

Point-by-point changes applied to the Manuscript tc-2019-119

In this document we describe the applied changes. The detailed argumentation why we do so is given in the answer to the reviewers published in the open discussion.

Changes based on the comments of the reviewers:

Title: I believe that the title does not entirely represent the work that you have done. Data acquisition was rapid; however, mapping took 600 hours. Is 'large' the correct term when talking about a period of time? And in the end, you are only using Spot6/7 data, so maybe you could simply say so. Maybe the title could be something like: 'Mapping of an extreme avalanche cycle in the Swiss Alps using Spot6/7 optical images'.

We changed the title into "Where are the avalanches? Rapid SPOT6 satellite data acquisition to map an extreme avalanche period over the Swiss Alps"

2 Avalanche periods and data acquisition 2.1 Avalanche periods 2018 There are a lot of Swiss place names in this section. I – and probably the majority of readers – are not familiar with where all those places are. You could either provide a map, or leave this place names out.

We deleted all unnecessary names and added the most important names we wanted to keep to maps in the Figures 2 and 4

2.2 Rapid satellite data acquisition period I (8-10 January 2018) As mentioned above, I am a little bit unsure if all the detailed information you are providing here is necessary to get the full story of your paper. You are introducing a lot of data in a table and a figure, however, only a very tiny fraction of it is actually used for age-tracking of detected avalanches. At the same time, this section and also 2.3 read like discussion with some valuable information about which datasets to use for mapping purposes. So I am wondering if 2.2 and 2.3 could be deleted and some of the information could go into the discussion instead?

We deleted Figure 2 showing the location of the acquired imagery. But we wanted to keep table one to provide the readers with an overview of the data. We shortened the chapters 2.2 and 2.3. The detailed argumentation is given in the document with the answers to the reviewer.

2.4 Rapid satellite data acquisition period II (21 – 23 January 2018) Figure 2 caption Study area in the caption, however research area in the legend. Also, maybe write 'danger level 5'.

We changed the name to study area throughout the document consistently.

3 Mapping methodology You used additional information for the mapping. How were these data layers used? Did you mask out areas that were not considered avalanche terrain or did you blend these layers in and out?

We expanded the sentence to "The mapping itself was conducted manually by one person using a scale of 1:5 000. Additional data was blended in and out or swiped."

The flow direction check, was that done also by visual interpretation or with a GIS tool? The rechecking of accuracy of outlines and completeness. Could you give more details here as to what is meant by accuracy and what completeness means? Could you explain in some sentences if one or

more experts visually interpreted the images? If the rechecking was done by the same person as the mapping? I think this is operational implications as to whether the person mapping was an expert in remote sensing image interpretation or if this is a task that a less experienced person with avalanche background could also do? What is meant by feasible attributes that were described and which image examples were added when appropriate? These statements are not entirely clear to me.

We extended the sentence as following: "After the initial mapping, the outlines were checked twice, first using the 1:25 000 map to visually check flow direction and second the satellite imagery for rechecking the outlines and their completeness with different display parameters optimized once for areas in cast shadow and once brightly illuminated regions.

In order to keep all information that can be extracted from the images besides the outlines we previously defined an example key. It serves as a guide on how to record the metadata for each avalanche outline mapped with the presented method. In addition to a verbal description it includes illustrated examples wherever possible."

Figure 3: I am very interested in which attributes were assigned to these avalanches according to Table 2. What is the quality outline of these avalanches and could you maybe indicate by different line coloring where it is exact, estimated and created? I am in particular also interested in where the start and deposit zone altitude are and the fracture width. In example 2), the digitized avalanches show only parts of the slide path and the depositional area. Why are you not showing the start zone? How sure are you of the interpretation of the two avalanches in the shadow and is there maybe a third avalanche in the path to the left? Can you explain a little bit more in detail how the flow direction interpretation works when dealing with overlapping avalanches and indicate it in the figure?

We added the requested information to Figure 2 and we have chosen an example for 2b showing complete outlines. How we have handled flow direction was already described earlier.

Figure 4 and related text: I am curious about in which regions high avalanche activity was suspected. You are also talking about the effects of a high snowline in two valleys; however, I don't know where these valleys exactly are. Could you provide more topo- graphic information in Figure 4 for all non-Swiss readers? I wonder if a shaded relief as background would improve this figure too. Finally, the examples of avalanche density per km² appear to be transparent. These small squares are very hard to see. Could you please improve the image quality or make these squares a little bit larger? In these examples you are going from 5 to 75 % coverage in 10 % steps. I wonder if you could do the same in the map. You would end up with fewer classes which would maybe increase the contrast between classes. Or how about using the AAI from Schweizer 2003 to represent avalanche activity?

We added important topographic information to the Figures 2 and 4. We experimented with making the squares larger and using a shaded relief but did not find it a cartographically satisfying option. So we propose to use an entire page to display this figure. Doing so makes it possible to clearly read all the details.

Figures 5 and 6: This is just a minor issue; however, these two figures could be visually slightly more pleasing. Related to Figure 6, I would find it very interesting to see examples of the different avalanche types and avalanche sizes. If it is too much for this article, maybe in an appendix figure.

We adapted the visualization of the Figures 4 and 5.

Figure 7: The largest of the blue outlines seems to go uphill (from the left upper corner towards the middle of the image) and then downhill again. Could you indicate flow directions maybe? These images are very hard to interpret as it is not really possible to understand where up and down is. Maybe contours or other topographical information would help here. Are these the smoothed lines or the raw digitized ones?

We added transparency to the imagery so that the topographic map shines through depicting the contour lines for better interpretability.

4.3 Potential improvements and follow up analysis You are talking about using machine learning for automatic analysis. Could you expand on these thoughts a little bit more and explain how such a workflow might look like and which kind of algorithms would be suitable here. You mention that a lot of background knowledge and map interpretation skills are involved in mapping. Is there a way that machine learning could incorporate these skills too? And how confident are you that a ML algorithm could classify avalanche types?

We expanded this section “Therefore it would save a lot of time and costs if the data could be analyzed automatically for example by machine learning (Zhang et al., 2016) which was already successfully applied to detect landslides. An overview on potential algorithms and workflows is given by Ghorbanzadeh et al. (2019).”

4. In your aim of this paper you are stating that a nearly complete database of avalanche activity could be used to validate the avalanche bulletin. This is, however, missing, and could easily be added in the discussion.

We added the following section to the discussion:

4.4 Validation approaches for avalanche bulletins

The avalanches mapped with the method described in this paper might be used for a validation of the avalanche bulletin. The European avalanche danger scale defines that with very high avalanche danger (level 5) “numerous very large and often extremely large natural avalanches can be expected” (<https://www.avalanches.org/standards/avalanche-danger-scale/>). No additional definition of the terms “numerous” and “often” nor the time period or area this would apply to is given. Defining those two terms we can check the density for the very large (size 4) and extremely large avalanches (size 5) for each avalanche warning region in the study area for the time period mapped. With the two calculated densities we will be able to determine where the danger level forecasted in the bulletin was correct, too high or too low. (Because of the definitions of the EAWS danger scale this kind of evaluation is only applicable for high or very high avalanche danger (level 4 and 5).) Of course, the uncertainties and limitations mentioned in other sections of this paper need to be considered for that. Additionally, the mapped avalanches allow for a verification of the forecasted release heights and aspects. With this information we can provide invaluable feedback to the avalanche warning service and help them improve their validation and forecast in future situations.

5. This is a case study from Switzerland. Since satellites cover pretty much all snow-covered mountain areas worldwide, it would be interesting to read a short discussion on the potential of doing this anywhere else. Here data availability, revisit time, max spatial coverage, cloud cover and shadow problematic and also acquisition costs could be discussed.

We added the following section to the discussion:

4.5 Future application in other regions

The methodology presented in this investigation can be applied for all mountain ranges that receive enough solar illumination in winter. High latitude regions with polar nights cannot be covered. Regions with short days and low solar illumination angles such as northern Scandinavia can be covered but we expect the results to be less reliable as more area is in cast shadow. As a cloud free weather window is a prerequisite for optical data acquisition, the methodology is less promising in regions with frequent cloud cover such as the New Zealand Alps. Upcoming satellite constellations with high spatial resolution and high revisit rates rise the chance to acquire feasible optical datasets. However, the high costs associated with the data purchase from commercial satellite constellations is the main drawback of the presented approach. The cost for the SPOT6 data applied in this study was approximately € 80'000.

Where are the avalanches? Rapid SPOT6 satellite data acquisition to map an extreme avalanche period over the Swiss Alps

Gelöscht: Rapid mapping of a large snow avalanche period with optical satellites

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Abstract.

10 Accurate and timely information on avalanche occurrence are key for avalanche warning, crisis
management and avalanche documentation. Today such information is mainly available at isolated
locations provided by observers in the field. The achieved reliability considering accuracy,
completeness and reliability of the reported avalanche events is limited. In this study we present the
15 spatial continuous mapping of a large avalanche period in January 2018 covering the majority of the
Swiss Alps (12'500 km²).

We tested different satellite sensors available for rapid mapping during a first avalanche period. Based
on these experiences, we tasked SPOT6/7 data for data acquisition to cover the second, much larger
avalanche period. We manually mapped the outlines of 18'737 individual avalanche events, applying
20 image enhancement techniques to analyze regions in cast shadow as well as brightly illuminated ones.
The resulting dataset of mapped avalanche outlines, having a unique completeness and reliability, is
evaluated to produce maps of avalanche occurrence and avalanche size. We validated the mapping of
the avalanche outlines using photographs acquired from helicopters just after the avalanche period.

25 This study demonstrates the applicability of optical, very high spatial resolution satellite data to map an
exceptional avalanche period with very high completeness, accuracy and reliability over a large region.
The generated avalanche data is of great value to validate avalanche bulletins, complete existing
avalanche databases and for research applications by enabling meaningful statistics on important
avalanche parameters.

30 1 Introduction

Information on the occurrence and runout of snow avalanches is a key parameter for the development of
effective hazard mitigation approaches for settlements and traffic infrastructure (Rudolf-Miklau et al.,
2014; Bühler et al., 2018). Evidence on the locations and dimensions of avalanches is applied in hazard
zone mapping, for the evaluation of protection measures and for the validation and further development
35 of numerical avalanche simulation software such as SAMOS (Sampl and Zwinger, 2004) or RAMMS

(Christen et al., 2010). Therefore, the number, size and release depth of avalanches with accurate location information are most important. For avalanche warning, comprehensive information on avalanche activity is important for the evaluation of the avalanche bulletin, the European avalanche danger scale (Meister, 1994) and further developments of avalanche danger assessment tools such as the matrix of the European Avalanche Warning Services (EAWS) (Müller et al., 2016) or the conceptual model of avalanche hazard (Statham et al., 2017). Even though such information is of very high value for different applications, it is not available today in a satisfactory completeness and quality.

Avalanche occurrences are usually only reported today if they cause an obstruction to public infrastructure, damage to personal property or are witnessed by local observers. In Switzerland all avalanches reported to the WSL Institute for Snow and Avalanche Research SLF that involved people or caused damage to property are stored in a database (Techel et al., 2015). Avalanches artificially released in ski resorts are also well documented, mainly for avalanche danger estimation with nearest neighbor models (Gassner et al., 2000) for legal reasons. The existing avalanche inventories tend therefore to be biased toward damaging events or those reported from accessible terrain, whereas avalanches remain notoriously under-reported over larger regions. Since the Alps in Switzerland are quite densely populated, regions without reported avalanches are smaller than for example in Norway, but they do exist. Consequently, even under weather conditions with good visibility, only a fraction of all avalanches is captured. All those avalanches reported to the SLF from avalanche observers, ski resorts, rescue organizations or other individuals are weighted by size, number and release type and then added up to the dimensionless Avalanche Activity Index (Schweizer et al., 2003). This index enables the comparison of avalanche activity per day throughout the winter and between years.

Avalanche detection systems based on time-laps photography (van Herwijnen and Heierli, 2009), infrasound (Thüring et al., 2015), seismic signals (van Herwijnen et al., 2016; Heck et al., 2019) or radars and optical cameras (Meier et al., 2018) provide information on a local scale. Avalanche radar systems are already operationally applied to automatically close roads and railways in Switzerland. Depending on the system setup, an indication of avalanche size could be derived as well but is not standard information.

Remote sensing can provide spatially continuous information on avalanche occurrences over large regions including areas where no observers can acquire data. Optical data from airplanes and satellites with very high spatial resolution (0.1 – 0.5 m) was successfully applied to automatically map avalanche debris under cloud free conditions (Bühler et al., 2009; Lato et al., 2012; Eckerstorfer et al., 2016; Korzeniowska et al., 2017). But these datasets are only available for selected regions and are hard to get on short notice. Recently, Unmanned Aerial Systems (UAS) have been successfully applied to document single avalanche events but they are not able to cover large regions mainly due to legal restrictions (Bühler et al., 2017; Eckerstorfer et al., 2016). Radar satellites have the advantage of acquiring data despite clouds and without daylight. Therefore, radar data has also been applied to generate avalanche maps (Eckerstorfer and Malnes, 2015; Vickers et al., 2016; Eckerstorfer et al., 2017; Wesselink et al., 2017) [recently also for a large avalanche period in Greenland](#) (Abermann et al., 2019). Due to the coarser spatial resolution (3 – 30 m) and limitations by the observation geometries

(radar shadow and layover), considerable parts of mountain regions cannot be covered. Furthermore, the reliability of detecting medium to small size avalanches and in particular dry snow avalanches is not yet satisfactory. But this reliability to detect a large part of all occurred avalanches is key for the statistical analysis of an avalanche period.

5 The aim of this investigation is to generate a record of avalanche occurrences for an avalanche period over a large region (12'500 km²) with a very high reliability. This dataset can then be used to do meaningful statistical analysis of the avalanche period and to produce a nearly complete database of avalanche runouts, that can be applied to validate the avalanche bulletin and hazard maps. Such a
10 dataset did not yet exist.

2 Avalanche periods and data acquisition

2.1 Avalanche periods 2018

15 The year 2018 started snow rich in Switzerland. From 8 to 10 January a large snowfall event (period I) was responsible for up to 2 m of new snow in southwestern Switzerland. In combination with a previous snowfall event, which ended the 5th of January, the avalanche danger scale rose to very high danger (level 5) in these regions. This was the first use of danger level 5 in Switzerland since 2008 where it was not confirmed in retrospect. So, this is the first-time level 5 was reached in reality since February 1999, for 19 years. Between the 15th and the 19th of January it snowed again - 30 to 100 cm, locally up to 160
20 cm. The biggest amount of new snow was measured at the Northern flank of the Swiss Alps. The snowfall was accompanied by strong winds mainly from western directions causing big amounts of snow to drift over large distances. As a result, massive accumulations of windblown snow formed in particular within slopes facing east.

25 The period from the 21 to 23 January (period II) marked the largest three-day avalanche period in Switzerland since the avalanche winter 1999 (SLF, 2000). All mentioned events caused the total snow depths to reach new records for that time of the year at different long-term measurement stations with measurement periods of up to 80 years (<https://www.slf.ch/en/avalanche-bulletin-and-snow-situation/measured-values/description-of-automated-stations.html>, last access: 30 April 2019). The
30 night before the 21 January marked the beginning of the second series of snowfall events that caused 80 cm of new snow to fall in 24 hours in some areas. During the event the snowfall line rose up to 2000 m and dropped quickly again. Just like during the first period, snowfall was accompanied by strong winds, blowing from North to West. Hence, the accumulations formed prior to this event continued to grow. During the second period 60 to 150 cm of new snow fell above 2200 m. Altogether the series of
35 snowfall events accounted for two to three meters of new snow in southwestern Switzerland, at the Northern flank of the Alps and in southeastern Switzerland and even more in some locations in the central Swiss Alps. The remaining areas received between one and two meters of new snow with less snow towards the south. These amounts of snow were unusual and appear with an annuality of 15 to 30 years depending on the region.

Gelösch: in the Upper valleys of Visp and the southern Simplon region

Gelösch: This snowfall event was restricted to the southwest of Switzerland

Gelösch: the valleys of Visp and the Simplon region

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Gelösch: in the northern Valais, the Vaud Alps, Upper Valais and the eastern part of

Feldfunktion geändert

Gelösch: the Valais

Gelösch: Grisons from Arosa to Samnaun

Gelösch: the Northern Lower Valais and the Glarus Alps

The snowfall combined with strong winds and the rise in snowfall line caused the SLF to forecast very high avalanche danger (level 5) over a large part of Switzerland during the second period (Figure 1). During three avalanche bulletins the SLF forecasted very high avalanche danger (level 5). Many, very large (size 4) and in parts extremely large avalanches (size 5) were released causing damage to forest, landscape or infrastructure but fortunately no loss of life.

2.2 Rapid satellite data acquisition period I (8 – 10 January 2018)

During the first week of January, the SLF decided at an internal exceptional avalanche phase meeting that large scale spatial information on avalanche occurrence would be very helpful. Therefore, the SLF asked the Swiss Federal Office for the Environment (FOEN) to trigger the Swiss rapid mapping chain, which is funded by the FOEN. They requested the Federal Office for Topography (swisstopo) to order high and very high spatial resolution satellite data over the area, where danger level 5 was predicted by the SLF. The decision on what data to acquire and over which specific locations was made in close collaboration with the SLF. As it was largely unknown which sensors work best for rapid avalanche mapping, a large range of satellites were tasked for different regions (Table 1).

The time taken from the decision to task the satellites until the data acquisition was around 16 hours. It took another 12 hours until SLF had the data on their screens. For the acquisition of the optical satellite data it was crucial, that a cloud-free window appears shortly after the avalanche period. This was the case from 6 to 7 January 2018. The products were ordered with orthorectification performed by the data provider. The motivation was to have fast access to products that are directly applicable for avalanche warning and avalanche documentation.

Table 1: Acquired satellite datasets for the first period.

Satellite	Acquisition date / time [UTC]	Spatial resolution [m]	Spectral resolution [nm]	Covered area [km ²]
WorldView-4 (optical)	07 January 2018, 10:23	0.3 PAN	PAN: 450 - 800	107
	07 January 2018, 10:24	1.2 Multispectral	Red: 655 - 690 Green: 510 - 580 Blue: 450 - 510 Near-IR: 780 - 920	107 Total: 214
Pléiades (optical)	06 January 2018, 11:07	0.5 PAN	PAN: 480-830 nm	130
		2.0 Multispectral	Blue: 430-550 nm Green: 490-610 nm Red: 600-720 nm Near-IR: 750-950 nm	143 Total: 237
SPOT6/7 (optical)	06 January 2018, 09:52	1.5 PAN	Blue: (455 nm – 525 nm)	265
	06 January 2018, 10:58	6.0 Multispectral	Green: (530 nm – 590 nm) Red: 625 nm – 695 nm Near-IR: (760 nm – 890 nm)	804 1751 Total: 2820
TerraSAR-X (radar)	06 January 2018, 17:00	1 SpotLight	X-band, 8 – 12,4 Ghz	2 * 116
	08 January 2018, 05:44	3 StripMap		2 * 1500
	09 January 2018, 05:27			Total: 3232

Gelöscht: Figure 2

Gelöscht: Table 1

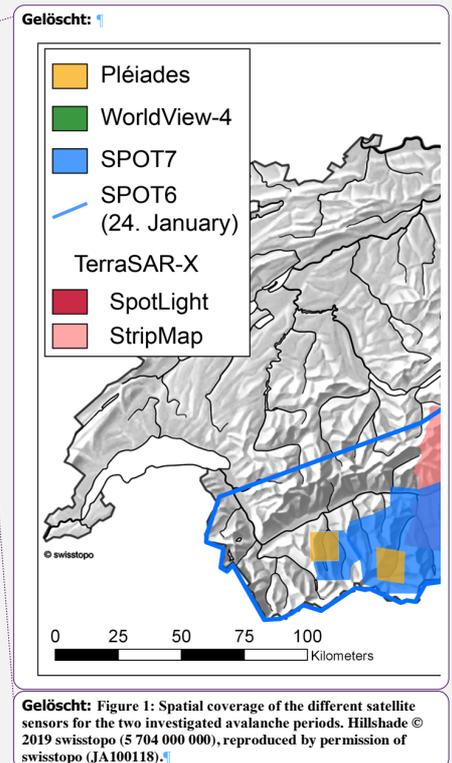
Gelöscht: The coverage of the different satellite sensors is pictured in Figure 1.

2.3 Experience gained by analyzing the data from period I (8 – 10 January 2018)

The first optical datasets (Pléiades, WorldView-4, Spot7) were available on SLF screens less than 24h after the satellite tasking. This is very promising and demonstrates that the rapid mapping chain works well. The first radar datasets were also available after less than 24 hours after tasking. However, TerraSAR-X can only acquire one dataset per overflight, limiting the coverable area within short time in particular for SpotLight mode acquisitions. Therefore, the next TerraSAR-X datasets were acquired on January 8 and 9. As snow avalanche deposits and in particular release zones can degrade quickly after avalanche release due to wind, new snow and melting, this is a drawback.

The optical datasets acquired over western Switzerland were nearly cloud free, the imagery acquired over central and eastern Switzerland had a cloud cover from 70 – 95%. The georeferencing performed by the data provider of the very high-resolution imagery (Pléiades and WorldView-4) was clearly insufficient. Also, the orthorectification of the SPOT7 imagery was insufficient for avalanche documentation with large shifts and distortions. A main conclusion is, that the orthorectification has to be performed manually with an accurate digital elevation model (DEM). The SRTM applied by the data providers is insufficient in complex alpine topography. Consequently the datasets were oriented using bundle block adjustment and orthorectified by swisstopo based on its high quality DEM swissalti^{3D} with a spatial resolution of 2 m, which is available for entire Switzerland (swisstopo, 2018). The results of this orthorectification were substantially better achieving a localization accuracy of better than 2 m in X and Y. Even though the spatial resolution of the SPOT6 (1.5 m) is coarser than the resolution of Pléiades and WorldView (0.5 m) the avalanche deposits and release zones are well visible. Due to the 12 bit radiometric resolution, also areas in cast shadow can be evaluated. A strong advantage of SPOT6/7 is that very large areas can be covered during one overpass of the satellite. Therefore SPOT6/7 is the platform of choice for future data acquisitions if a cloud free weather window is available close to the occurrence of the avalanche period. However, if a distinct and spatially limited hotspot (~250 km²) of avalanche activity can be identified in advance, very high spatial resolution sensors such as Pléiades and WorldView could provide even more detailed information on avalanche release and deposit.

By analyzing the value of the TerraSAR-X data for rapid avalanche mapping, it was concluded that the interpretation of the data is too demanding on the short term and avalanche events are not clearly visible without advanced data preprocessing. More research is needed to evaluate possible filtering and imagery enhancements for this data. Furthermore, large regions within the steep avalanche terrain are not analyzable due to radar shadow and layover. Based on these findings TerraSAR-X data will not be ordered even though high spatial resolution radar data would be the only option for time periods where no cloud free weather window appears. However, the potential of radar data will be further investigated and compared to the results from Sentinel-1 data published by Eckerstorfer et al. (2017);Vickers et al. (2016);Wesselink et al. (2017);Eckerstorfer et al. (2016).



2.4 Rapid satellite data acquisition period II (21 – 23 January 2018)

Based on the conclusions drawn in section 2.3, it was decided to only acquire SPOT6/7 data for the avalanche period II as a cloud free weather period was predicted for 24 January 2018 over entire Switzerland. Swisstopo ordered a tasking of SPOT6 for 24 January 2018 on 23 January 4:35 p.m. (local time). The data was then acquired on 24 January 2018 at 11:04 a.m. on an area of approximately 12'500 km² across Switzerland and a part of Liechtenstein with a maximum extension of 300 km from the west to the east (Figure 1).

Based on the former experiences, orthorectification should be done manually e.g. by swisstopo. The product to be delivered by the data provider had therefore to be of type "Primary", with full radiometric resolution (12 bit) and pan-sharpened already. The data were oriented using bundle block adjustment within a photogrammetric block file. Besides automated Tie Point extraction, a number of 11 Ground Control Points (GCP) was digitized manually. The achieved accuracy (RMSE) of the GCPs was of 1.23 meters in X, 0.83 m in Y and 0.16 m in Z.

The data was then delivered to SLF on February 6 in the early morning. SLF started the satellite data interpretation immediately. At the end of the avalanche period there was additional snowfall of about 0.3 m and strong winds that made avalanche detection in the optical imagery more difficult.

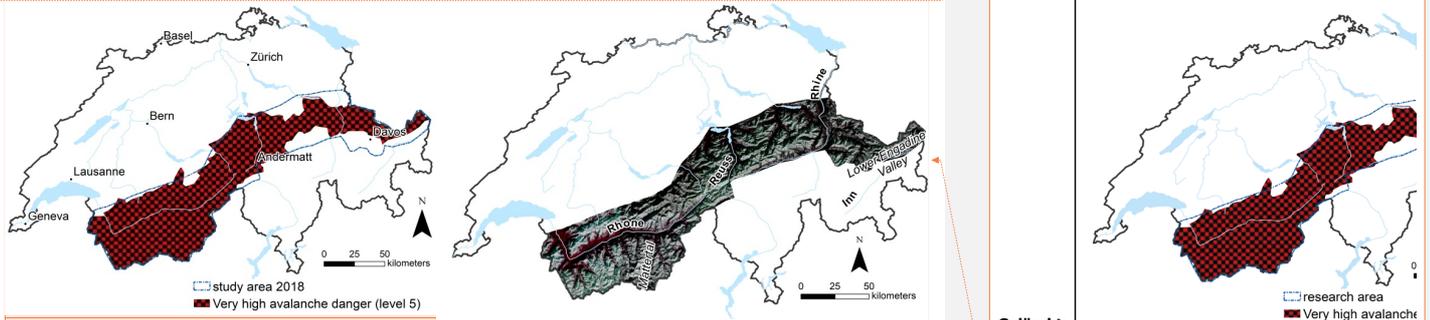


Figure 1: study area and forecasted very high avalanche danger and overview on the acquired SPOT6 dataset (SPOT6 Data © Airbus DS 2018) acquired for period II.

3 Mapping methodology

We used visual interpretation to identify and digitize avalanches as polygons over the whole study area. To improve visibility in both, illuminated and shaded areas, we modified contrast and brightness, used image stretching and gamma optimization. Since the optimal brightness and contrast vary for different

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Gelöscht: Figure 2

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Kommentiert [EH2]: Ich hab die Namen auf den zwei Karten "verteilt". Dafür komme ich ohne Pfeile aus. Davos und Andermatt werden im Text auch erwähnt...

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illumination, we digitized the outlines in the sun and the shaded areas separately (Figure 2). Due to the lower reflectance of snow in the near infrared spectrum (Warren, 1982), the false color band combination NIR (green, red and near infrared bands) provides a clearly better visibility of the avalanches than the normal red, green blue (RGB) band combination. Additionally, the vegetation in forested areas and at the bottom of avalanche release zones is also better visible in the NIR combination than in RGB. Therefore, we only used NIR band combination for the mapping.

Gelöscht: Figure 3

To perform a systematic mapping, the images were overlaid with a grid of 1,3 x 1,3 km. As additional information during interpretation, we used the 1:25 000 Swiss Map Raster 25 the summer orthophotos SWISSIMAGE 25 cm as well as the layer "Slope over 30 degrees" (all from swisstopo). The mapping itself was conducted manually by one person using a scale of 1:5 000. Additional data was blended in and out or swiped. After the initial mapping, the outlines were checked twice, first using the 1:25 000 map to visually check flow direction and second the satellite imagery for rechecking the outlines and their completeness with different display parameters optimized once for areas in cast shadow and once brightly illuminated regions.

Gelöscht: as the interpreter thought it necessary

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In order to keep all information that can be extracted from the images besides the outlines we previously defined an example key. It serves as a guide on how to record the metadata for each avalanche outline mapped with the presented method. In addition to a verbal description it includes illustrated examples wherever possible. Part of the attributes like quality of outline or avalanche type need to be identified by the interpreter whereas avalanche size can be calculated later from the mapped polygons. The defined attributes are listed in Table 2. The mapping itself was conducted in ArcGIS using "streaming" to map the outlines more easily as it allows tracing an outline without clicking every single vertex with the mouse. To remove the trembling effects of moving the mouse, a smoothing algorithm was applied in the end. To simplify the assignment of the attributes we build a geodatabase and implemented the characteristics as coded values.

Gelöscht: image

Gelöscht: The feasible attributes were described and an image example was added where appropriate.

Gelöscht: Table 2

Table 2: Description of avalanche attributes

Attribute	Characteristics	Description
Quality outline	exact	The outline from starting to deposit zone is clearly visible as a whole.
	estimated	The outline from starting to deposit zone is clearly visible in most places. In-between where the outline cannot undoubtedly be identified the clearly visible parts are connected considering terrain. The percentage that needed estimation is not recorded.
	created	Only starting or deposit zone are visible. Considering terrain the rest of the outline is created. Same is true for avalanches "cut off" at the edge of the images.
Avalanche type	Slab	Slab avalanches start with an initial failure in a buried weak layer. When the weak layer is underneath a cohesive snow slab a crack can propagate. If the weak layer fractures extensively and the slope is sufficiently steep a slab avalanche will release.
	Loose snow	Loose snow avalanches start from a single point and enlarge pear-shaped.
	Glide snow	Glide snow avalanches form due to a loss of support between the snowpack and the smooth ground.
	unknown	Only the deposit zone is visible or the type can no longer be identified.

Avalanche size	The European Avalanche Warning Services (EAWS) provide a standardized avalanche size classification depending on destructive potential, runout length and dimensions. The first two parameters cannot be extracted from aerial imagery; hence we used the classification relying on area only already in use in Protocols, a tool for avalanche safety services to ease avalanche mapping (https://www.slf.ch/de/services-und-produkte/protocols.html).		
	Size	Name	Size in m²
	1	Small avalanche	10 to 500
	2	Medium avalanche	501 to 10'000
	3	Large avalanche	10'001 to 80'000
	4	Very large avalanche	80'001 to 500'000
5	Extremely large avalanche	> 500'000	
Aspect	Aspect is split up in the eight main aspects (N, NE, E, SE, S, SW, W, NW) and represents the mean aspect for each avalanche in the release zone. As release zones weren't resigned separately they were calculated using a threshold for the ratio of avalanche width and height difference. The areas meeting this criterion and being over 27° steep were used to calculate the aspect using a 10 x10m height model. For avalanches 230m ² and smaller the aspect was calculated using the whole mapped area. The aspect was calculated for "created" avalanches as well but might only be used considering the implications of the quality of outline.		
Trigger type	natural	All glide snow avalanches plus all avalanches outside of skiing areas and those in skiing areas where additional information on their spontaneous release was available.	
	explosive	Avalanches where the explosion point was visible in the imagery and where information on the artificial release was available.	
	unknown	The rest of the avalanches in skiing areas where there was no additional information available.	
Start Zone Altitude	height of the highest point of the outline in m above sea level	Both are calculated using the outline after mapping. Those values are given for all avalanches but need to be used in light of the quality of outline.	
Deposit Zone Altitude	height of the lowest point of the outline in m above sea level		
Type fracture	Old snow fracture	Practically only old snow fractures close to the ground may be identified as the ground will then be shining through red in the Infrared. All other fractures are unknown as they may not be differentiated in the imagery.	
	New snow fracture		
	unknown		
fracture width	The release zones calculated for the aspect were also used to determine the fracture width. The fracture width is defined as the length of the longest contour line (10m equidistance) in the release zone and is given in meters. For loose snow avalanches no fracture width is given as they per definition start from one single point.		
comment	In the comment section information is given on suspected forest damage and potential damage to infrastructure. Additionally, "abnormalities" such as a very high mud content, release zone of the avalanche inside constructions for avalanche protection or similar things are recorded. The comment section is not limited in its content, basically all information that might be of importance to future users of the avalanche outlines is allowed.		

4 Results and discussion

In total we mapped 18'737 individual avalanche outlines with an area of 936 km² (Hafner and Bühler, 2019). This accounts for 7.5 % of the total study area covered by satellite imagery. A selection of examples showing different illumination conditions and the attached attributes are depicted in Figure 2.

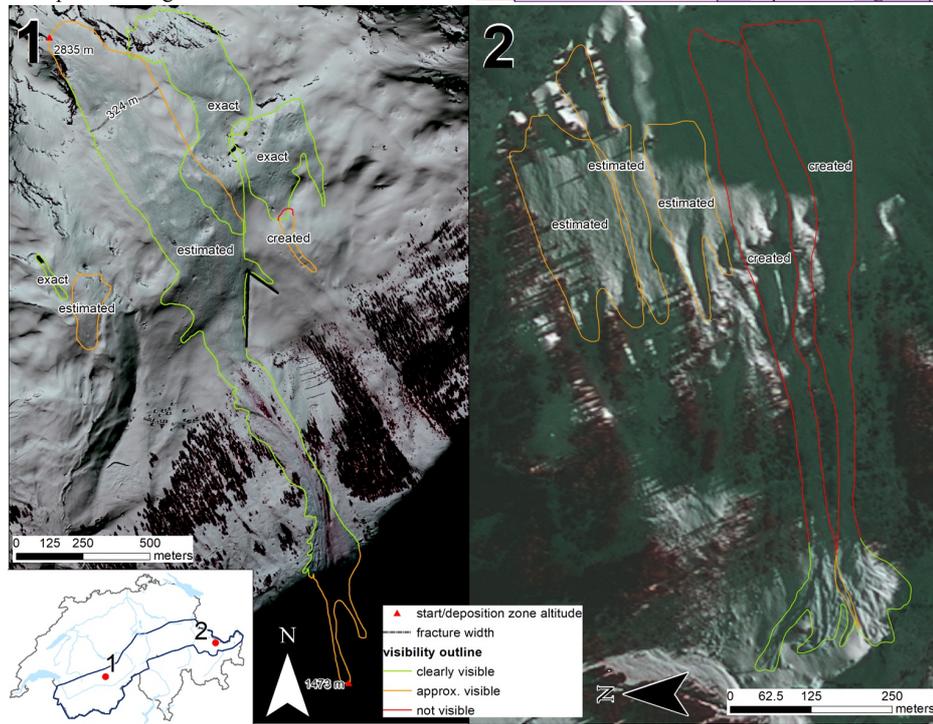
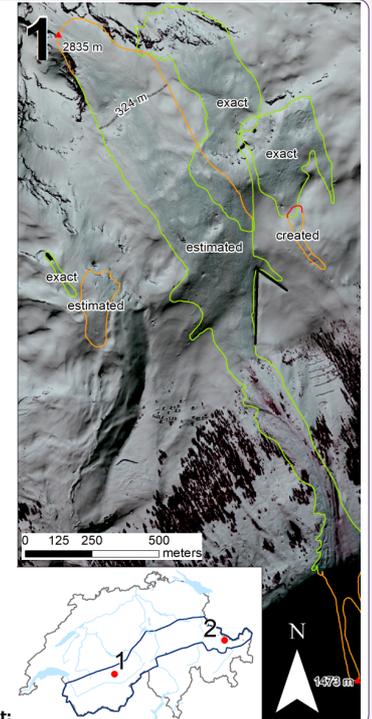


Figure 2: Examples of mapped avalanches in imagery optimized for brightly illuminated areas (1) and for regions with shaded areas (2). The colours of the outline indicate the visibility of different sections and the resulting attribute "quality outline" for each avalanche. The visibility tends to be poorer for areas in cast shadow. For the largest avalanche in (1) the "position of measurement" and the height of start and deposition altitude are shown as well as the origin and length of fracture width (see Table 2 for definition of those attributes). A deflection dam that worked well by changing the flow path of the avalanche is marked as black line in (1). Overlapping avalanches area is determined by interpretation of the flow direction from the topographic map and by differences in contrast between different depositions. (SPOT6 Data © Airbus DS 2018).

The 18'737 avalanches are not equally distributed over the whole study area. Visualizing the avalanche area as shares of 1x1 km grid cells reveals where a high density of avalanche activity is present (Figure

Gelösch: investigation area... study area covered by satellite imagery. A selection of examples showing different illumination conditions and selected... the attached attributes is ... re depicted in Figure 2 Figure 3 ... [1]



Gelösch: 3...: Examples of mapped avalanches in imagery optimized for brightly illuminated areas (1) and for regions with shaded areas (2). The colours of the outline indicate the visibility of different sections for the mapper with the resulting... and the resulting attribute "quality outline" written... or over ... each avalanche. As later also depicted in Figure 5 t... he visibility tends to be poorer in shaded... or areas in cast shadow. For the largest [2]

Gelösch: deflection

Gelösch: diversion

Gelösch: red

Gelösch: . (SPOT6 Data © Airbus DS 2018)... Overlapping avalanches area is determined by interpretation of the flow direction from the topographic map and by differences in contrast between different depositions. (SPOT6 Data © Airbus DS 2018) The position of the avalanches is indicated by the numbers in the map on the top right bottom left. ... [3]

Gelösch: investigation area

3). In some regions, the high density had been suspected as observers had reported at least a fraction of the avalanches. In other regions there is hardly any human activity in January and therefore no avalanche activity information was available. Clearly visible in the map are also the effects of the high snowfall line (partly up to 2000m) – in the Rhone, the Alpine Rhine Valley and parts of the Reuss Valley where there were no avalanches close to the valley bottom.

Gelöscht: Figure 4

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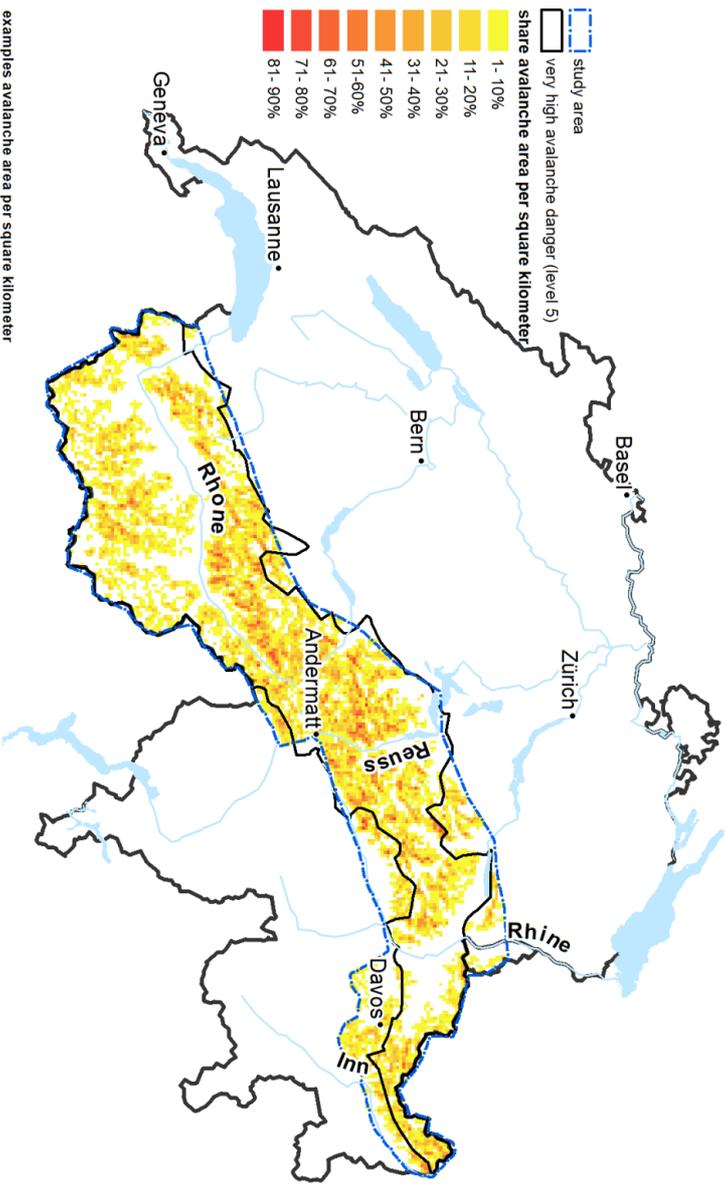
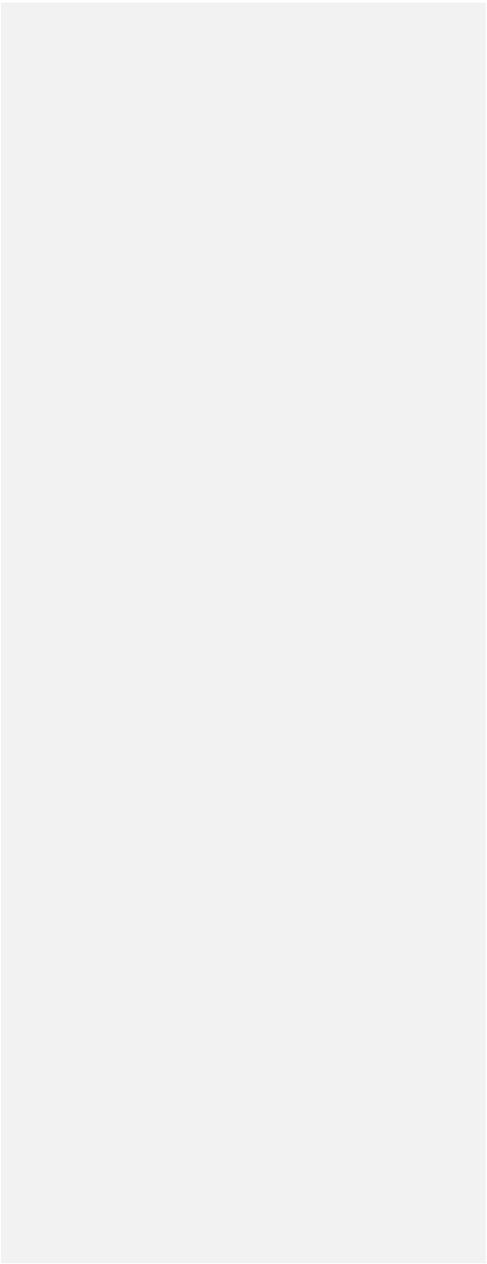


Figure 3: Avalanche density per square kilometer. Pixmap © 2019 swisstopo (5 704 000 000), reproduced by permission of swisstopo (JA100118).

33 % of the avalanches could be mapped with exact outlines, 58 % with partly estimated outlines and for 9 % we had to create parts of the outline (Figure 4) by expert interpretation. The number of created outlines is highest in the aspects North to West (Figure 4). In the opposite aspects we mapped the highest percentage of exact outlines. With an approximate sun altitude of 15° and an azimuth of 141° (see suncalc.org for 24 January, 10 am, Andermatt, CH) during data acquisition, most shaded areas in the satellite images are expected opposite of the sun. These shaded areas coincide with the largest share of created avalanches (Figure 4) caused by a poorer visibility in the shaded areas and a clearly better visibility in illuminated areas. The estimated outlines don't show a strong variation with aspect indicating the lack of such a correlation. The avalanches we could map with an exact quality outline tend to be smaller than the ones that were estimated or created. Estimated and created outlines occur for avalanches of all sizes but more frequently within avalanches of bigger size.

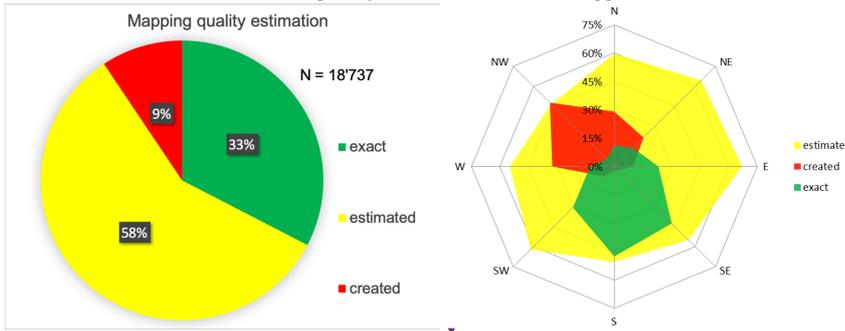


Figure 4: mapping quality estimation and their aspect based on the classes described in Table 2.

With 72 %, the majority of avalanches mapped were slab avalanches (Figure 4). The share of glide snow and loose snow avalanches is 11 % and 3 % respectively. For the rest of the avalanches, the type could not be identified either because the outline had to be created in the starting zone or wind, snowfall and melting between avalanche release and data acquisition had made the identification of avalanche type impossible.

Avalanche size is one of the attributes that can be calculated after mapping (Table 2). The by far biggest amount of avalanche are large size avalanches (size 3) followed by medium size avalanches (size 2,

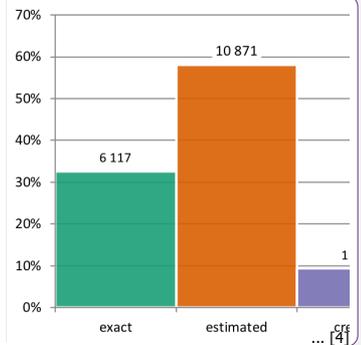
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Gelöscht: Figure 5

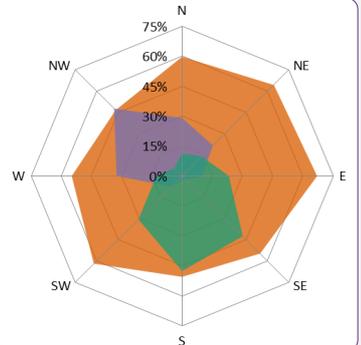
Gelöscht:

Gelöscht: Figure 5

Gelöscht: Figure 5



Gelöscht:



Gelöscht:

Gelöscht: 5

Gelöscht: amount and exposition of exact, estimated and created avalanche outlines

Gelöscht: exposition

Gelöscht: Figure 5

Gelöscht: Table 2

Figure 5). This is expected for very high avalanche danger levels. Additionally, multiple very large and extremely large avalanches (size 4 and 5) occurred.

91 % of size 1 (small) avalanches are glide snow avalanches which are very well visible in satellite imagery because of the contrast between the exposed ground and the surrounding snow in particular within the near infrared band. The smallest slab avalanche we could identify had an area of 415 m² and is therefore a rather large size 1 (small) avalanche (Table 2). The spatial resolution of 1.5 m is at the limit to identify smaller (size 1) avalanches. Since those size 1 avalanches are of least interest in a large avalanche period, this limitation is of minor importance.

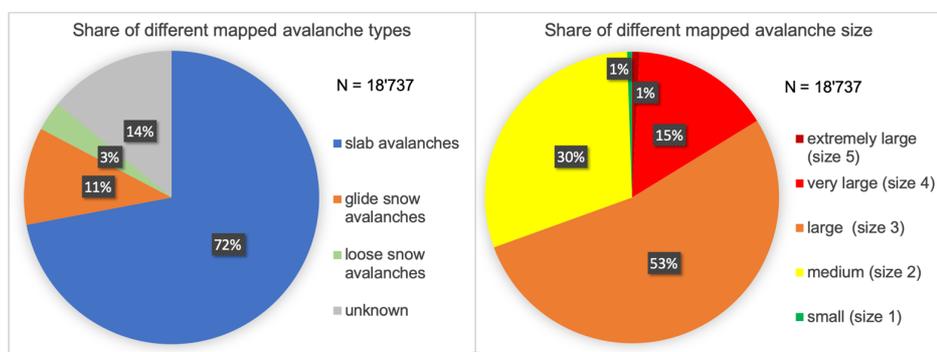


Figure 5: Type and size of the different mapped avalanches.

4.1 Age of the mapped avalanches

While mapping, we found several avalanches that were already released prior to the second avalanche period. In order to understand the dimensions of this problem and to estimate how many avalanches are old ones, we used the SPOT 6/7 images taken for test purposes after avalanche period I (section 2.2). We chose two test areas- the Mattertal und part of the Lower Engadine Valley- making up 5% of the total study area.

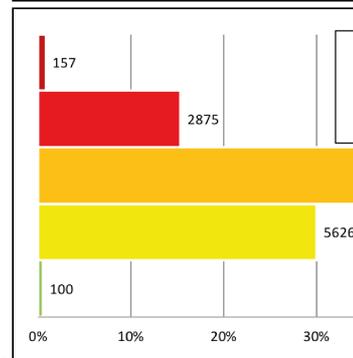
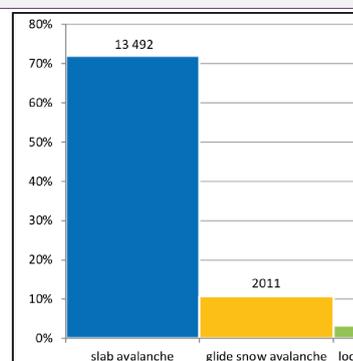
In those test areas we investigate how long the avalanches remain visible. For the Mattertal we used images from the 6, 12 and 24 January 2018 and in the Lower Engadine Valley from the 8 and 24 January 2018. For each point in time we classified the avalanches mapped from the 24 January imagery whether they had been released before avalanche period II as shown in Table 3. In total, we classified the visibility of 550 different avalanches for all images taken before the 24 January.

Table 3: avalanche classification according to age

	description

Gelösch: Figure 6

Gelösch: Table 2



Gelösch:

Gelösch: 6

Gelösch: share

Gelösch: types (top) and sizes (bottom)

Gelösch: research area

Gelösch: e

Gelösch: Table 3

Yes	The avalanche has been released and is visible with the same dimensions and deposition pattern.
No	No avalanche is visible/ has been released yet.
Partly	A part of the avalanche mapped from SPOT 6 imagery from the 24 th of January is already visible (Figure 6). This is equally applicable for avalanches in the same avalanche track with a decisively different deposit pattern.
Not visible	As mentioned in chapter 2.2 the images from the first period have some cloud cover and some distortions from rectification that might make the particular area of interest invisible.

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Gelöscht: Figure 7

In the Mattertal we found 22 % of the mapped avalanche had already released completely before the 12 January (Yes) and 12 % were even visible in the images from the 6 January (double Yes). In the Lower Engadine Valley we identified 20 % of the avalanches already in the image from the 8 January (Yes).

5 Additionally, between 16 % (Lower Engadine Valley) and 25 % (Mattertal) have been partially released before the second avalanche period (Partly).

These numbers may not be transferred directly to the whole dataset since both test sites have had very strong avalanche activity during the first period. This was not the case for the majority of the study area.

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10 Therefore, 25 % of old avalanches can be taken as an upper limit value. For the whole dataset we estimate 10 – 20 % of the avalanches mapped originating before avalanche period II (21 - 23 January). Additionally, we found that large avalanches remain visible longer. The oldest avalanches (released 6 January or earlier) show the highest percentage of avalanches with an area larger than 10 000 m² (92 %). This number is decreasing to 78 % for the more recent avalanches from avalanche period II. This is
15 expected for larger deposits as it takes more time for them to melt and more snow to cover their rough surface structure.

We conclude that avalanches seem to be visible for a longer period of time on satellite imagery than we expected (especially after heavy snowfall like in our case). This is an important finding for future

20 avalanche mapping campaigns. Firstly, because the fear of “missing” very large and extremely large avalanches from the beginning of a several days long avalanche period seems to be unfounded.

Secondly especially later in winter the high percentage of older avalanches visible might make it hard to differentiate different avalanche periods. In those cases, it would be valuable to have additional pre-event satellite data that maybe exist in satellite databases. In any case it makes sense to check pre-event
25 imagery from continuously operation satellites such as Sentinel-2 or Landsat. Even though their spatial resolutions are coarser (10 - 30 m) very large and extremely large avalanches could be identified.

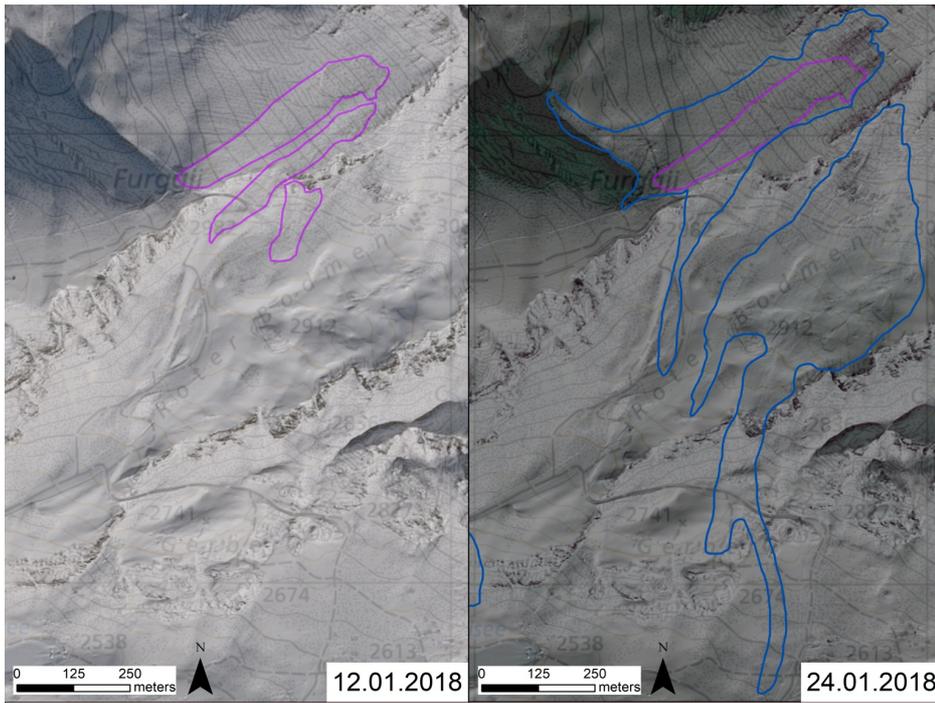


Figure 6: SPOT 6 images from 12th and 24th of January from close to Oberrothorn, VS (SPOT6 Data © Airbus DS 2018). The purple avalanche outlines are those visible on the imagery acquired first (left). One of these avalanche outlines is still visible after the second avalanche period twelve days later (right). The blue outlines released during the second avalanche period. Pixmap © 2019 swisstopo (5 704 000 000), reproduced by permission of swisstopo (JA100118).

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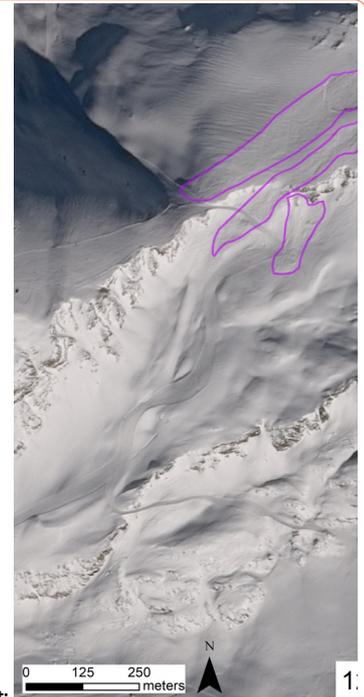
4.2 validation approaches

For validation we were confronted with the difficulty of finding a meaningful dataset for such an extensive mapping campaign. At the SLF, avalanches in the region of Davos are mapped systematically over the whole winter from photographs taken in the field. Unfortunately, the quality of outlines generated with this technique only allows for a comparison of methods and not for a real validation. A comparison using very well visible avalanches from SPOT 6 imagery, showed that the satellite-based mapping is clearly more accurate than the manual mapping from ground-based photographs. Therefore, a meaningful quantitative assessment based on this reference data is not possible.

10

Kommentiert [EH9]: Besser so? Man erkennt halt so leider auf den Satellitenbildern kaum mehr etwas von Anriss/Ab lagerung

Kommentiert [MO10]: Ich würde sogar noch etwas mehr Transparenz setzen



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Therefore, we decided to use the WSL Monoplotting tool (Bozzini et al., 2013, 2012) to digitize validation imagery acquired from helicopters on 24 January 2018. This tool allows for georeferencing and orthorectifying of photographs in order to produce georeferenced vector data by drawing directly on the pictures and exporting the vector data for use in GIS-Systems. In order to georeference photographs with the Monoplotting tool, Control Points (CPs) and a Digital Elevation Models (DEM) are needed. We applied the 2 m resolution swissalti^{3D} from swisstopo, which has a nominal accuracy of 0.5 m below tree line (~ 2100 m a. s.l.) and 1- 3 m above tree line (swisstopo, 2018). Due to the lack of clearly identifiable features within avalanche terrain, we often had to use trees and rocks as CPs. This reduces the accuracy of rectification as they are hard to exactly identify in orthoimagery. Hence, we specified that the maximum error had to be under 5 m and the mean error under 3 m for each picture we used for validation in order to keep the distortion through validation data as small as possible. Applying this restriction, 13 avalanches were mapped in full or in parts from suitable helicopter images. By overlaying these outlines with those mapped from the satellite images (outline quality exact or estimated only) we were able to calculate a trend in accuracy for the mapped avalanche area (Figure 7). The overall accuracy for the mapped area is 73 % with an omission and commission error of 16 % and 11 % respectively (Table 4). In illuminated terrain, we achieved a decisively better overall accuracy (80 %) than in shaded areas (64 %). Especially the omission error, i.e. the avalanche area we missed is higher in shaded areas (25 %). This confirms our impression that the correct identification of avalanche outlines in shaded terrain is more difficult than in illuminated areas. This approach can only validate the mapping accuracy for the avalanche surface area not for the number of avalanches we missed or we falsely mapped. No reliable ground truth dataset exists for such a validation.

Gelöscht: Figure 8

Gelöscht: Table 4

Table 4: Accuracy of the mapped avalanche area

	total	illuminated areas	shaded areas
correct classification	73%	80%	64%
omission error	16%	9%	25%
commission error	11%	12%	10%

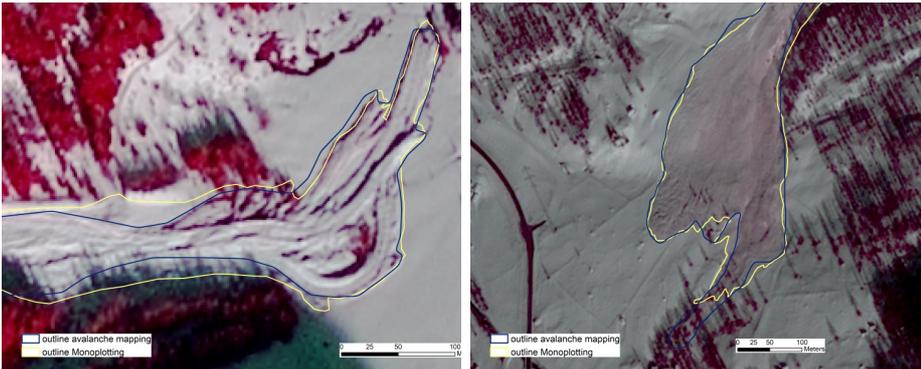


Figure 7: comparison of mapped outlines (blue) with those from the Monoplotting tool (yellow) for two different avalanches. The left avalanche is located in Trient, VS and the one to the right in Simplon, VS. Especially in the shade, under trees and with thin deposit there are considerable differences. In both images the background is SPOT 6 imagery from the 24 January (SPOT6-Data © Airbus DS 2018).

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5 The following list of important problems and uncertainties were discovered during the mapping and validation process:

- Older and new avalanches in the same avalanche track are mapped as one single avalanche.
- Avalanches flowing through forest and over rock walls are hard to identify.
- Some avalanches which are completely in shaded areas might be “invisible” and can therefore not be mapped.
- Avalanches which have been covered by new snow or have snow deposited on them are poorly visible.
- High surface roughness caused by wind may lead to wrong identification of avalanches.
- Cornices with snow that has rolled down beneath may be incorrectly classified as a slab avalanche.

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4.3 Potential improvements and follow up analysis

The manual mapping method we applied is very time consuming. Mapping the 18'737 avalanches over the entire 12'500 km² took approximately 600 hours. 300 hours for the initial mapping and 300 hours for checking and corrections. Therefore it would save a lot of time and costs if the data could be analyzed automatically for example by machine learning (Zhang et al., 2016) which was already successfully applied to detect landslides. An overview on potential algorithms and workflows is given by Ghorbanzadeh et al. (2019). Another efficient option to automatically map avalanches is the object-based approach that was already successfully tested with higher spatial resolution optical data (Bühler et

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al., 2009;Lato et al., 2012;Korzeniowska et al., 2017). We did not yet start to follow these tracks but we estimate a big potential for successfully detecting the 6'117 avalanches mapped with exact boundaries (33 %). For the remaining 12'620 avalanches (67 %), however, we estimate a low success rate as it requires a lot of background knowledge and interpretation to map those avalanches as a whole outline (see section 3).

Gelöscht: this

The mapped avalanches described in this paper are a unique training and validation dataset as it contains a large number of individual avalanches in differing topography and illumination conditions over a very large region. The same applies for the validation of existing mapping product from radar satellite data (Eckerstorfer et al., 2017;Vickers et al., 2016;Wesselink et al., 2017).

To further improve the speed of the manual mapping we plan to confine the area that could theoretically be covered by avalanches. Bühler et al. (2018) developed an algorithm for large scale hazard indication mapping combining automated release area delineation with numerical avalanche dynamic simulations with RAMMS (Christen et al., 2010). Such a mask could limit the area to investigate considerably saving time and costs. Conversely the generated avalanche dataset is a very good validation dataset for the newly developed large-scale hazard indication mapping processing chain (Bühler et al., 2018;Bühler et al., 2013).

Another important application is the documentation of forest damages. In comparison with pre-event satellite data forest destruction can be mapped with the same methodology as for the avalanches. Such information is crucial for a fast and target-oriented management of protection forests (Bebi et al., 2009) and the initiation of necessary complementation of protection infrastructure (Rudolf-Miklau et al., 2014). This is in particular useful in remote regions, hardly accessible in winter.

4.4 Validation approaches for avalanche bulletins

The avalanches mapped with the method described in this paper might be used for a validation of the avalanche bulletin. The European avalanche danger scale defines that with very high avalanche danger (level 5) “numerous very large and often extremely large natural avalanches can be expected” (<https://www.avalanches.org/standards/avalanche-danger-scale/>). No additional definition of the terms “numerous” and “often” nor the time period or area this would apply to is given. Defining those two terms we can check the density for the very large (size 4) and extremely large avalanches (size 5) for each avalanche warning region in the study area for the time period mapped. With the two calculated densities we will be able to determine where the danger level forecasted in the bulletin was correct, too high or too low. (Because of the definitions of the EAWS danger scale this kind of evaluation is only applicable for high or very high avalanche danger (level 4 and 5).) Of course, the uncertainties and limitations mentioned in other sections of this paper need to be considered for that. Additionally, the mapped avalanches allow for a verification of the forecasted release heights and aspects. With this

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information we can provide invaluable feedback to the avalanche warning service and help them improve their validation and forecast in future situations.

4.5 Future application in other regions

The methodology presented in this investigation can be applied for all mountain ranges that receive enough solar illumination in winter. High latitude regions with polar nights cannot be covered. Regions with short days and low solar illumination angles such as northern Scandinavia can be covered but we expect the results to be less reliable as more area is in cast shadow. As a cloud free weather window is a prerequisite for optical data acquisition, the methodology is less promising in regions with frequent cloud cover such as the New Zealand Alps. Upcoming satellite constellations with high spatial resolution and high revisit rates rise the chance to acquire feasible optical datasets. However, the high costs associated with the data purchase from commercial satellite constellations is the main drawback of the presented approach. The cost for the SPOT6 data applied in this study was approximately € 80'000.

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5 Conclusions and outlook

Based on the experience gained with satellite data acquired with different sensors in a first rapid mapping study in January 2018, we documented the following large avalanche period based on 1.5 m resolution multispectral SPOT6 satellite imagery. Over an area of 12'500 km² in Switzerland we manually mapped the outlines of 18'737 individual avalanches. This number is surprisingly high and is to our knowledge the largest, most complete and most reliable documentation of a large avalanche period. Avalanches in shadows are mostly visible but harder to map exactly than avalanches in well illuminated areas.

Using parts of the imagery from the first rapid mapping study we found some avalanches to be visible even 18 days after they were first captured on an image. This was a surprising finding and is important as it proves that the largest and therefore most interesting avalanches are visible for quite a long time though with decreasing accuracy of the outline.

By using orthorectified photographs taken from helicopters one day after the acquisition of the SPOT6 satellite data, we can estimate the mapping accuracies of the mapped avalanche areas. We achieve an overall accuracy of 73 % with a clearly higher chance of missing avalanche parts in shaded areas (error of omission 25 %). As the avalanche outlines mapped operationally in the region of Davos based on photographs taken from the ground are of clearly lower accuracy and completeness then the satellite mapping, we are not able to meaningfully validate the completeness of the mapping.

5 The applied data and methodology proof to be applicable to document large avalanche periods over very large regions such as entire countries with a high reliability and completeness. Such data is valuable for various applications such as the validation of the avalanche bulletin, the validation of hazard mapping, the complement of avalanche cadasters and the validation of other avalanche mapping products. We plan to include the mapped avalanche outlines into the Swiss avalanche databases.

10 Based on the findings of this study, again SPOT6/7 data was requested for an area of 9'500 km² in eastern Switzerland on 16 January 2019 following an avalanche period with very high avalanche danger (level 5). The acquired data was once more cloud free, allowing for a mapping of avalanches. To better estimate the mapping quality, we acquired unmanned aerial system (UAS) photogrammetric data over four large avalanches in the region of Davos. This will allow us to make further remarks on the quality of mapping. During this avalanche period in 2019, the snowfall line was much lower than in 2018 producing many large dry snow powder avalanches, causing significant forest destruction. As we now have SPOT6/7 data from January 2018 and 2019, the potential to accurately document this forest 15 damages are high. The mapping 2019 and the follow-up studies will provide refined information on the potential of optical satellite data for large scale avalanche studies.

Data availability

20 The produced avalanche outlines described in this paper including a description of the attributes are available on ENVIDAT (<https://www.envidat.ch/ui/#/metadata/spot6-avalanche-outlines-24-january-2018>) (Hafner and Bühler, 2019).

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Author contributions

25 YB initiated and coordinated the study and wrote the manuscript together with EH and the other coauthors. EH performed the manual mapping, analyzed the generated dataset and wrote the manuscript. BZ delivered the necessary input from the SLF avalanche warning team critically reviewing the results. MZ and HH tasked the satellites and performed the orthorectification of the satellite data.

Acknowledgments

30 The authors thank the Federal Office for the Environment FOEN and the canton Valais for partially funding the satellite data. We also thank the cantons Valais, Graubünden, Bern, Obwalden and Uri as well as Liechtenstein for partially funding the data analysis. We thank the Swiss Airforce for providing helicopters to acquire reference imagery and Claudio Bozzini for support with the Monoplotting Tool. We thank the SLF avalanche warning service for valuable feedback and support.

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Formatiert: Englisch (USA)

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