section 6.1) between 1970 and 2000 is too low. Therefore, it was mentioned in the text, that not all Cordilleras were mapped completely by the 1<sup>st</sup> Peruvian Glacier Inventory. In order to avoid irritations, we computed the changes considering only Cordilleras with full coverage in 1970 and revealed a value of -23% area changes (which fits to the findings by other studies). Consequently, we also adjusted Figure 9 and rephrased the statements in Section 6.1 and the caption of Figure 9.

“The comparison of our area measurement of  $1916.6 \pm 48.3 \text{ km}^2$  in 2000 and the 1st Peruvian Glacier Inventory (Hidrandina SA, 1989) in 1970 ( $2041.85 \text{ km}^2$ ) results in a retreat of -7% ( $-139.9 \text{ km}^2$ ;  $0.2\% \text{ a}^{-1}$ ). However, the area changes amounts to -23%, considering only glaciated Cordilleras, which were completely mapped in the 1st Peruvian Glacier Inventory (UGRH, 2014). “

I'm also irritated by the error indications related to glacier area changes reported in Table 2, of up to 30% which is much higher than what is commonly achieved in remote sensing based mapping studies (ca. up to 5%). This also needs to be clarified.

The error values of the area changes  $dS$  in Table 2 result from basic error propagation using the uncertainties of the total glacier areas (Table 1  $\sim 2.5\text{-}3.3\%$ ). Thus the uncertainty of the individual outlines is within the range of other studies. Area change computations are more sensitive to the

uncertainty of individual outlines, explaining the higher relative errors of up to 30%. The following statement was added in section 5.1, in order to clearly explain the error computation.

“It should be noted, that the uncertainty of the area changes (Table 2) result from the uncertainty of the individual inventories (quadratic sum), assuming independence of the individual area measurements.”

I have seen many glacier mapping studies in the tropical Andes (published, or reviewed) which had errors because of inappropriately selected images with snow coverage which then resulted in erroneous glacier change results. I can't say whether this study is affected by a similar problem. In any case the authors should carefully review the literature they cite and whether some of these studies have such errors (at Coropuna for instance some published studies have such errors).

The reviewer is right, that temporary snow cover, but also clouds, can strongly impact the quality of glacier outlines. By selecting only images towards the end of the dry season, manual editing and inspection as well as cross-checking with high-resolution imagery (Google Earth), we tried to minimize this impact as far as possible. Particularly, the outlines in subregion R3 in 2016 might be affected by snow cover, since significant snow coverage was recently reported at the non-glaciated Cordillera Barroso during dry season 2016 (Léon et al., 2019), explaining the nearly stable glacier area between 2013 and 2016 in this region. A statement (see below) regarding this issue was added in Section 6.1.

“... A significant temporary snow cover at the non-glaciated Cordillera Barroso (subregion R3, close to the boarder to Bolivia) was observed during the dry seasons in 2015 and 2016 (Léon et al. 2019), which fits to the suggested increased precipitation at high elevations during after 2013. Moreover, snowfall events during try season strongly affect the glacier albedo an thus lead to reduced ablation. However, the temporary snow cover during dry season 2016 in subregion R3 might have also affected the mapping of the glacier outlines. Albeit, only imagery with no or only minimal snow coverage is selected, it is quite likely that some remaining snow cover was located at the glaciated peaks, leading to a slightly larger glacier area in 2016 as compared to 2013. This bias is not quantifiable and certainly within the range of applied uncertainty. Thus, we conclude that the glacier area kept nearly stable after 2013 in subregion R3 and attribute this to the allocation of the remaining ice at higher altitudes and increased precipitation, especially during the dry season, even though a strong El Niño event occurred in this period. “

Regarding the quality of the cited studies: We checked the studies, especially at Coropuna. Some studies provide information potential impact due to snow cover (e.g. Peduzzi et al., 2010; Silverio and Jaquet, 2012), whereas other do not even provide information on the date of the acquisitions. Thus, it is difficult to assess the quality of the data.

Since, in this study comparisons with the general (average) trends of typically an ensembles of studies are discussed, we conclude that the impact of biases due to snow cover in other studies can be neglected.

I'm surprised by the drastic change of glacier and mass balance change of 2000-2013 vs 2013-2016. The authors list a number of plausible reasons, and I think the increased precipitation(accumulation) at high altitudes is a very important finding here. Nevertheless, important open questions remain. Fig. 9 indicates a change in the El Niño Index around the year 2013, changing from slightly negative to strongly positive. Reported mass balance and (high altitude) surface change can certainly be explained with this mechanism to some extent. But it is unclear (and not plausible) how precipitation changes would immediately translate into rather drastic changes in glacier area, even if the response of tropical glaciers through feedback processes including precipitation and albedo

changes is more direct than in mid-latitude glaciers. The comparison of their results with ground based mass balance measurements (glaciological method) are very significant.

Regarding the area changes: Other studies also inferred drastic changes in glacier area in correlation with El Niño. Silvero and Jaquet (2017) as well as Morizawa et al. (2013) reported clearly increased retreat during El Niño epochs and even area gain during La Niña. For example Silvero and Jaquet discovered a very high retreat rate of -23 km/a in Cordillera Blanca between 2014 and 2016 (average ONI 1.1, ~5%/a area loss, we discovered also 5%/a loss at subregion R1 for the period 2013-2016) and area gain of 5.24 km<sup>2</sup> (average ONI -0.20) between 1997 and 2002. Moreover, they inferred a linear relation between area changes and ONI with an R<sup>2</sup>=0.8. Additionally the glacier in the tropical Andes are in average the thinnest worldwide (Farinotti et al. 2019). Thus, increased ablation will cause more pronounced area reduction as compared to other regions. We conclude that the El Niño conditions and the associated increased ablation in the period 2013-2016 can be attributed as the driver for the observed increased recession. A comparison of our area change measurements with field measurements is not meaningful due to the large differences in the basin delinations.

The following statement was added Section 6.1:

“This pattern fits also to the finding by Morizawa et al. (2013) (at Condoriri Glacier, Bolivia) and Silvero and Jaquet (2017) (at Cordillera Blanca, subregion R1). Both studies reported enhanced recession during El Niño events and even area gains during La Niña epochs. The latter study also discovered a linear relation between glacier retreat and ONI (R<sup>2</sup>=0.8) and reports an change rate of -5% a<sup>-1</sup> for 2014-2016 that is equal to our change rate at subregion R1 for 2013-2016. Moreover, the glaciers in the Tropical Andes are in average the thinnest worldwide (Farinotti et al. 2019). Therefore, the increased melt will lead to more pronounced changes in glacier area as compared to other glacier region. “

Regarding glaciological mass balance measurements, see answer to next comment.

The authors are right that there are problems with the mass balance measurements which in fact are very challenging on these glaciers. Nevertheless, the authors should investigate this issue in more depth. I would also recommend to look in more detail on locally available field data which co-author Alejo Cochachin disposes of. The measurement interval (2000-2013) could have an effect, and changes towards more negative glacier mass balances could have been started earlier than 2013.

We carried out an extended analysis of the field measurements. The glaciological mass balances and ELA values are correlated with annual ONI values (Sep.-August). A clear trend towards higher mass losses and ELA values is visible for positive ONI values, indicating El Niño conditions (Fig. S30). Moreover, the annual mass balances at Yanamarey and Artesonraju, show strongly negative mass balances for 2015/16 and increase average mass loss trends after 2013. Unfortunately, the mass balance series do not cover our whole remote sensing observation period, starting in 2000. Since, the mass balance variability of tropical glacier is strongly dominated by the lowest section (Soruco et al., 2009), we analysed the geodetic mass balances at regions below 4900/5000 m a.s.l. (approx. average ELA) separately. Moreover, these lower sections have the highest density of glaciological point measurements. For both glacier, an onset of the rapid surface lowering at the terminus region is obvious already before 2013 (Fig. S31, 2011/12 for Artesonraju, unfortunately the data at Yanamarey had some issues in the period 2009-2011). A comparison with the remote sensing data for the period 2013-2016 shows at Yanamarey a good agreement (average glaciological: -3152 kg/(m<sup>2</sup>a), geodetic: -3164 kg/(m<sup>2</sup>a)), whereas at Artesonraju the values differ (average glaciological: -4206 kg/(m<sup>2</sup>a), geodetic: -2696 kg/(m<sup>2</sup>a)). This difference can be partly attributed to the different glacier basin definitions. To sum it up, we revealed higher mass loss rates after 2013 and for positive ONI values a trend towards more negative mass balances (glaciological data). These findings fit to our observed geodetic mass balance trends. Moreover, we discovered a strong increase in the mass loss for the lowest glacier section, starting around 2011.

The following text was added to the manuscript in Section 6.2:

“The correlation of the annual glaciological measurements with the average ONI of the respective observation periods indicates a trend towards increased mass loss and higher ELA during El Niño conditions (Figure S30). These tendencies fit to the observations by Silvero and Jaquet (2017) and Morizawa et al. (2013) (see Section 6.1) and support our revealed correlation between the increased glacier wastage in the period 2013-2016 and the strong El Niño event during this period.

Since the highest density of glaciological point measurements are collected at the lowest section at both glacier and considering the observation of Soruco et al. (2009) at Zongo Glacier, Bolivia, that the terminus region strongly dominates the mass balance variations of a tropical glacier, we did an analysis of the glaciological mass balance at regions below 4900 m a.s.l. and 5000 m a.s.l. at Yanamarey and Artesonraju glaciers, respectively. A trend toward increased mass losses after 2011 is obvious (Figure S31, unfortunately the data at Yanamarey Glacier for the period 2009-2011 was incomplete), fitting to the revealed more negative geodetic mass balances after 2013. Moreover, the most negative values are derived for the period 2015-2016, which also supports our suggestion, that ENSO force the increased glacier wastage after 2013. The comparison between the geodetic and the average glaciological measurements at the terminus regions in the period 2013-2016 revealed a good agreement of both methods at Yanamarey Glacier (average glaciological:  $-3152 \text{ kg m}^{-2}\text{a}^{-1}$ , geodetic:  $-3164 \text{ kg m}^{-2}\text{a}^{-1}$ ), whereas at Artesonraju Glacier, the geodetic measurements indicate higher mass loss (average glaciological:  $-4206 \text{ kg m}^{-2}\text{a}^{-1}$ , geodetic:  $-2696 \text{ kg m}^{-2}\text{a}^{-1}$ ). This difference can be partly attributed to the different glacier basin definitions and slightly different observation intervals, but also to limitations of the individual methods, as discussed above.”

Also, just as an additional information, according to mass balance measurements we did in collaboration with the Peruvian colleagues indicate that mass balances (since 2010) are much more negative in the Cordillera Blanca than in the Cordillera Vilcanota.

We appreciate this information. I sent requests regarding mass balance measurements in the Cordillera Vilcanota region to the respective institution. However, I did not receive an answer until now. If this data will become available during the further review process, I will certainly include it in the analysis.

All these points, open questions and uncertainties leave me with considerable doubts whether there are (basic?) problems with data collection, processing and analysis. For me these are the fundamental points that absolutely need to be clarified before this study can be published. I encourage the authors to do a serious investigation about these issues such that we can have reasonable confidence that the reported results reflect the reality and are not distorted by any errors.

#### References:

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# Changes of the tropical glaciers throughout Peru between 2000 and 2016 – Mass balance and area fluctuations

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**Abstract.** Glaciers in tropical regions are very sensitive to climatic variations and thus strongly affected by climate change. The majority of the tropical glaciers worldwide are located in the Peruvian Andes, which have shown significant ice loss in the last century. Here, we present the first multi-temporal, region wide survey of geodetic mass balances and glacier area fluctuations throughout Peru covering the period 2000-2016. Glacier extents are derived from Landsat imagery by performing automatic glacier delineation based on a combination of the NDSI and band ratio method and final manual inspection and correction. [The mapping of debris-covered glacier extents is supported by SAR-coherence information.](#) A total glacier area loss of  $-548.5 \pm 65.7 \text{ km}^2$  (-29%,  $-34.3 \text{ km}^2 \text{ a}^{-1}$ ) is obtained for the study period. Using interferometric satellite SAR acquisitions, bi-temporal geodetic mass balances are derived. An average specific mass balance of  $-357 \pm 43296 \pm 41 \text{ kg m}^{-2} \text{ a}^{-1}$  is found throughout Peru for the period 2000-2016. However, there are strong regional and temporal differences in the mass budgets ranging from  $68 \pm 10245 \pm 97 \text{ kg m}^{-2} \text{ a}^{-1}$  to  $-990 \pm 476752 \pm 452 \text{ kg m}^{-2} \text{ a}^{-1}$ . The ice loss increased towards the end of the observation period. Between 2013 and 2016, a retreat of the [glaciated glacierised](#) area of  $-203.8 \pm 65.7 \text{ km}^2$  (-16%,  $-101.9 \text{ km}^2 \text{ a}^{-1}$ ) is mapped and the average mass budget amounts to  $-836 \pm 188660 \pm 178 \text{ kg m}^{-2} \text{ a}^{-1}$ . The glacier changes revealed can be attributed to changes in the climatic settings in the study region, derived from ERA-Interim reanalysis data and the Oceanic Niño Index. The intense El Niño activities in 2015/16 are most likely the trigger for the increased change rates in the time interval 2013-2016. Our observations provide fundamental information on the current dramatic glacier changes for local authorities and for the calibration and validation of glacier change projections.

## 1 Introduction

## 1 Introduction

Tropical glaciers in the Peruvian Andes are very sensitive to climate change and rapidly respond to varying climate settings (e.g. Kaser and Osmaston, 2002; Rabatel et al., 2013). A marked decrease in glacier coverage in Peru has been reported by various studies (e.g. Georges, 2004; Hanshaw and Bookhagen, 2014; Vuille et al., 2008) for the last decades. The recession of the Peruvian glaciers is proposed to have significant impact on the downstream ecosystem and communities (Vuille et al., 2018). Glaciers act as an important temporal water reservoir for precipitation during the wet season. Glacier meltwater runoff buffers the water shortage caused by the low precipitation during the dry season (Kaser et al., 2003; Schauwecker et al., 2017). The shrinkage of glaciers leads to higher meltwater discharge and thus increases water supply to streamflow. However, glacier

30 runoff decreases after the glacier loss reaches a critical transition point (Pouyaud et al., 2005). It has been suggested that some  
watersheds in the Cordillera Blanca have already crossed ~~already~~ this critical transition point (Baraer et al., 2012). An unsteady  
or unreliable water runoff is known to cause several socio-economic issues- (Drenkhan et al. 2019). Hydropower production  
and mining rely on a continuous water supply. Moreover, glacier runoff is an important water resource for irrigation and has  
the potential to affect large-scale but also subsistence agriculture (Vuille et al., 2018). It also impacts the Andean ecosystems.  
35 For example, the *bofedales* (high-altitude wet lands in the Andes) are very sensitive to changes in glacier runoff and a depletion  
of the meltwater is likely to cause them to shrink (Polk et al., 2017). Additionally, the glacier retreat leads to the formation and  
extension of pro-glacial lakes (Hanshaw and Bookhagen, 2014; Lopez et al., 2010) threatening downstream areas due to their  
potential to cause glacier lake outburst floods (GLOF). In the Cordillera Blanca, several GLOFs have harmed local  
communities in the past. The most dramatic was the disaster in 1941 when large parts of the city of Huaraz were destroyed by  
40 a GLOF event, leading to ~1800 casualties (Carey, 2010). However, GLOF immineneesthreats are present throughout the  
Tropical Andes (Cook et al., 2016; Hoffmann, 2012).

Several studies have been carried out to map and quantify changes in the glacier area in Peru. The majority of the analyses  
have focused on Peru's largest glaciatedglacierised region, the Cordillera Blanca (e.g. Baraer et al., 2012; Georges, 2004;  
Hastenrath and Ames, 1995; Racoviteanu et al., 2008; Silverio and Jaquet, 2005, 2017; Unidad de Glaciología y Recursos  
45 Hidricos (UGRH), 2010), and revealed significant glacier retreat in the last decades. The most recent studies reported a glacier  
recession in the Cordillera Blanca of -46% between 1930 and 2016 (Silverio and Jaquet, 2017) and -33.5% between 1975 and  
2016 (Veettil, 2018). In other glaciatedglacierised regions in Peru, distinct glacier retreat was observed as well. In the second  
largest glaciatedglacierised mountain range in Peru, the Cordillera Vilcanota, an area loss of -32% in the period 1985-2006  
(Salzmann et al., 2013) and -30% in the period 1988-2010 (Hanshaw and Bookhagen, 2014) was revealed. The only  
50 countrywide estimation of glacier area changes was carried out by the UGRH (2014). They estimated a total glacier retreat of  
-42.64% from the first Peruvian glacier inventory of 1970 (Hidrandina SA, 1989) and the recent inventory covering the period  
2003-2010 (UGRH, 2014). So far, no multi-temporal nor recent quantification of glacier area changes throughout Peru is  
available, only studies at regional levels.

There are a few studies dealing with surface elevation and ice volume/mass changes in Peru. Changes in the ice volume of -  
55  $57 \cdot 10^{-6} \text{ m}^3$  have been derived from aerial photographs and GPS point measurement data for three glaciers in the Cordillera  
Blanca by Mark and Seltzer (2005) for the period 1962-1999. Huh et al. (2017) calculated the surface elevation changes of six  
glaciers in the Cordillera Blanca by means of photogrammetric digital elevation models (DEMs) and LiDAR measurements.  
They found glacier wide average surface lowering ranging between -9.5 and -64.06 m in the period 1962-2008. In the  
Cordillera Vilcanota, Salzmann et al. (2013) estimated volume changes of -40 to -45% based on inventory parameters for the  
60 period 1962-2006. ~~The only large~~ Large-scale mass balance estimates covering Peru are the following: a mass balance  
estimation for the "low latitudes" of  $-1080 \pm 360 \text{ kg m}^{-2} \text{ a}^{-1}$  based on the upscaling of glaciological mass balance measurements  
covering the period 2003-2009 (Gardner et al., 2013); modelled surface mass balance of  $-1550 \pm 620 \text{ kg m}^{-2} \text{ a}^{-1}$  for the Andes  
north of  $27^\circ \text{S}$  in the period 1979-2014 (Mernild et al. 2017), a upscaled mass balance of  $-2 \pm 2 \text{ Gt a}^{-1}$  ( $-1030 \pm 830 \text{ kg m}^{-2} \text{ a}^{-1}$ )  
for the same region using glaciological and geodetic mass balances between 2006 and 2016 (Zemp et al. 2019), a mass balance  
calculation throughout South America (excluding Patagonia) of  $-6 \pm 12 \text{ Gt a}^{-1}$  using space borne gravimetric measurements  
from the Gravity Recovery and Climate Experiment (GRACE) for the period 2000-2010 (Jacob et al., 2012); and a geodetic  
mass budget of  $-0.49 \pm 0.09 \text{ Gt a}^{-1}$  ( $-227 \pm 42 \text{ kg m}^{-2} \text{ a}^{-1}$ , ice density scenario:  $850 \text{ kg m}^{-3}$ ) derived from InSAR measurements for  
the period 2000-2012/13 including glaciers in Bolivia (Braun et al., 2019). The first ~~two~~ three cover large areas and thus the

mass balance signals of glaciers in Peru or even smaller regions cannot be derived. The latter uses the glacier boundaries defined by the Randolph Glacier Inventory (RGI) 6.0. The RGI 6.0 has certain limitations in this region (Section 3 and RGI Consortium, 2017), which can lead to biases in the mass balance computation (Section 6.2).

Up to now, a spatially detailed and multi-temporal quantification of glacier changes throughout Peru is missing. In order to address this issue, this work aims to continue and expand the glacier monitoring of previous studies by carrying out a comprehensive analysis of glacier area changes and mass balances throughout the Peruvian Cordilleras for the observation period 2000-2016 based on multi-sensor remote sensing data. The main objectives of this study are:

- to obtain a temporally and methodically consistent evaluation of countrywide glacier area changes
- to assess geodetic glacier mass balances and their temporal variations throughout Peru
- to identify relations between glacier fluctuations, changes of climatic variables and topographic parameters

## 2 Study site

Peru is home to the majority of tropical glaciers worldwide. About 70% of all tropical glaciers, covering an area of 1602.96 km<sup>2</sup> (RGI 6.0), are located there. The Peruvian Andes are subdivided into three major mountain ranges, the Cordillera Occidental, Central and Oriental, from west to east, and several smaller Cordilleras (Figure 1). According to Sagredo and Lowell (2012), the glaciated glacierised areas are divided into three subregions based on their climatic settings:

- R1: Northern wet outer tropics, with a high mean annual humidity of 71%, nearly no seasonality of the temperature (annual mean: 1.6 °C; variability of mean monthly temperature ~1 °C) and a total annual precipitation of 815 mm. R1 ranges from the Cordillera Blanca southwards to the Cordillera Chonta and also includes the Cordilleras Huagoruncho and Huaytapallana further east.
- R2: Southern wet outer tropics, with moderate mean annual humidity of 59%, an annual seasonality variability of the mean monthly temperature of about 4 °C (annual mean: 1.6 °C) and a total annual precipitation of 723 mm. R2 ranges from the Cordillera Vilabamba westwards to the Cordillera Apolobamba (partly located in Bolivia, but included completely in this study).
- R3: Dry outer tropics, with low mean annual humidity of 50%, a mean annual temperature of -4.0 °C (seasonality variability of the mean monthly temperature of ~5°C) and low total annual precipitation of 287 mm. R3 ranges from the Cordillera Ampato westward to the Cordillera Volcanica.

The annual variability of precipitation shows a strong seasonality in all three subregions (Sagredo and Lowell, 2012) with a dry season during austral winter from May to September and a wet season during austral summer from October to April. The glaciers accumulate mass almost exclusively during the wet season, whereas the lower reaches of the glaciers experience ablation throughout the year (Kaser, 2001). Thus, slight variations in precipitation and temperature can lead to strong changes

of the glacier mass balances (Francou Bernard et al., 2003), but also surface albedo and radiation significantly affect the mass budget of tropical glaciers (Favier et al., 2004; Wagnon et al., 1999). Moreover, the reaction of the glaciers in the Tropical Andes to changing environmental conditions is nearly immediate (Vuille et al., 2008). The El Niño Southern Oscillation (ENSO) has a strong impact on climate and thus the glacier mass balances in Peru- (Garreaud et al., 2009; Maussion et al., 2015). El Niño events typically lead to pronounced glacier mass losses due to an induced precipitation deficit and above average temperatures, whereas during La Niña periods the opposite conditions lead to reduced mass losses or even mass gain (Favier et al., 2004; Vuille et al., 2008).

Glaciological mass balance measurements are carried out at several glaciers in the study region by the UGRH, a subdivision of the Autoridad Nacional del Agua (National Water Administration). However, observations are available in the World Glacier Monitoring Service (WGMS) database only for two glaciers covering our study period 2000-2016. Continuous annual mass balance observations have been documented at Artesonraju Glacier and Yanamarey Glacier since 2004. At some additional glaciers, mass balance programmes were initiated later and the data ~~is~~ are not yet archived in the WGMS database.

### 3 Data

Spaceborne remote sensing data from different sensor systems ~~is~~ are collected to perform this comprehensive study on glacier changes in the period 2000-2016. Synthetic Aperture Radar (SAR) data ~~is applied~~ are used to obtain information on glacier surface elevation changes and mass balances. Digital elevation models (DEM) derived from interferometric SAR acquisitions at different time steps are ~~applied~~ used to compute surface elevation change information. As the elevation reference at the start of our observation interval, the void-fill LP DAAC NASA Version 3 SRTM DEM (NASA JPL, 2013) is used. It is based on bistatic C-band SAR data, acquired during the Shuttle Radar Topography Mission (SRTM) by the National Aeronautics and Space Administration (NASA) and the German Aerospace Center (DLR) in February 2000. DEMs of later dates are generated from bistatic X-band SAR imagery of DLR's TanDEM-X (TDX) mission, which started in 2010 (Zink et al., 2011) (Section ~~6~~ 4.2). Both SAR missions acquired data using different radar bands. Signal penetration of different radar frequencies in glacier surfaces depends on water content and the density of upper layers. This can lead to biases when comparing elevations on ~~glaciated~~ glacierised areas. Thus, we tried to select only imagery from the same season as the SRTM data in order to obtain acquisitions with similar glacier surface conditions (Section 8) and to avoid seasonal mass balance biases. In early 2013, an almost complete coverage of the ~~glaciated~~ glacierised regions in Peru could be obtained (early 2012 at subregion R1 as well as for comparison with Braun et al., 2019). Only a small fraction of the ~~glaciated~~ glacierised areas in subregion R3 had no coverage by TDX in early 2013. ~~Therefore~~, TDX imagery from early 2014 is used to fill the gaps (Section 9) 5.2). A second temporally consistent coverage of the Peruvian glaciers by TDX data is available for 2016, though acquired primarily in the months of October and November, which mark the end of the dry season and beginning of the wet season. ~~Less ablation~~ Typically negligible accumulation occurs during the dry season and ablation is dominating the glacier mass budget (Favier et al., 2004;

Kaser, 2001; Veettil et al., 2017b). Thus, the mass balances for observation periods ending in 2016 ~~would beare slightly biased towards~~ more negative ~~when considering values due to~~ this seasonal ~~biasoffset in the data~~. It is difficult to adequately quantify this temporal bias. Therefore, no correction is employed in the analysis and our computed mass loss rates represent ~~lowerupper~~ bound estimations for the periods 2000-2016 and 2013-2016. A summary of the 331 analysed TDX scenes is provided in Table S1 and the spatial coverage of the subregions is plotted in Figure S1.

The RGI 6.0 Region 16 “Low Latitudes” covers all ~~glaciatedglacierised~~ regions in Peru. The outline dates range between 2000 and 2009 in Peru. Thus, it does not represent the glacier extent at a specific moment. Moreover, it is mentioned in the RGI 6.0 Technical Report (RGI Consortium, 2017) that significant snow contamination caused difficulties in the glacier delineations, especially in southern Peru, and that a more rigorous demarcation could decrease the total glacier area. The Peruvian glacier inventory compiled by UGRH (2014) is also not temporally consistent. It covers the period 2003-2010. In order to use temporally appropriate glacier outlines for the mass balance evaluations and to map coincidental glacier area changes, we decided to generate a consistent database of countrywide glacier extents that correlates with the dates of our coverages of interferometric SAR data (see above). ~~Therefore, cloud~~Cloud-free multispectral images from Landsat 5 TM and Landsat 8 OLI are ordered from the United States Geological Survey (USGS). Imagery, preferably during the dry season, is selected to reduce distortions due to temporal snow cover. For all subregions, a complete coverage in 2000 and 2016 is available. In subregions R1 and R2, cloud and snow cover forced us to map a small fraction of the ~~glaciatedglacierised~~ regions using imagery from 2014 (Section ~~9-5.1~~). An overview of the analysed Landsat imagery is presented in Table S2. The Cordillera Blanca is the only mountain range with a considerable debris-covered glacier fraction. Therefore, the mapping of the glacier extents in this area is supported by interferometric analysis of repeat pass SAR acquisitions from the TerraSAR-X and Sentinel-1 satellite missions.

ERA-Interim reanalysis data (Dee et al., 2011) covering the period 1979-2017 provided by the Center for Medium-Range Weather Forecasts (ECMWF) ~~isare~~ used to evaluate climatic changes and to identify correlations between glacier fluctuations, skin temperature, total precipitation and downward surface thermal radiation. Monthly Oceanic Niño Index (ONI) data ~~isare~~ applied as a proxy for ENSO events, which is available from the National Oceanic and Atmospheric Administration (NOAA) Climate Prediction Center ([http://origin.cpc.ncep.noaa.gov/products/analysis\\_monitoring/ensostuff/ONI\\_v5.php](http://origin.cpc.ncep.noaa.gov/products/analysis_monitoring/ensostuff/ONI_v5.php)). According to NOAA’s definition, ONI values above +0.5 indicate El Niño events, whereas La Niña is present when ONI values are below -0.5.

## 4 Methods

### 4.1 Glacier inventory

155 Since the manual delineation of glacier extents is laborious, time-consuming and subjective, several methods have been developed to automatically map glacier outlines based on multispectral images (Veettil and Kamp, 2017). The most widely used and robust approaches are the computation of the normalized difference snow index (NDSI) or the band ratio (BR) and the application of a threshold value to differentiate between on- and off-glacier areas (GLIMS algorithm working group, n.d.; Paul et al., 2013). In this study, we first used the NDSI to classify glacier areas and combined it with BR information to improve  
160 the mapping in areas affected by shadows. NDSI maps generated from top of atmosphere reflectance values show a better performance than NDSI maps based on digital number values. For the BR computation, digital number values are taken. The threshold value selection is supported by high-resolution satellite imagery (Google Earth) from the respective dates. A NDSI threshold value of 0.8 is selected, which is higher than the thresholds of 0.5-0.6 applied by other studies in this region (e.g. Silverio and Jaquet, 2005; Veettil et al., 2017). This offset might be induced by the application of top of atmosphere reflectance  
165 values instead of digital number values. The threshold for the BR data is set to 1.7 for Landsat 5 TM and 1.5 for Landsat 8 OLI data. Finally, polygons of the glacier outlines are generated from the computed glacier masks.

The detection of the debris-covered glacier termini extents in the Cordillera Blanca is difficult using multi-spectral imagery. Therefore, we generated SAR coherence maps from repeat-pass SAR acquisitions to distinguish the debris-covered ice from the surrounding ice-free areas (Atwood et al., 2010; Lippl et al., 2018). The surface structure of the debris-covered glacier  
170 areas changes over time due to the dynamics and melting of the underlying ice. This leads to a temporal decorrelation of the backscattered SAR signal of repeat-pass SAR imagery and thus to lower coherence as compared to the surrounding ice-free areas. This difference in coherence facilitates the delineation of the debris-covered ice areas. Data from the Sentinel-1 mission are used to map the debris-covered areas in 2016. No suitable repeat-pass SAR acquisitions are available for the Cordillera Blanca in 2013. Thus, we had to rely on TerraSAR-X and Sentinel-1 data from 2014 to map the outlines of the debris-covered  
175 glacier tongues. In 2000 (and  $\pm 1$  year), only repeat-pass SAR data ~~is~~are available from the European Remote Sensing (ERS) satellite with a repetition cycle of one day. Due to this short temporal baseline, a separation between debris-covered ice and surrounding ice-free areas is unfeasible. Consequently, we combined our outlines from 2000 with the manually delineated debris-cover masks available from the Global Land Ice Measurements from Space (GLIMS) database from 2003 based on SPOT imagery (~~mapped by Adina~~ Racoviteanu, 2005).

180 The catchment discriminations of the RGI are applied to split the resulting polygons into individual glacier basins. In the next step, the glacier inventories are visually inspected and misclassified areas are manually corrected. These manual corrections are supported by high-resolution imagery from the respective years (Google Earth). According to the RGI 6.0 Technical Report (RGI Consortium, 2017), topographic parameters (minimum, maximum and median elevation, mean slope and aspect) of the individual glacier basins are computed using the void-filled SRTM DEM as an elevation reference. Finally, the areas (S) of

185 the complete inventories and of each glacier are measured in UTM projection (UTM Zone 18S for subregion R1 and UTM  
Zone 19S for subregion R2 and R3). The uncertainties of the area gauging ( $\delta_s$ ) are calculated following the approach of Malz  
et al. (2018) based on an error evaluation of 3% for alpine glacier outlines derived from Landsat images (Paul et al., 2013).  
This estimate is scaled by the area to perimeter ratio of the studied subregion compared to the area to perimeter ratio of Paul  
et al. (2013) in order to account for differences in the shape of the [glaciatedglacierised](#) areas.

## 4.2 Elevation change

190 Surface elevation change information is computed by differencing DEMs from SRTM and TDX data. ~~Therefore,~~ DEMs are  
derived from the bistatic TDX imagery following the differential interferometric approach (e.g. Malz et al., 2018; Seehaus et  
al., 2015; Vijay and Braun, 2016), which is briefly summarized in the following.

First, acquisitions from the same relative orbit and date are concatenated in the along track direction. A differential  
interferogram is computed using the void-filled SRTM DEM as elevation reference. In the next steps, the interferogram is  
195 filtered, unwrapped by applying the branch cut and minimum cost flow algorithm and the unwrapped differential phase is  
transferred into differential elevations. Subsequently, the topographic information of the SRTM DEM is added to obtain  
absolute height information and finally the product is geocoded and orthorectified. The DEMs are visually checked for phase-  
jumps and the best results of both phase-unwrapping methods are selected for further processing. Areas affected by remaining  
phase-jumps are masked out.

200 The TDX DEMs need to be precisely horizontally and vertically coregistered to the respective reference DEM (SRTM for  
2000, TDX for 2013) in order to accurately map elevation changes on the [glaciatedglacierised](#) areas. Figure S2 illustrates the  
applied processing chain used to perform this coregistration. First, smooth stable reference areas are defined by masking out  
vegetation, water and glacier areas. The vegetation and water masks are derived from region wide cloud free Landsat 8 mosaics  
and using a normalized difference vegetation index (NDVI) threshold of 0.3 and a normalized difference water index (NDWI)  
205 threshold of 0.1. Additionally, a slope threshold of  $15^\circ$  (of the respective reference DEM) is applied. Thereafter, the TDX  
DEM are bi-linearly vertically corrected for offsets to the reference DEM, which are measured on the defined stable regions.  
Subsequently, a horizontal coregistration between the reference DEM and the TDX DEMs is carried out following the widely  
used approach of Nuth and Kääb (2011). Afterwards, a second bi-linear vertical coregistration of the TDX DEMs to the  
reference DEM is run to reduce any biases that remain. Finally, the coregistered TDX DEMs are merged to a regional DEM  
210 mosaic, which include a date stamp for each grid cell.

To obtain elevation change rates  $\Delta h/\Delta t$  of the respective study periods, the SRTM DEM and the TDX DEM mosaics are  
differentiated. ~~Therefore, the~~The mean date of the eleven-day SRTM mission (2000-02-16) is assigned to the SRTM DEM.  
Since data voids in the SRTM DEM are filled with data from other sources (no date information available), the non-SRTM  
data values are masked out using the coverage information provided by LP DAAC NASA. Numerous studies have revealed

215 that the glaciers in Peru are in general retreating (Section 1). Thus, the glacier inventory from the beginning of the respective observation period is employed to create surface elevation change maps for on- and off-glacier areas. The average regional and glacier-wise elevation change rates are obtained by integration of  $\Delta h/\Delta t$  over the respective areas. Slopes steeper than  $50^\circ$  are rejected (5.7% of the glacier area in 2000), since major ice aggregation is quite unlikely there (avalanche slopes, backed up by field observations) and DEMs are less accurate on these steep slopes (Toutin, 2002). To account for data voids in the elevation change fields on ~~glaciated~~glacierised areas, the measured  $\Delta h/\Delta t$  values are area weighted based on the hypsometric area distribution using 100 m elevation bins. ~~Outliers in the respective elevation bins in order to calculate regional mean values.~~ This is one of the recommended methods to obtain regional estimates and provides reliable results for datasets with up to 60% voids (McNabb et al., 2019). The average  $\Delta h/\Delta t$  of individual glaciers is calculated according to McNabb et al. (2019) by using elevation bins of 10% of the glacier elevation range, if it is <500m, and bins of 50 m for glaciers with elevation ranges >500 m. A coverage of more than two-thirds of the elevation bins and <60% data gaps are used as a criterion for exclusion. Voids in the hypsometric  $\Delta h/\Delta t$  distribution are filled by applying third order polynomial fit. Outliers in the respective elevation bins (regional and glacier-wise analysis) are sorted out using three times the normalized median absolute deviation (NMAD) (Brun et al., 2017). For all hypsometric analyses of elevation changes (SRTM to TDX, TDX to TDX), the void-filled SRTM DEM is utilized.

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The uncertainties of the generated elevation change rates are assessed by evaluating the elevation change rates on non-vegetated stable off-glacier areas (water and vegetation masks, see above). The lowest and highest 2% quantiles of the change rates are rejected to suppress the impact of processing artefacts and outliers. To account for the dependency of the offsets on the slope (Figure 2 and S3 and S4), the deviations are binned in slope intervals of  $5^\circ$ . Remaining outliers are removed by employing a  $3 \cdot \text{NMAD}$  filter for each slope bin. Finally, the area-weighted standard deviations  $\sigma_{AW}$  based on the offsets in off-glacier areas and the slope distribution in glacier areas are calculated.

Since we integrate elevation change information over the ~~glaciated~~glacierised area, spatial auto correlation of the elevation change fields must be considered in the accuracy assessment. We estimated the uncertainty of the computed average elevation change rates ( $\sigma_{\Delta h/\Delta t}$ ) according to the approach of Rolstad et al. (2009):

$$\sigma_{\Delta h/\Delta t} = \sqrt{\frac{A_{cor}}{5A_{gl}}} \sigma_{AW} \quad A_{gl} > A_{cor} \quad (1)$$

$$240 \quad \sigma_{\Delta h/\Delta t} = \sigma_{AW} \quad A_{gl} < A_{cor}$$

Where  $A_{cor} = \pi \cdot d_{cor}^2$  is the correlation area,  $A_{gl}$  is the analysed glacier area and  $\sigma_{AW}$  is the assessed accuracy of the elevation change rates (explained in the next paragraph). The correlation length ( $d_{cor}$ ) is obtained by generating semivariograms with 100000 random samples of  $\Delta h/\Delta t$  values on the off-glacier areas. A binning in 30 m distance intervals and a maximum distance of 20 km are applied. Spherical semivariogram functions are fitted to the data and an average correlation length of 387 m

245 results from the analysed elevation change fields. Equation 1 is applied for each continuous glaciatedglacierised area (icecap or connected glaciers) and the area-weighted average of the individual ice-covered areas is taken as the region wide  $\sigma_{\Delta h/\Delta t}$ . The hypsometric extrapolation of elevation change information leads to an additional uncertainty that is hard to quantify. We employed the approach of Berthier et al. (2014). A scaling factor (we selected a factor of 2) is applied to  $\sigma_{\Delta h/\Delta t}$  for the area fraction with hypsometric extrapolation of  $\Delta h/\Delta t$ , in order to obtain the uncertainty of our region wide average elevation change

250 rates  $\delta_{\Delta h/\Delta t}$ .

### 4.3 Mass balances

The geodetic mass balances  $\Delta M/\Delta t$  of the analysed regions are computed according to Fountain et al. (1997) by multiplying the integrated elevation change rates by the average ice density (volume to mass conversion factor). We ~~followed~~applied two density scenarios. The first scenario follows the suggestion of Huss (2013) for alpine glaciers and we applied an average ~~ice~~ density ( $\rho$ ) of  $850 \pm 60 \text{ kg m}^{-3}$ . For the second scenario, two different conversion factor of  $600 \text{ kg m}^{-3}$  and  $900 \text{ kg m}^{-3}$  are applied

255 for ablation and accumulation areas, respectively (Kääb et al., 2012, Gardelle et al. 2012). The average ELA (see Table S3 and below) of each subregion is used to distinguish between both glacier sections. As revealed by Huss (2013), the conversion factor can vary strongly. Accordingly to his findings, we applied a higher-uncertainty of  $\pm 300 \text{ kg m}^{-3}$  for the conversion factor for observation intervals shorter than 10 years and mass budgets lower than  $\pm 200 \text{ kg m}^{-2} \text{ a}^{-1}$  (and an uncertainty of  $\pm 60 \text{ kg m}^{-3}$  for all other cases (see also Braun et al., 2019). Mass budgets of individual glaciers are computed for different time intervals

260 of all three subregions R1-3 and of single glacier basins with at least 50% coverage by  $\Delta h/\Delta t$  measurements only calculated for the constant density scenario.

In order to estimate the accuracy of the geodetic mass balances, the following error contributions are considered:

- accuracy of the elevation change rates  $\delta_{\Delta h/\Delta t}$
- accuracy of the glacier outlines  $\delta_S$

265 - uncertainty of the applied average ice density  $\delta_\rho$

- potential bias due to different SAR signal penetration  $V_{pen}/\Delta t$

This leads to the following formula to calculate the error of  $\Delta M/\Delta t$ :

$$\delta_{\Delta M/\Delta t} = \sqrt{\left(\frac{\Delta M}{\Delta t}\right)^2 \left( \left(\frac{\delta_{\Delta h/\Delta t}}{\Delta h/\Delta t}\right)^2 + \left(\frac{\delta_S}{S}\right)^2 + \left(\frac{\delta_\rho}{\rho}\right)^2 \right) + \left(\frac{V_{pen}}{\Delta t} * \rho\right)^2} \quad (2)$$

The assessments of  $\delta_S$  and  $\delta_{\Delta h/\Delta t}$  are depicted in Section 5 and 6.4.1 and 4.2. The uncertainty contribution by potentially different

270 SAR signal penetration in the glacier surfaces is evaluated following the approach of Malz et al. (2018). No difference in the SAR signal penetration in the ablation areas below the equilibrium line altitude (ELA) is assumed. These areas experience melt throughout the year in the Tropical Andes (Kaser, 2001) and differences in the radar signal penetration in wet glacier

surfaces are small (Casey et al., 2016; Rossi et al., 2016; Ulaby et al., 1984). A linear increase of the penetration depth bias towards 5 m between the ELA and the highest peaks is employed in the accumulation areas to calculate  $V_{pen}$ . Depending on the applied scenario volume to mass conversion factor of 850 kg m<sup>-3</sup> or 600 kg m<sup>-3</sup> is taken. The late summer snow line altitude (SLA) derived from optical satellite images is a good proxy for the ELA in the Tropical Andes (Rabatel et al., 2012). Thus, the average ELA in the study period for the subregions is estimated based on published ELA and SLA values. Table S3 provides an overview of the considered ELA and SLA values. Uncertainties in the estimated ELA positions do not influence the observed mass balances. Only affect the penetration depth bias estimation and thus the error budget is affected. A sensitivity analysis of an ELA mismatch on the error budget is provided in Braun et al. (2019). Specific mass balances are calculated according to the UNESCO Glossary of Glacier Mass Balance (Cogley et al., 2011) by applying the average glacier area of each observation period (mean glacier extent with slopes <50°).

## 5 Results

### 5.1 Glacier inventory

The glacier outlines from 2000, 2013 and 2016 of some exemplaryexample mountain ranges from all 3 subregions are shown in Figure 3S5. A clear recession of the glaciers in all subregions is obvious. The measured extents of the glaciers for all subregions and time steps are presented in Table 1. Since cloud and snow cover do not allow for a complete coverage of subregion R1 and R2 in 2013 and no suitable SAR data eoverscover the debris-covered ice areas in the Cordillera Blanca in 2013, a small fraction of the glacier area (subregion R1: 2.1%; subregion R2: 4.4%) is mapped using imagery from 2014. For subregion R1, a nearly complete mapping (99.5%) of the glacier areas in 2014 is possible. The obtained average area change rate between 2013 and 2014 at glaciers delimited in both years is employed to correct the area change measurement in subregion R1 for 2013 at glaciers only delimited in 2014 and vice versa. In subregion R2, the change rate between 2013 and 2016 is applied to perform a similar correction of the area change measurements in 2013. In total, ice covered areas of 1916.6±48.3 km<sup>2</sup> in 2000, 1571.9±43.1 km<sup>2</sup> in 2013 and 1368.1±45.5 km<sup>2</sup> are estimated for Peru. Based on the catchment divides of the RGI6.0, a reduction in the number of glaciers from 1973 in 2000 to 1803 in 2016 is observed. The variations of the glacier count and area in the subregions and of the whole country are summarized in Table 1 and 2. It should be noted, that the uncertainty of the area changes (Table 2) result from the uncertainty of the individual inventories (quadratic sum), assuming independence of the individual area measurements. The revealed area changes of individual glaciers in the different subregions and study periods are correlated with topographic parameters (glacier area, median elevation, mean aspect) and plotted in Figure 43 and S5-S12S6-S13.

## 5.2 Elevation changes

The obtained unfiltered elevation change rates on ice-covered areas at some exemplaryexample mountain ranges are illustrated exemplarily in Figure 54 and 65 for the periods 2000-2013 and 2013-2016, respectively. In the interval 2000-2013, a clear thinning of the lower glacier parts in the Cordillera Vilcanota and Apolobamba is observed. The glaciers in the Cordillera Blanca and Huayhuas show a more balanced pattern and Coropuna experienced thinning throughout most of its ice cap. An increase in the surface lowering rates at most mountain ranges is obvious in the interval 2013-2016. Only the ice caps in subregion R3 show reduced lowering rates. Since the TDX data in 2013 doesdo not cover the whole glacier area in subregion R3, the voids are filled with TDX data from 2014. The glacier area covered by  $\Delta h/\Delta t$  measurements using TDX data from 2014 amountsamount to only 1.4% (glacier outlines from 2000). The impact of this void filling is considered negligible since the analysis uses change rates. The average measured and extrapolated surface elevation change rates of all subregions for different observation periods are listed in Table 2. The fraction of glacier area covered by  $\Delta h/\Delta t$  measurements is lowest in subregion R1, when using the SRTM DEM as a reference (Table 2). Large data voids in the SRTM data lead to a partial coverage of only 46% of the ice covered area in subregion R1 in 2000 (61% in subregion R2, 89% in subregion R3). The amount of measurements on the glaciatedglacierised areas clearly increases when deriving the elevation change solely from TDX datasets (Table 2). In the period 2013-2016, a coverage of up to 80%, 69% and 89% is obtained in subregion R1, R2 and R3, respectively. Since the differential InSAR approach is used to generate the TDX DEMs, the proportion of areas affected by phase-jumps in the unwrapped interferogram is small (~1% of the total glacier area). The major area affected by phase-jumps (at the Cordillera Vilcanota in 2016) is highlighted in Figure 65. Figures 54 and 65 indicate that the elevation change rates are altitude dependent. The hypsometric distributions of the measured elevation change rates and the respective ice covered areas are plotted in Figure 7, S136, S14 and S14S15 for the each subregion in the interval 2000-2016. Surface lowering is found on areas below 5700m in subregion R1, 5800m in subregion R2 and 5900 m in subregion R3. Considerable positive elevation changes are observed in the higher reaches of the glaciers in subregion R1.

## 5.3 Mass balances

The geodetic mass balances derived from the elevation change information of the individual subregions are listed in Table 2. In total, a mass loss of -7.62±1.05 Gt or -9.18±1.10 Gt is found for Peru in the period 2000-2016-, depending on the applied density scenario (Section 4.3). The mass loss rates show an increase between the observation periods 2000-2013 and 2013-2016. Only in subregion R3 is a slight reduction of the mass loss observed. The most prominent increase in glacier wastage is revealed in subregion R1. The mass budget changed from nearly stable conditions of 68±10245±97 kg m<sup>-2</sup> a<sup>-1</sup> in 2000-2013 to a specific mass loss rate of -990±476752±452 kg m<sup>-2</sup> a<sup>-1</sup> in 2013-2016- (2nd density scenario). The computed mass balances of individual glaciers in the different subregions and study periods are correlated with topographic parameters (glacier area, median elevation, mean aspect) and plotted in Figure 87 and S15-S19S16-S20.

## 6 Discussion

### 6.1 Glacier retreat

We observed a dramatic recession of the glaciers throughout Peru of -29% (-548.5±65.7 km<sup>2</sup>; -1.8 % a<sup>-1</sup>) between 2000 and 2016. Our total mapped glacier extent of 1368.1±44.5 km<sup>2</sup> in 2016 is comparable to the reported coverage of 1298.6 km<sup>2</sup> by the recent Peruvian Glacier Inventory (UGRH, 2014), considering that UGRH did not include the glacier areas of the Bolivian Cordillera Apolobamba (~70 km<sup>2</sup>). The glacier area mapped in the RGI6.0 amounts to 1602.96 km<sup>2</sup>, which is in the range of our measurements for 2000 and 2013. A direct comparison is complex, since the RGI6.0 is a blended product with outlines discriminated between 2000 and 2009 in Peru. However, visual inspection of our outlines and the RGI6.0 revealed that numerous small glaciers (especially in the southern section of subregion R1 and throughout the whole subregion R3) mapped in the RGI6.0 are artefacts, which are caused most probably by ~~temporal~~temporary snow cover. This issue has already been mentioned in the RGI Technical Report (RGI Consortium, 2017). Thus, our glacier inventory of Peru in 2000 has 350 less features than the RGI6.0. By contrast, the Peruvian Glacier Inventory (UGRH, 2014) lists 2679 glaciers. This difference can be explained by the different basin delineations applied.

The comparison of our area measurement of 1916.6±48.3 km<sup>2</sup> in 2000 and the 1<sup>st</sup> Peruvian Glacier Inventory (Hidrandina SA, 1989) in 1970 (2041.85 km<sup>2</sup>) results in a retreat of -7% (-139.9 km<sup>2</sup>; 0.2% a<sup>-1</sup>). However, the ~~retreat rate is most likely higher since not all glaciated area changes amounts to -23%, considering only glacierised~~ Cordilleras, which were completely mapped in the 1<sup>st</sup> Peruvian Glacier Inventory (UGRH, 2014).

Figure 98 illustrates the temporal evolution of the glacier area changes and highlights that Peru's glaciers have experienced long-term shrinkage since 1970 with ~~strongly~~increasing rates in recent years. This observed trend is in accordance with the findings of previous studies at individual mountain ranges. Silverio and Jaquet (2017) summarized area measurements of several studies in the Cordillera Blanca (subregion R1) and revealed an increase in the loss rate from about -5 km<sup>2</sup> a<sup>-1</sup> between 1971 and 1996 towards -23 km<sup>2</sup> a<sup>-1</sup> between ~~2015~~2014 and 2016. Burns and Nolin (2014) reported a ~3.5 times higher area loss rate in 2004-2010 compared to 1970-2003 in the Cordillera Blanca. Area loss rates of ~1.8 % a<sup>-1</sup> (>50%) since 1975 are reported by the Instituto Geofísico del Perú (2010) and López-Moreno et al. (2014) for the Cordillera Huaytapallana, which is higher than our finding of -1.1 % a<sup>-1</sup> in subregion R1 in the period 2000-2013. However, their study period includes strong El Niño events in the 1980/90s (Figure 98), which typically lead to increased glacier melt in the Tropical Andes (Wagnon et al., 2001). Retreat measurements in subregion R2 are carried out at the Cordillera Vilcanota (Hanshaw and Bookhagen, 2014; Salzmann et al., 2013; Veettil and Souza, 2017), the Cordillera Apolobamba (Cook et al., 2016; Veettil et al., 2017a), the Cordilleras Carabaya, Urubamba and Vilcabamba (Veettil et al., 2017d) and at glaciers draining into the Vilcanota-Urubamba basin (Drenkhan et al., 2018). All studies revealed significant glacier shrinkage since the 1980s with retreat rates in the range of -0.9 to -1.7 % a<sup>-1</sup>. These findings are comparable with our observed rate of -1.3 % a<sup>-1</sup> in subregion R2 in the period 2000-2013. Drenkhan et al. (2018) revealed reduced shrinkage rates for 2010-2016 as compared to 2004-2014. This is contradictory

to our observed strong increase in glacier recession after 2013. They analysed only glaciers in the Vilcanota-Urubamba basin, of which most are south facing. We measured the highest retreat for glaciers facing north in subregion R2 in the period 2013-2016 (Figure [S21S22](#)) and only low retreat for south facing glaciers. Thus, different spatial extents and representativeness of topographic attributes in the analysed regions leads to the mismatch of the observed retreat trends. Moreover, this suggests that the representativeness of topographic settings needs to be considered when doing region wide upscaling of sampled glacier change measurements. At the ice cap of the Coropuna volcano (subregion R3), various ice coverage estimates are available going back to 1955 (Peduzzi et al., 2010; Racoviteanu et al., 2007; Silverio and Jaquet, 2012; Ubeda-Palénque, 2011; Veettil et al., 2016). The average loss rate of  $\sim 1.5\% \text{ a}^{-1}$  (1955-2015) is lower than our estimated rate of  $-2.3\% \text{ a}^{-1}$  for subregion R3 in the period 2000-2013. Besides differences in the study periods, we attribute this deviation to the fact that our estimate for subregion R3 includes numerous small glaciers located at lower altitudes (Figure [S9S10](#)). These glaciers are in general more sensitive to climate change (Francou Bernard et al., 2003; Vuille et al., 2008) in comparison to the more elevated glaciers of the Coropuna volcano. Moreover, Veettil et al. (2016) discovered an increased retreat and uplift of the SLA after  $\sim 2000$  at ~~the~~ [Coropuna's](#) ice cap, supporting our findings.

For the period 2013-2016, we discovered a four times higher countrywide retreat rate compared to the period 2000-2013. The strongest increase is found in subregion R2, whereas in subregion R3 (only  $\sim 5\%$  of total glacier area) the glacier area remained quite stable after 2013. The increased retreat rates in subregions R1 and R2 after 2013 can be attributed to the strong ENSO activities in the years 2015/16. An average ONI of 0.42 is reported for 2013-2016 and the maximum ONI of 2.6 in December 2015 indicates distinct El Niño conditions. On the other hand, an average ONI of -0.17 is revealed for 2000-2013, indicating that La Niña conditions dominated this period. Since El Niño periods typically lead to increased glacier wastage in the Tropical Andes (e.g. Vuille et al., 2008; Wagnon et al., 2001) (Section [3-2](#)), our observed increased shrinkage after 2013 can be attributed to the ENSO activities in this period. [This pattern fits also to the finding by Morizawa et al. \(2013\) \(Condoriri Glacier, Bolivia\) and Silverio and Jaquet \(2017\) \(Cordillera Blanca, subregion R1\). Both studies reported enhanced recession during El Niño events and even area gains during La Niña epochs. The latter study also discovered a linear relation between glacier retreat and ONI \( \$R^2=0.8\$ \) and reports an change rate of  \$-5\% \text{ a}^{-1}\$  for 2014-2016 that is equal to our change rate at subregion R1 for 2013-2016. Moreover, the glaciers in the Tropical Andes are in average the thinnest worldwide \(Farinotti et al. 2019\). Therefore, the increased melt will lead to more pronounced changes in glacier area as compared to other glacier region.](#) The stagnation of the glacier retreat in subregion R3 in the interval 2013-2016 is difficult to explain. During the strong El Niño in 1997/98, increased precipitation was observed at the Coropuna volcano in subregion R3 (Herrerros et al., 2009; Silverio and Jaquet, 2012), whereas, El Niño usually leads to reduced precipitation rates. Veettil et al. (2016) reported positive precipitation anomalies after 2011 and clearly negative anomalies in the period 2009-2011 for the Coropuna volcano. However, the total precipitation data from ERA-Interim [indicates](#) lower precipitation rates after 2013 (Figure [S23S24](#)) and do not clearly indicate an increase in precipitation during El Niño 1997/98. Since the small glacier areas in subregion R3 cover

390 mainly volcano peaks, the revealed precipitation values from the spatially coarse ERA-Interim data ~~does do~~ not necessarily  
reflect the local precipitation pattern at the prominent, high altitude volcano peaks. ~~Moreover~~ Additionally, the mean glacier  
altitude in 2013 shifted ~100 m above the ELA (Figure ~~S24~~ S25), whereas the mean glacier altitude in 2000 was nearly similar  
to the ELA (Figure S14). ~~Thus, we suppose that S15~~. A significant temporary snow cover at the steady glacier conditions after  
2013 in non-glacierised Cordillera Barroso (subregion R3 are caused by, close to the boarder to Bolivia) was observed during  
395 the dry seasons in 2015 and 2016 (Lèon et al. 2019), which fits to the suggested increased precipitation at high elevations after  
2013. Moreover, snowfall events during try season strongly affect the glacier albedo and thus lead to reduced ablation.  
However, the temporary snow cover during dry season 2016 in subregion R3 might have also affected the mapping of the  
glacier outlines. Albeit, only imagery with no or only minimal snow coverage is selected, it is quite likely that some remaining  
snow cover was located at the glacierised peaks, leading to a slightly larger glacier area in 2016 as compared to 2013. This  
400 bias is not quantifiable and certainly within the range of applied uncertainty. Thus, we conclude that the glacier area kept nearly  
stable after 2013 in subregion R3 and attribute this to the allocation of the remaining ice at higher altitudes and increased  
precipitation, especially during the dry season, even though a strong El Niño event occurred in this period.

The analysis of area fluctuations of individual glaciers revealed in all three subregions indicates higher recession for glaciers  
with lower median elevation and for small glaciers (Figure 43 and ~~S5-S12~~ S6-S13). This is in accordance with findings reported  
405 in previous studies (e.g. Kaser and Osmaston, 2002; Mark and Seltzer, 2005; Ramirez et al., 2001). Small glaciers have in  
general a more narrow altitude range as compared to larger glaciers, which can maintain the ELA below the maximum glacier  
elevation. A rise in SLA (a proxy of the ELA in the Tropical Andes, Section 8 4.3) is observed throughout the Peruvian Andes  
by various studies (Hanshaw and Bookhagen, 2014; López-Moreno et al., 2014; McFadden et al., 2011; Veettil et al., 2016,  
2017d, 2017c). This corresponds to our observed retreat pattern. Figure 43 and ~~S5-S12~~ S6-S13 suggest that glaciers with slopes  
410 facing on average in the south/south-west direction experienced in general higher relative retreats. The total amount of lost  
glacier area repeats this general pattern (Figure ~~S20-22~~ S21-23). This can be attributed to the fact that more low lying, small  
glaciers with mean aspects facing southwards still exist (Figure 43 and ~~S5-S12~~ S6-S13). Higher retreat rates in general were  
observed for north-orientated glaciers before 2000 (Veettil et al., 2017a; Veettil, 2018), leading already to the disappearance of  
small north-facing glaciers before the start of our observation periods.

415 In total, we discovered that 177 glaciers disappeared in our observation period, of which most disappeared after 2013 and were  
south facing (Table 1 and Figure ~~S10-S12~~ S11-S13). At the Artesonraju Glacier in the Cordillera Blanca (subregion R1), Vuille  
et al. (2018) projected an uplift of the ELA by 300-700 m until 2100 based on the CMIP 5 scenarios RCP 4.5 and 8.5. Thus,  
the proceeding climate change will lead to the further disappearance of numerous small low-lying glaciers in the Tropical  
Andes within the next decades, as predicted by Ramirez et al. (2001) and Huh et al. (2017).

420 The gain and formation of proglacial lakes are consequences of glacier recession (Cook and Quincey, 2015), and increases the  
GLOF immineneerisk of downstream areas. Veettil et al. (2017a) discovered an increase in the number of glacial lakes from

697 to 903 in the Cordillera Apolobamba and Carabaya between 1985 and 2015. In the Cordillera Vilcanota, Hanshaw and Bookhagen (2014) observed stable or increasing extents in 77% of the lakes connected to glacial watersheds. Colonia et al. (2017) compiled an inventory of 201 potential future glacier lakes based on modelled glacier bed overdeepenings. Considering the revealed ~~finding and findings by this study as well as previously~~ reported values ~~and projections~~, a further region wide monitoring of glacier retreat and lake development is highly advisable to identify potential GLOF risks in the Tropical Andes, as also suggested by Cook et al. (2016).

## 6.2 Surface elevation changes and mass balances

The average countrywide glacier surface elevation change between 2000 and 2016 amounts to  $-0.359 \pm 0.068$  m/a, which corresponds to a ~~mass budget of  $-357 \pm 43$  kg m<sup>-2</sup> a<sup>-1</sup>. Extremest~~specific mass budget of  $-296 \pm 41$  kg m<sup>-2</sup> a<sup>-1</sup> or  $-357 \pm 43$  kg m<sup>-2</sup> a<sup>-1</sup> depending on the applied density scenario. The 2<sup>nd</sup> density scenario leads to 8-21% lower country wide mass balances. As pointed out by Kääb et al. (2012), this scenario is suitable for glacier with no  $dh/dt$  due to ice dynamics and when  $dh/dt$  is clearly driven by melt or increased accumulation. Since, these conditions are typical for the Peruvian glaciers (see Section 1 and further down), we used the results of 2<sup>nd</sup> density scenario for further discussion and analyses. Whereas, the results from the 1<sup>st</sup> scenario provide suitable information for comparison with other studies. Moreover, using the 2<sup>nd</sup> scenario reduces the potential bias by the SAR signal penetration depth differences (Section 3) on the mass budget by ~40% as compared to the 1<sup>st</sup> scenario. A computation of individual glacier mass balances using the 2<sup>nd</sup> scenario is not performed due to the lack of ELA information on glacier-scales.

The highest average surface lowering is revealed for subregion R1 in the period 2013-2016 (Table 2). However, the stable surface elevations before 2013 in subregion R1 suppress the long-term average value. The nearly balanced budget contradicts the observed glacier retreat of -15% in subregion R1 in the interval 2000-2013 at first glance. The mean surface elevation change in the retreat areas amounts to  $-0.28$  m/a, clearly indicating mass loss in the ~~deglaciated~~deglaciated areas. However, elevation gain is found at high altitudes. The more La Niña-like conditions of the ENSO in the period 2000-2013 (Section ~~4)6.1~~6.1) and an increase in precipitation in this region due to stronger upper-tropospheric easterlies (Schauwecker et al., 2017) has most probably led to higher accumulation rates. ERA-Interim reanalysis data also ~~show~~show an increase in total precipitation in this period, especially around 2007 (La Niña event). Thus, the accumulation gain in the upper reaches balanced the ice losses at the termini, even though temperatures increased (Schauwecker et al., 2017). In all subregions an increase in temperature is found in the reanalysis data for 2000-2013, but the strongest positive precipitation anomaly is found in subregion R1 (Figure ~~S23~~S24 and ~~S25~~S26). This explains the mass losses in subregions R2 and R3 in this period.

Skin temperature was still above the long-term average in subregion R1 and R2 after 2013 (Figure ~~S25~~S26). The downward surface thermal radiation shows an increase in subregion R1 (Figure ~~S26~~S27), whereas total precipitation decreased in subregion R2 and remained nearly stable in subregion R1 (Figure ~~S23~~S24). ~~These climatic settings~~Those climatic variations

enhance the ablation and facilitate a positive feedback that further increase the glacier melt. The higher temperature as well as reduced and delayed precipitation, that are typical during El Niño, lead to liquid precipitation in the ablation regions and a reduced glacier albedo, enhancing the short-wave radiation absorption (e.g. Vuille et al. 2018, Maussion et al. 2015). Thus, the climatic variations explain the more negative mass balances in both subregions in the period 2013-2016, which also correlate with the strong El Niño activities in this interval (Figure 9-8).

Only at subregion R3 did the thinning rate reduce after 2013, although El Niño conditions dominated. We attribute this, like the stable glacier area, to higher precipitation rates, especially during dry season, at the ice capped volcanos and the allocation of the remaining ice masses at high elevations (Section 406.1 for more details). Moreover, the revealed spatial pattern of mass balances after 2013, with highest mass loss rates at the northern most subregion R1 (Table 2), matches the observed trend of higher river runoff towards northern Peru during strong El Niño events (Casimiro et al., 2012, 2013).

The analysis of the mass balance of individual glaciers and topographic parameters in subregions R2 and R3 reveals a trend towards higher mass losses for glaciers facing north/north-east in the period 2000-2016 (Figure 8, S157, S16 and S16S17). This trend agrees with observations by Soruco et al. (2009a) in the Bolivian southern wet outer tropics. In the interval 2000-2016, no clear dependency of the specific glacier mass balances on the median elevation or aspect is obvious in subregion R1 (Figure 87). However, Figure 65 suggests a trend towards higher surface lowering rates on the western slopes of the Cordillera Blanca in the period 2013-2016. The subregion-wide analysis of glacier elevation changes in dependency to aspect does not reveal any clear trend (Figure S27S28), however, when analysing only the Cordillera Blanca an east to west gradient is obvious (Figure S28S29). We attribute this to the changed precipitation pattern during El Niño. Higher precipitation rates are typically found on east-facing slopes at the Cordillera Blanca, fed by moist air from the Amazon basin (Garreaud et al., 2009). However, during El Niño the westward flow of the moist air is hampered by stronger westerlies (Vuille, 2013), which increases the precipitation gradient across the Cordillera Blanca.

Long-term glaciological mass balance measurements are only available for two glaciers (WGMS, n.d.). For the Artesonraju and Yanamarey glaciers, both located in subregion R1, average mass budgets of  $-804911 \text{ kg m}^{-2} \text{ a}^{-1}$  and  $-1.464491 \text{ km}^3 \text{ a}^{-1}$  (2004-2016) are revealed from field measurements, whereas we observed  $-33377962 \pm 228 \text{ kg m}^{-2} \text{ a}^{-1}$  and  $-451773167 \pm 2317 \text{ kg m}^{-2} \text{ a}^{-1}$  (2000-2016), respectively. Both methods show higher loss rates for the Yanamarey Glacier as compared to the Artesonraju Glacier. The deviation of  $\sim 6050\%$  between both approaches at Yanamarey Glacier (overlap within the error range) can be partly attributed to different observation intervals and basin delininations. Moreover, glaciological mass balance measurements are typically based on some stake measurements in the lower, often most accessible, ablation zone and only a few measurements in the accumulation region. At the Zongo Glacier, Bolivia, Soruco et al. (2009b) performed a comparison of different mass balance methods (glaciological, hydrological and geodetic) and revealed a strong offset in the glaciological mass balance estimates. The authors suggest that the limited number of field measurement points is not representative of the whole glacier and that the interpolation between the measurement sites is not valid to obtain a bias

485 glacier wide specific mass balance information. On the other hand, to obtain glacier wide mass balance estimates using the geodetic method, there is interpolation over data voids in the elevation change fields needed (in our case we used the hypsometric elevation change distribution ~~of the subregion~~). Thus, we attribute the offset between the results from both methods to uncertainties due to interpolation, spatial sampling and different observation periods ~~and the potential bias of the glaciological method towards higher loss rates due to the higher density of measurements in the ablation region~~.

490 The correlation of the annual glaciological measurements with the average ONI of the respective observation periods indicates a trend towards increased mass loss and higher ELA during El Niño conditions (Figure S30). These tendencies fit to the observations by Silvero and Jaquet (2017) and Morizawa et al. (2013) (see Section 6.1) and support our revealed correlation between the increased glacier wastage in the period 2013-2016 and the strong El Niño event during this period.

495 Since the highest density of glaciological point measurements are collected at the lowest section at both glacier and considering the observation of Soruco et al. (2009) at Zongo Glacier, Bolivia, that the terminus region strongly dominates the mass balance variations of a tropical glacier, we did an analysis of the glaciological mass balance at regions below 4900 m a.s.l. and 5000 m a.s.l. at Yanamarey and Artesonraju glaciers, respectively. A trend toward increased mass losses after 2011 is obvious (Figure S31, unfortunately the data at Yanamarey Glacier for the period 2009-2011 were incomplete), fitting to the revealed more negative geodetic mass balances after 2013. Moreover, the most negative values are derived for the period 2015-2016, which also supports our suggestion, that ENSO force the increased glacier wastage after 2013. The comparison between the geodetic

500 and the average glaciological measurements at the terminus regions in the period 2013-2016 revealed a good agreement of both methods at Yanamarey Glacier (average glaciological:  $-3152 \text{ kg m}^{-2}\text{a}^{-1}$ , geodetic:  $-3164 \text{ kg m}^{-2}\text{a}^{-1}$ ), whereas at Artesonraju Glacier, the geodetic measurements indicate higher mass loss (average glaciological:  $-4206 \text{ kg m}^{-2}\text{a}^{-1}$ , geodetic:  $-2696 \text{ kg m}^{-2}\text{a}^{-1}$ ). This difference can be partly attributed to the different glacier basin definitions and slightly different observation intervals, but also to limitations of the individual methods, as discussed above.

505 In subregion R1, Huh et al. (2017) derived volume losses ranging from  $-0.019 \text{ km}^3$  to  $-0.150 \text{ km}^3$  at six glaciers in the Cordillera Blanca from various elevation datasets in the period 1962-2008. This ice depletion corresponds to surface lowering rates of  $-0.20 \text{ m/a}$  to  $-1.4 \text{ m/a}$ . Mark and Seltzer (2005) reported lowering rates ranging from  $-0.14$  to  $-0.59 \text{ m/a}$  for three glaciers at the Nevado Queshque (Cordillera Blanca, subregion R1) in the interval 1962-1999. The findings of both studies are in the range of our revealed elevation change rates for subregion R1 of  $-0.208 \pm 0.065 \text{ m/a}$  and  $-1.067 \pm 0.273 \text{ m/a}$  in the periods 2000-2016 and 2013-2016, respectively.

510 In subregion R2, Salzmann et al. (2013) estimated an ice volume loss in the Cordillera Vilcanota of  $\sim 40\text{-}45\%$  between 1962 and 2006 based on ice thickness derived from glacier inventory parameters and thickness-volume scaling. The authors pointed out that nearly all ice loss occurred after 1985, leading to a lowering rate of about  $-0.39 \text{ m/a}$  for the period 1985-2006. This value is similar to our measured average surface lowering in subregion R3 of  $-0.440 \pm 0.069 \text{ m/a}$  in the interval 2000-2013.

515 At the Coropuna volcano in subregion R3, Racoviteanu et al. (2007) measured an average glacier surface lowering of -5 m (-0.1 m/a) based on a SRTM DEM and digitizing of a topographic map from 1955. Peduzzi et al. (2010) calculated a mean surface lowering of  $-0.2 \pm 0.3$  m/a for the period 1955-2000/02. Our revealed average surface lowering of  $-0.44 \pm 0.045$  m/a at the ice cap of the Coropuna volcano indicates an increased glacier wastage after 2000, which correlates with the increase in glacier retreat and SLA uplift observed by Veettil et al. (2016) since 2000.

520 The continent-wide surface mass balance simulation by Mernild et al. 2017 revealed an average mass change of  $-1230 \pm 690$   $\text{kg m}^2 \text{a}^{-1}$  for the Andes north of  $27^\circ\text{S}$  in the epoch 2000-2014, which is much higher than our country-wide average of  $-169 \pm 43$   $\text{kg m}^2 \text{a}^{-1}$  for the period 2000-2013. This large offset can only be partly explained by the different study domains, time intervals and glacier inventories applied, and could be cause by limitations in the applied statistical downscaling of global circulation data.

525 On the countrywide scale, we observed  $\sim 19$  % less mass loss than Braun et al. (2019) (Region 02-04) in the period 2000-2012/13: (1<sup>st</sup> density scenario). However, their analysis also includes the glacier areas in Bolivia, like the Cordillera Real, where significant glacier wastage is reported (e.g. Soruco et al., 2009a), leading to higher average mass loss rates. The change rates of subregion R1 and R2 in the period 2000-2012/13 and the results of Braun et al. (2019) in Region 02 and 03 show good agreement, even though they are based on different glacier inventories. However, we computed more negative mass budgets  
530 in subregion R3 as compared to Braun et al. (2019) (Region 04). This can be partly explained by the differences in the region delineation. Braun et al. (2019) included the few ice-covered volcanoes in south-west Bolivia. However, a more considerable reason for this offset is the fact that we found the highest amount of misclassified ice covered areas in the RGI 6.0 in this subregion (Section 9-6.1). This explains the bias toward lower mass loss rates in the results of Braun et al. (2019), who measured surface elevation changes based on the RGI 6.0. In a continent wide analysis of geodetic mass budgets, like that of  
535 Braun et al. (2019), it is beyond the scope of the studies to map glacier outlines fitting to the whole elevation database as well. However, the revealed offset suggests the inaccuracy caused by imprecise glacier outlines and highlights the need for large-scale temporally consistent glacier outlines.

Gardner et al. (2013) carried out a comprehensive worldwide estimate of the glacier contribution to sea level rise. They computed a mass budget of  $-1080 \pm 360$   $\text{kg m}^{-2} \text{a}^{-1}$  (2003-2009) at the “low latitudes” (RGI region definition) by means of the  
540 extrapolation of glaciological measurements. Their A nearly similar value of  $-1.03 \pm 0.83$   $\text{m w.e. a}^{-1}$  ( $-1030 \pm 830$   $\text{kg m}^2 \text{a}^{-1}$ ) is revealed by Zemp et al. (2019) by upscaling of glaciological and geodetic mass balances for the period 2006-2016. Both studies reported ice loss rate ~~is that are~~ about three times higher than our countrywide average of  $-357 \pm 43$   $296 \pm 41$   $\text{kg m}^2 \text{a}^{-1}$ . This offset is similar to the deviation with glaciological mass budget estimates of individual glaciers and thus can be attributed (2000-2016). However, they are based on very few measurements (e.g.  $<2\%$  spatial coverage for Zemp et al. 2019) in this region,  
545 resulting to the same causes (see above) large uncertainties. Moreover, the representativeness of the settings of the sampled glaciers, which is not assessed ~~by Gardner et al. (2013)~~, can also strongly influence region wide estimates as discussed in

Section ~~10.6.1~~. A comparison with GRACE measurements by Jacob et al. (2012) is also difficult, since their spatial domain covers the whole of South America, only excluding Patagonia. Thus, the mass balance of the Peruvian glaciers cannot be disentangled from their results. These factors depict the limitations of using present global mass balance estimates at the country or even mountain range level.

The above-mentioned global or ~~continent~~~~country~~-wide ~~analyses~~~~estimates~~ do not cover multiple periods and thus do not provide any information on temporal variability of the mass balances. Our analysis reveals strong temporal variations in the glacier changes that correlate with changing climate conditions and specific climatic events. These findings underline that mono-temporal analysis, especially when using short time intervals, can be biased by short-term climate anomalies like El Niño and highlights the need for further monitoring of the proceeding glacier recession in the Tropical Andes.

## 7 Conclusions

The glaciers throughout Peru are strongly affected by changing climatic conditions, leading to considerable ice losses. In this comprehensive study we revealed a glacier recession of  $-548.5 \pm 65.7 \text{ km}^2$  (-29%) in the period 2000-2016 and negative regional mass budgets of up to  $-990 \pm 476752 \pm 452 \text{ kg m}^{-2} \text{ a}^{-1}$  (northern wet outer tropics; 2013-2016). A strong increase in the countrywide mass and area loss rates from  $-184 \pm 45169 \pm 43 \text{ kg m}^{-2} \text{ a}^{-1}$  and  $-1.4\% \text{ a}^{-1}$  in the period 2000-2013 to  $-836 \pm 188660 \pm 178 \text{ kg m}^{-2} \text{ a}^{-1}$  and  $-4.3\% \text{ a}^{-1}$  in the interval 2013-2016 is shown. This amplified glacier wastage can be attributed to the strong El Niño in 2015/16. Spatial and temporal differences of the change rates of the studied subregions correlate with skin temperature and total precipitation trends derived from ERA-Interim reanalysis data and reported regional climatic variations. The analysis of area changes of individual glaciers indicates that the highest relative area change rates are found for low lying and small glaciers, validating the prediction of the disappearance of numerous small glaciers located at low altitudes in the Tropical Andes.

Our results provide the first multi-temporal region wide and spatially detailed analysis covering all ~~glaciated~~~~glacierised~~ areas in Peru, providing fundamental data for projecting future glacier changes, water resource management schemes and further glacier monitoring. The observed changes highlight the dramatic progression of glacier recession throughout Peru, which will lead to considerable socio-economic issues in this region. The increasing GLOF risk, due to the gain and formation of glacial lakes is just one aspect. The future contribution of glacier meltwater to the regional runoff puts the continuous water availability for irrigation, mining, hydropower generation and drinking water supply, especially during dry season, at risk. Therefore, we highly advocate resuming and further extending the glacier monitoring in the Tropical Andes, not solely to gain scientific knowledge, but also to provide important information for local authorities and decision-makers regarding water resource management and civil protection.

**Author Contributions:** TS designed and led the study, processed and analysed the data and wrote the manuscript. TS, PM and CS developed jointly the analysis routines for elevation change and mass balance computations. SL performed the SAR

coherence computations. AC contributed to the interpretation of the data and provided field measurements. MB initiated and supervised the project. All authors revised the manuscript.

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**Data and materials availability:** Elevation change fields will be available via the World Data Center PANGAEA operated by  
590 AWI Bremerhaven after acceptance of the manuscript. Glacier area information and glacier-specific results will also be made available through submission to the World Glacier Monitoring Service and GLIMS.

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